Identification of the ortho-Benzoquinone Intermediate of 5-O-Caffeoylquinic Acid In Vitro and In Vivo: Comparison of Bioactivation under Normal and Pathological Situations

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ABSTRACT:

5-O-Caffeoylquinic acid (5-CQA) is one of the major bioactive ingredients in some Chinese herbal injections. Occasional anaphylaxis has been reported for these injections during their clinical use, possibly caused by reactive metabolites of 5-CQA. This study aimed at characterizing the bioactivation pathway(s) of 5-CQA and the metabolic enzyme(s) involved. After incubating 5-CQA with GSH and NADPH-supplemented human liver microsomes, two types of GSH conjugates were characterized: one was M1-1 from the 1,4-addition of GSH to ortho-benzoquinone intermediate; the other was M2-1 and M2-2 from the 1,4-addition of GSH directly to the α,β-unsaturated carbonyl group of the parent. The formation of M1-1 was cytochrome P450 (P450)-mediated, with 3A4 and 2E1 as the principal catalyzing enzymes, whereas the formation of M2-1 and M2-2 was independent of NADPH and could be accelerated by cytosolic glutathione transferase. In the presence of cumene hydroperoxide, M1-1 formation increased 6-fold, indicating that 5-CQA can also be bioactivated by P450 peroxidase under oxidizing conditions. Furthermore, M1-1 could be formed by myeloperoxidase in activated human leukocytes, implying that 5-CQA bioactivation is more likely to occur under inflammatory conditions. This finding was supported by experiments on lipopolysaccharide-induced inflammatory rats, where a greater amount of M1-1 was detected. In S-adenosyl methionine- and GSH-supplemented human S9 incubations, M1-1 formation decreased by 80% but increased after tolcapone-inhibited catechol-O-methyltransferase (COMT) activity. In summary, the high reactivities of the ortho-benzoquinone metabolite and α,β-unsaturated carbonyl group of 5-CQA to nucleophiles have been demonstrated. Different pathological situations and COMT activities in patients may alter the bioactivation extent of 5-CQA.

Introduction

Chlorogenic acids are a family of esters formed between trans-cinnamic acids and α-(-)-quinic acid. The most widely occurring chlorogenic acid in dietary plants and medicinal herbs is 5-O-caffeoylquinic acid [(1S,3R,4R,5R)-3-[(2Z)-3-(3,4-dihydroxy-phenyl)prop-2-enoyl]oxy]-1,4,5-trihydroxycyclohexanecarboxylic acid; 5-CQA] (Clifford, 2000). It has received much attention in recent years due to its multiple pharmacological properties, such as anti-inflammatory, antioxidant, analgesic, antipyretic, and anticancerogenic effects (Shibata et al., 1999; Jiang et al., 2000; dos Santos et al., 2006).

Some Chinese herbal injections, such as Shuang-Huang-Lian, Yin-Zhi-Huang, Qing-Kai-Ling, Mai-Luo-Ning, and Xiao-Ai-Ping, are widely used in China to treat common colds, upper respiratory tract infections, cardiovascular diseases, and cancer. However, increasing adverse drug reactions during their clinical use have been reported. Itchy skin, exanthema, asthma, diarrhea, and vomiting are frequently documented. Even severe liver or kidney injury, allergic shock, and fatality are also observed (Ji et al., 2009). 5-CQA is one of the major bioactive ingredients of these injections, and its daily intravenous dosage is from 1 to 45 mg (Zhang et al., 2008, 2009). Several studies have shown that 5-CQA can induce allergic response in humans and rats, as well as liver and kidney injuries in dogs (Freedman et al., 1961; Bariana et al., 1965; Li et al., 2010; Zhang et al., 2010). The potential risks to human health posed by herbal injections containing 5-CQA need to be evaluated further.

The 5-CQA molecule has a catechol and an α,β-unsaturated carbonyl group. Therefore, we speculated that 5-CQA might undergo...
metabolism to form reactive metabolites and cause adverse effects to human health. Previous studies have pointed out that 5-CQA can be metabolically activated by cytochrome P450 (P450) enzymes, horseradish peroxidase \( \text{H}_2\text{O}_2 \), and tyrosinase \( \text{O}_2 \) to form a cytotoxic quinone intermediate (Moridani et al., 2001, 2002), but these works did not characterize the reactive metabolite(s). Our preliminary study has also shown that 5-CQA underwent extensive metabolism in rats, and the predominant metabolites in bile were GSH conjugates (Xie et al., 2011b). Hence, providing insight into the nature of the reactive intermediates of 5-CQA is important. Furthermore, investigating the impact of different pathological conditions in patients on the bioactivation of 5-CQA is equally vital to understand the potential links between 5-CQA and its toxicity.

In light of these concerns, the objectives of the present study were to 1) elucidate the in vitro metabolic activation of 5-CQA in human liver microsomes (HLM), 59 fractions, and leukocytes, and characterize the structures of reactive metabolite(s); 2) identify the metabolic enzymes involved in the bioactivation of 5-CQA; and 3) explore the fate of 5-CQA in oxidizing and inflammatory environments that are often encountered in therapeutic settings.

Materials and Methods

Materials. The following chemicals were purchased from Sigma-Aldrich (St. Louis, MO): 5-CQA (analytical grade, >95% pure), 3-O-caffeoylquinic acid (3-CQA; analytical grade, >95% pure), 4-O-caffeoylquinic acid (4-CQA; analytical grade, >95% pure), GSH, sodium nitrite, NADPH, \( \alpha \)-naphthoflavone, sulfaphenazole, ticlopidine, quinidine, chlorothiazole, ketoconazole, cumene hydroperoxide (CHP), Ficoll solution, myeloperoxidase (MPO; from horse), sulfaphenazole, ticlopidine, quinidine, chlorothiazole, ketoconazole, and leucine enkephalin. Tolcapone (\( \alpha \)-nitroanisole, 95% pure), GSH, sodium nitrite, NADPH, \( \alpha \)-naphthoflavone, sulfaphenazole, ticlopidine, quinidine, chlorothiazole, ketoconazole, leucine enkephalin, and quinidine were purchased from Sigma-Aldrich (St. Louis, MO): 5-CQA (analytical grade, >95% pure), GSH, sodium nitrite, NADPH, \( \alpha \)-naphthoflavone, sulfaphenazole, ticlopidine, quinidine, chlorothiazole, ketoconazole, and leucine enkephalin. Tolcapone (\( \alpha \)-nitroanisole, 95% pure), GSH, sodium nitrite, NADPH, \( \alpha \)-naphthoflavone, sulfaphenazole, ticlopidine, quinidine, chlorothiazole, ketoconazole, leucine enkephalin, and quinidine were purchased from Sigma-Aldrich (St. Louis, MO): 5-CQA (analytical grade, >95% pure), GSH, sodium nitrite, NADPH, \( \alpha \)-naphthoflavone, sulfaphenazole, ticlopidine, quinidine, chlorothiazole, ketoconazole, leucine enkephalin, and quinidine were purchased from Sigma-Aldrich (St. Louis, MO): 5-CQA (analytical grade, >95% pure), GSH, sodium nitrite, NADPH, \( \alpha \)-naphthoflavone, sulfaphenazole, ticlopidine, quinidine, chlorothiazole, ketoconazole, leucine enkephalin, and quinidine were purchased from Sigma-Aldrich (St. Louis, MO): 5-CQA (analytical grade, >95% pure), GSH, sodium nitrite, NADPH, \( \alpha \)-naphthoflavone, sulfaphenazole, ticlopidine, quinidine, chlorothiazole, ketoconazole, leucine enkephalin, and quinidine.
leukocytes were cultured in Hank's balanced salt solution containing 0.1% human serum albumin for 1 day.

5-CQA (500 μM), GSH (5 mM), and PMA (100 ng/ml) were added to a 200-μl suspension of leukocytes (~1 × 10^6 cells/ml) in Hank's balanced salt solution containing 0.1% human serum albumin. The mixture was incubated at 37°C for 2 h and quenched by adding two volumes of ice-cold acetonitrile. Control samples with no PMA, no GSH, or no substrate were also prepared. The samples were then prepared as described above. Each incubation was performed in duplicate.

**Incubations of 5-CQA with MPO.** The incubation mixtures contained 5-CQA (500 μM), MPO (6 unit/ml), and GSH (5 mM). PBS (100 mM, pH 7.4) was added to 200 μl. The reactions were initiated by adding H_2O_2 (final concentration of 2 mM) after preincubating at 37°C for 3 min and then terminated by adding two volumes of ice-cold acetonitrile after 15 min. The samples were then prepared as described above. Control samples with no H_2O_2, no GSH, or no substrate were also prepared. Each incubation was performed in duplicate.

**Human Liver S9 Fraction Metabolism.** The incubation mixtures contained 5-CQA (500 μM), 1 mM NADPH, SAM (500 μM), GSH (5 mM), and magnesium chloride (5 mM). PBS (100 mM, pH 7.4) was added to 300 μl. After preincubation at 37°C for 3 min, the reactions were initiated by adding human liver S9 fractions (2 mg/ml) and then terminated by adding two volumes of ice-cold acetonitrile after 90 min. The samples were then prepared as described above. Control samples with no NADPH, no SAM, no GSH, or no substrate were also prepared. Each incubation was performed in duplicate.

In a separate study, a scaled-up human liver S9 fraction incubation (500 μl) with 5-CQA (1 mM) was performed under similar experimental conditions as described above, but without GSH. After 90 min of incubation, the reaction was terminated by adding two volumes of ice-cold acetonitrile and then centrifuged at 1300 g for 10 min. The resulting supernatants were evaporated to

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**FIG. 1.** Q-TOF mass spectra of the standards 5-CQA (A), 3-CQA (B), and 4-CQA (C) under high-collision energy in the ES^- mode.

**FIG. 2.** Extracted ion chromatograms of 5-CQA and its GSH conjugates in HLM incubations: with NADPH and GSH (A), with GSH but without NADPH (B), and with CHP and GSH (C). The asterisk (*) indicates an isotopic peak of M1-1.
dryness under a stream of nitrogen at 40°C, reconstituted in 100 μl of mobile phase (water/methanol/formic acid, 90:10:0.1, v/v/v), and then subjected to UPLC separation. Fractions with retention times of 18.3 (M6-1) and 19.1 (M6-2) min were collected and pooled. The pooled fractions were halved and evaporated to dryness under a stream of nitrogen at 40°C. The crude mixture of M6-1 and M6-2 was used without further purification and incubated with human liver S9 fractions (2 mg/ml) in the presence or absence of GSH (5 mM). The synthetic 7'-caffeoylquinic acid (7'-CQA, 10 μM) was also incubated with human liver S9 fractions (2 mg/ml), SAM (500 μM), and PBS (100 mM, pH 7.4).

A specific catechol-O-methyltransferase (COMT) inhibitor, tolcapone (5 μM), was used to investigate the impact of O-methylation on 5-CQA bioactivation. The mixture of tolcapone, human liver S9 fractions, NADPH, and magnesium chloride was preincubated at 37°C for 15 min. 5-CQA, SAM, and GSH were then added, and the resulting mixture was incubated for 90 min.

**Animal Experiments.** Male Wistar rats (200 ± 20 g) were obtained from Shanghai SLAC Laboratory Animal Co., Ltd. (Shanghai, China). The rats were randomly divided into four groups (three rats per group) and housed in four cages, with free access to food and water. 5-CQA dissolved in physiological saline was administered to each rat by intravenous injection (5 ml/kg) via a tail vein at a dose of 11 mg/kg (approximately 2 times the recommended intravenous dose in humans). In group I, rats were given intravenous injections of 5-CQA only. In group II, rats were treated orally with tolcapone (10 mg/kg) 30 min before 5-CQA administration. In group III, rats were given 100 mg/kg ABT i.p. simultaneously with 10 mg/kg tolcapone p.o. 30 min before the

**TABLE 1**

<table>
<thead>
<tr>
<th>No.</th>
<th>Description</th>
<th>Retention Time</th>
<th>Formula</th>
<th>Calculated Mass</th>
<th>Measured Mass</th>
<th>Fragment Ions</th>
<th>Matrices</th>
</tr>
</thead>
<tbody>
<tr>
<td>M0</td>
<td>5-CQA</td>
<td>15.0</td>
<td>C8H15O4</td>
<td>353.083</td>
<td>353.085</td>
<td>191.053, 173.044, 135.034,</td>
<td>HLM, cytosol, S9, leukocytes, MPO</td>
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<tr>
<td>M0-1</td>
<td>3-CQA</td>
<td>11.9</td>
<td>C8H15O4</td>
<td>353.083</td>
<td>353.088</td>
<td>191.055, 173.044, 135.043</td>
<td>HLM, cytosol, S9, leukocytes, MPO</td>
</tr>
<tr>
<td>M0-2</td>
<td>4-CQA</td>
<td>15.7</td>
<td>C8H15O4</td>
<td>353.083</td>
<td>353.085</td>
<td>191.055, 173.043, 135.043</td>
<td>HLM, cytosol, S9, leukocytes, MPO</td>
</tr>
<tr>
<td>M1-1</td>
<td>2'-SG-5-CQA</td>
<td>13.8</td>
<td>C8H15N015S</td>
<td>658.155</td>
<td>658.151</td>
<td>466.092, 385.059, 306.078,</td>
<td>HLM, cytosol, S9, leukocytes, MPO</td>
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<td>M1-2</td>
<td>2'-SG-4-CQA</td>
<td>14.4</td>
<td>C8H15N015S</td>
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<td>658.154</td>
<td>466.093, 385.059, 272.088,</td>
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<tr>
<td>M1-3</td>
<td>5'-SG-5-CQA</td>
<td>14.8</td>
<td>C8H15N015S</td>
<td>658.155</td>
<td>658.152</td>
<td>466.090, 385.056, 306.074,</td>
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<tr>
<td>M1-4</td>
<td>2'-SG-3-CQA</td>
<td>11.0</td>
<td>C8H15N015S</td>
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<td>658.152</td>
<td>466.092, 385.056, 272.082,</td>
<td>Leukocytes</td>
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<td>M2-1</td>
<td>7'-SG-5-CQA</td>
<td>9.3</td>
<td>C8H15N015S</td>
<td>660.171</td>
<td>660.172</td>
<td>353.081, 306.072, 272.086,</td>
<td>HLM, cytosol, S9, leukocytes, MPO</td>
</tr>
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<td>M2-2</td>
<td>7'-SG-5-CQA</td>
<td>9.8</td>
<td>C8H15N015S</td>
<td>660.171</td>
<td>660.172</td>
<td>353.083, 306.075, 272.088,</td>
<td>HLM, cytosol, S9, leukocytes, MPO</td>
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<td>M2-3</td>
<td>7'-S-glutathionyl-3-O-caffeoylquinic acid</td>
<td>7.2</td>
<td>C8H15N015S</td>
<td>660.171</td>
<td>660.169</td>
<td>353.086, 306.074, 272.087,</td>
<td>Cytosol, leukocytes</td>
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<td>M2-4</td>
<td>7'-S-glutathionyl-4-O-caffeoylquinic acid</td>
<td>9.0</td>
<td>C8H15N015S</td>
<td>660.171</td>
<td>660.170</td>
<td>353.085, 306.076, 272.087,</td>
<td>Cytosol, leukocytes</td>
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<td>M3</td>
<td>2',5'-di-S-glutathionyl-5-O-caffeoylquinic acid</td>
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<td>834.196, 771.171, 690.131,</td>
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<td>9.4</td>
<td>C8H16N02S2S2</td>
<td>965.240</td>
<td>965.250</td>
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<td>MPO</td>
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<tr>
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<td>10.1</td>
<td>C8H16N02S2S2</td>
<td>965.240</td>
<td>965.247</td>
<td></td>
<td>MPO</td>
</tr>
<tr>
<td>M5</td>
<td>2',5',6'-tri-S-glutathionyl-5-O-caffeoylquinic acid</td>
<td>9.1</td>
<td>C8H16N02S3S3</td>
<td>1268.299</td>
<td>1268.317</td>
<td>1139.276, 995.214, 688.120,</td>
<td>MPO</td>
</tr>
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</table>

**Note:** The calculated and measured masses were within 0.1 ppm.
injection of 5-CQA. In group IV, rats were treated intravenously with LPS (7.4 × 10⁶ EU/kg) 2 h before 5-CQA administration. After light anesthesia with ether, approximately 300 µl of blood samples were serially collected from each animal into heparinized tubes by puncturing the retro-orbital sinus at predose (0 h) and 15 min postdose. Approximately 150 µl of plasma was immediately obtained from each sample by centrifugation at 11,000g (4°C) for 5 min and then mixed with a 5-µl aliquot of 4 M H₂PO₄ to stabilize the metabolites. The samples were pooled according to predetermined time points and precipitated with acetonitrile. The precipitated samples were vortex-mixed and then centrifuged at 11,000g for 5 min at 4°C. A 200-µl aliquot of supernatant was evaporated to dryness under a stream of nitrogen at 40°C and then reconstituted in 100 µl of the initial mobile phase. A 10-µl aliquot of the reconstituted solution was injected into the UPLC/Q-TOF MS system for analyses.

**Results**

In the early stages of the in vitro metabolism studies on 5-CQA, intramolecular ortho-acyl migration phenomena from 5-CQA to 4-CQA and 3-CQA catalyzed by hydroxide ions were observed (Xie et al., 2011a). The metabolites of 5-CQA containing acyl groups were expected to follow the same isomerization. According to previous reports and our experience (Clifford et al., 2003; Xie et al., 2011a), the acyl isomers of 5-CQA and its metabolites can be distinguished by their chromatographic and characteristic MS fragmentation behaviors.

In general, 5-CQA gave a predominant ion at m/z 191.053 ([quinic acid – H]⁻) in the high CE spectrum, which was formed by the cleavage of the ester bond (Fig. 1A). 3-CQA also showed the base peak at m/z 191.053; however, a strong key ion was observed at m/z 179.034 ([caffeic acid – H]⁻) formed by the cleavage of the alkyl C–O bond adjacent to the ester (Fig. 1B). 4-CQA exhibited the base peak ion at m/z 173.044 ([quinic acid – H₂O – H]⁻), which was a characteristic ion for the isomer with caffeic acid substituted at position 4, and formed by the cleavage of the alkyl C–O bond adjacent to the ester (Fig. 1C).

**Bioactivation of 5-CQA in HLM.** In the incubations of 5-CQA with NADPH-supplemented HLM, no oxidative metabolite was detected apart from the two acyl-isomers [3-CQA (M0-1) and 4-CQA (M0-2)]. When the trapping agent GSH was included in the incubations, a total of five GSH conjugates were detected (M1-1, M1-2, M1-3, M2-1, and M2-2). Their structures were characterized by high-resolution MS and NMR spectral data. Representative UPLC/Q-TOF MS chromatograms of the HLM incubations with or without NADPH are illustrated in Fig. 2. A and B, respectively. Table 1 summarizes the detailed information on these metabolites identified in HLM, including the LC retention times, chemical formulae, accurate masses, and characteristic fragment ions.

The LC retention times of M1-1, M1-2, and M1-3 were 13.8, 14.4, and 14.8 min, respectively. They displayed deprotonated molecules at m/z 658.155, which was 305.072 Da higher than that of the parent drug. This finding suggested the addition of one molecule of GSH to 5-CQA and the removal of two hydrogen atoms. Upon further fragmentation, M1-1 and M1-3 showed identical product ions at m/z 466.092, 385.059, 306.078, 272.086, 254.075, and 191.055 (Fig. 3A). The appearance of [quinic acid – H]⁻ ion at m/z 191.055 without obvious fragment ions at m/z 179.033 and 173.044 supported the assumption that they were derived from 5-CQA rather than its acyl-isomers. The base peak ion at m/z 385.059 was generated via the cleavage of the C–S bond of the glutathionyl moiety, and its occurrence suggested the presence of aromatic-orientated thioether motif in the GSH conjugates referring to MS fragmentation properties of the reported aromatic-orientated thioether GSH conjugates (Yan et al., 2008; Deng et al., 2010). The fragment ions at m/z 466.092 and 191.055 were formed via the cleavage of the ester bond. The fragment ions at m/z 306.078 and 272.096 corresponded to deprotonated GSH and γ-glutamyl-dehydroalanyl-glycine, respectively. The definitive structures of M1-1 and M1-3 cannot be determined based only on MS data. To assign further the region of modification in the M1-1 molecule, this metabolite was chemically synthesized according to a published nitrite-promoted oxidation method (Panzella et al., 2003) and was purified by an LC-6A semipreparative HPLC system (Shimadzu Corp., Kyoto, Japan) with a reversed C18 column (isobaric eluting with CH₃CN/water/formic acid, 12:88:0.5, v/v/v). The △H

![Fig. 3. Q-TOF mass spectra of GSH conjugates M1-1 (A) and M2-1 (B) under high-collision energy in the ES⁻ mode.](image-url)
NMR spectrum (400 MHz, in CD_{3}OD) is presented in Supplemental Fig. S1. The chemical shifts and J-couplings of M1-1 were consistent with those reported for 2′-S-glutathionyl-5-caffeoylquinic acid (2′-SG-5-CQA) in literature (Panzella et al., 2003). Taken together, the MS and NMR data identified M1-1 as a 5-CQA-derived GSH conjugate with the glutathionyl moiety attached to the C-2′ position of the corresponding ortho-benzoquinone intermediate. The three carbons of the ortho-benzoquinone of 5-CQA have different electrophilicities in a decreasing order of C-2′/H11022/H11032/d, spectrum of the parent 5-CQA, two vinyl proton signals at 6.72 ppm were observed in the chromatogram. The formation of the exocyclic GSH conjugates M2-1 and M2-2 was NADPH independent. When only 5-CQA and GSH were incubated in the HLM, trace amounts of the ring GSH conjugates M2-1 and M2-2 were most likely formed by the 1,4-addition reaction of one GSH to the ortho-benzoquinone intermediate. Compared with the 1H NMR spectrum of the parent 5-CQA, two vinyl proton signals at 6.72 ppm (d, J = 8.2 Hz, 1H) and 7.50 ppm (d, J = 15.9 Hz, 1H) were missing in the 1H NMR spectrum of the metabolite mixture (Supplemental Fig. S2). M2-1 and M2-2 were most likely formed by the 1,4-addition of one GSH to the as,a,-unsaturated carbonyl group at C-7′ to form 7′-SG-5-CQA. After the addition, a new chiral center was generated. M2-1 and M2-2 are a pair of diastereomeric conjugates; thus, two peaks were observed in the chromatogram.

Among the GSH conjugates, M1-1 was the most abundant based on the peak areas. The formation of the exocyclic GSH conjugates M2-1 and M2-2 was NADPH independent. When only 5-CQA and GSH were incubated in the HLM, trace amounts of the ring GSH conjugates M1-1 to M1-3 were detected, but their yields significantly increased by almost five times after the incorporation of NADPH (Table 2). This finding indicated that P450s can accelerate 5-CQA bioactivation to ortho-benzoquinone.

**P450 Enzymes Responsible for the Bioactivation of 5-CQA.** To determine which P450 enzyme(s) preferentially oxidize catechol to the ortho-benzoquinone intermediate, the formation of the main GSH conjugate M1-1 was investigated in different recombinant individual human P450 enzymes: 1A2, 2C9, 2C19, 2D6, 2E1, and 3A4. Each P450 enzyme tested had some capability of bioactivating 5-CQA. However, after normalization for the relative hepatic abundance of the P450 enzymes (Fig. 4A), 3A4 and 2E1 were identified as the two principal catalyzing enzymes exhibiting the highest efficiencies.

![Fig. 4.](https://example.com/fig4.png)

**FIG. 4.** A, formation of M1-1 in incubations with recombinant human P450 enzymes. The rates of M1-1 formation obtained from individual incubations with recombinant P450 enzymes were multiplied by the mean specific content of the corresponding P450 enzyme in HLM. B, effect of selective P450 inhibitors on the formation of M1-1 in incubations of 5-CQA with HLM. Data are reported as the mean of three separated determinations.
The Role of Glutathione Transferase in the Formation of the Exocyclic GSH Conjugates. To figure out the role of glutathione transferase (GST) in GSH adduction to the α,β-unsaturated carbonyl group, the GSH conjugation of 5-CQA was investigated in buffer, HLM, and human liver cytosol. In buffer, the two exocyclic GSH conjugates (M2-1 and M2-2, m/z 660.171) were detected. In the presence of HLM, the yields of M2-1 and M2-2 were similar to those in buffer. In the presence of cytosol, the yields of M2-1 and M2-2 were increased by almost 15 times (Fig. 5). Another two exocyclic GSH conjugates (M2-3 and M2-4, m/z 660.171) were also detected. This finding indicated that the cytosolic GST, not microsomal GST, can catalyze the GSH adduction towards the α,β-unsaturated carbonyl group of 5-CQA.

Effect of CHP on the Formation of the Ring GSH Conjugate M1-1. To evaluate the effect of oxidizing conditions on the formation of ortho-benzoquinone, CHP was used in the HLM incubation. When 5-CQA was incubated with CHP- and GSH-supplemented HLM, the formation of M1-1 was enhanced six times (Fig. 2C; Table 2), providing evidence that oxidative stress in vivo may accelerate the production of reactive ortho-benzoquinone species.

Bioactivation of 5-CQA by Human Leukocytes and MPO. When 5-CQA was incubated with human leukocytes, several metabolites were detected in the presence of PMA and GSH (Fig. 6A). These metabolites were identified as the acyl isomers of 5-CQA (M0-2 and M0-3, m/z 353.083), ring GSH conjugates (M1-1, M1-2, M1-3, and M1-4, m/z 658.155), and exocyclic GSH conjugates (M2-1, M2-2, M2-3, and M2-4, m/z 660.171). With bioactivation by PMA, a 4-fold increase in M1-1 formation was observed in the leukocyte incubations (Table 2).

According to the high CE spectrum, M1-4 was tentatively elucidated as 2′-S-glutathionyl-3-O-caffeoylquinic acid. Likewise, M2-3 and M2-4 were assigned as 7′-S-glutathionyl-3-O-caffeoylquinic acid and 7′-S-glutathionyl-4-O-caffeoylquinic acid, respectively. A previous stability study on 5-CQA in PBS showed that greater acyl migration was induced with a longer incubation period (Xie et al., 2011a). Thus, higher amounts of the acyl-isomerized products of 5-CQA and its metabolites were detected in the leukocyte incubations (incubation time of 2 h) than in other incubations (incubation time of equal to or less than 1 h).

MPO is the most abundant enzyme in leukocytes. Hence, its role in the bioactivation of 5-CQA was investigated. The rapid formation of ring GSH conjugates M1-1 to M1-3 were observed within 15 min of the MPO-mediated incubations (Fig. 7A), and the amount of M1-1 was much greater than that in any other incubation (Table 2). Trace levels of bi- and tri-GSH conjugates (M3, M4-1, M4-2, and M5) were also detected. Information on the metabolites identified in human leukocytes and MPO incubations are listed in Table 1.

M3 with a retention time of 13.6 min exhibited a deprotonated molecule at m/z 963.224, which was 305.072 Da higher than that of M1-1, suggesting the addition of the other GSH molecule to M1-1 and the removal of two hydrogen atoms. The fragment ions at m/z 690.131 and 417.028 in the high CE spectrum were formed by the sequential cleavage of the glutathionyl C–S bond to lose two γ-glutamyl-dehydroalanyl-glycine groups (–273.105 Da), consistent with the two glutathionyl moieties contained in M3. M5, with a retention time of 9.1 min, showed a deprotonated molecule at m/z 1268.299, which was 305.072 Da higher than that of M3, suggesting the addition of another molecule of GSH to M3 and the removal of two hydrogen atoms. The fragment ions at m/z 961.229, 688.120, and 415.016 in the high CE spectrum were formed by successive losses of one glutathionyl group (–307.088 Da) and two γ-glutamyl-dehydroalanyl-glycine groups (–273.109 Da), identical with the three glutathionyl motifs in M5. As mentioned under Bioactivation of 5-CQA in HLM in the Results, the ring (M1) and exocyclic (M2) GSH conjugates exhibited distinctive fragment characteristics. For M2, the most abundant product ion was...
observed at m/z 306.072 originating from a GSH moiety, whereas for M1, the major fragment ion was at m/z 272.096. In the high CE spectra of M3 and M5, they both gave the predominant fragment ion at m/z 272.087 rather than m/z 306.072, indicative of the ring GSH conjugates. According to the different electrophilicities of the three carbons in ortho-benzoquinone, M3 was speculated to be most likely 2',5'-di-S-glutathionyl-5-CQA and M5 was 2',5',6'-tri-S-glutathionyl-5-CQA.

The LC retention times of M4-1 and M4-2 were 9.4 and 10.1 min, respectively. They displayed deprotonated molecules at m/z 965.246, which was 307.089 Da higher than that of M1-1, suggesting the introduction of one molecule of GSH to M1-1. Due to the low amount of these generated metabolites, no high CE spectrum was available. Similar to M2, M4 showed two chromatographic peaks with identical intensities. Hence, M4-1 and M4-2 were tentatively assigned as two diastereomers of 2',7'-di-S-glutathionyl-5-CQA.

Bioactivation of 5-CQA in Human Liver S9 Fractions. When 5-CQA was incubated with NADPH- and GSH-supplemented human liver S9 fractions, the metabolic profiles were the same as those in the HLM (Fig. 8A). The addition of SAM to the incubations additionally resulted in three methylated metabolites (M6-1, M6-2, and M6-3) and four methylated GSH conjugates (M7-1, M7-2, M7-3, and M7-4), as shown in Fig. 8B and Table 1.

The LC retention times of M6-1, M6-2, and M6-3 were 18.3, 19.1, and 19.5 min, respectively. They gave the same deprotonated molecules at m/z 367.103, which was 14.020 Da higher than that of 5-CQA, indicating methylation. According to a previous study (Kuhnert et al., 2010), all of the acyl isomers of methylated 5-CQA (feruloylquinic and isoferuloylquinic acids) can be readily distinguished by their distinct tandem MS spectra in the negative ion mode. In the current study, the high CE spectra of three metabolites were significantly different. The base peak at m/z 191.053 without other obvious fragment ions was observed for M6-1, suggesting that it was 5-O-feruloylquinic acid (5-FQA). For M6-2 and M6-3, the base peaks were both at m/z 173.043. However, M6-2 produced a secondary fragment ion at m/z 111.046 and M6-3 produced a secondary fragment ion at m/z 193.052. By a direct comparison of the ion species and intensities in the spectra with literature (Kuhnert et al., 2010), M6-2 and M6-3 were tentatively identified as 5-O-isoferuloylquinic acid (5-iFQA) and 4-O-feruloylquinic acid (4-FQA), respectively.

The LC retention times of M7-1 to M7-4 were 11.3, 11.8, 12.7, and 13.3 min, respectively. They possessed the same deprotonated mole-
molecules at m/z 674.187, consistent with the attachment of the glutathionyl moiety (307.084 Da) to the methylated metabolites (M6). The loss of methylated 5-CQA moiety led to a predominant ion at m/z 306.084 in the high CE spectra of M7-1 to M7-4, similar to the exocyclic GSH conjugates M2-1 and M2-2. This finding indicated that M7-1 to M7-4 were formed by the 1,4-addition of one GSH to the 1H-unsaturated carbonyl group of M6-1 and M6-2 at C-7. To explore this hypothesis, M6-1 and M6-2 were isolated from a scaled-up human liver S9 fraction reaction with 5-CQA, and then sequentially incubated with a mixture of M6-1 and M6-2 with GSH. The synthesized 7'S-SG-5-CQA was also incubated with SAM-supplemented human liver S9 fractions. As expected, M7-1 to M7-4 were detected in both incubations. The product ion at m/z 191.057 was also obvious for M7-1 and M7-2, whereas the product ion at m/z 173.048 was obvious for M7-3 and M7-4. Hence, we speculated that M7-1 and M7-2 were two diastereomers of 7'S-glutathionyl-5-feruloylquinic acid, and M7-3 and M7-4 were two diastereomers of 7'S-glutathionyl-5-isoferuloylquinic acid.

The addition of SAM to the NADPH- and GSH-supplemented human liver S9 fractions with 5-CQA led to an 80% decline in the formation of the ring GSH conjugate M1-1. However, after the inhibition of COMT activity by tolcapone, the formation of M1-1 was increased four times (Table 2). This observation indicated that O-methylation may potentially circumvent the oxidative capability of 5-CQA to its electrophilic ortho-benzoquinone.

Identification of 5-CQA-Derived GSH Conjugates in Rat Plasma. To further investigate whether oxidative bioactivation occurs in vivo, the circulating metabolic profiles of 5-CQA in rats were examined. After an intravenous injection of 11 mg/kg 5-CQA, a total of 12 metabolites was discovered in plasma, in addition to the parent drug and its acyl-isomer (M0-2). These metabolites can be classified into five main types, including methylated (M6-1 and M6-2, m/z 367.102), sulfated (M8-1, M8-2, and M8-3, m/z 433.045), methylated and sulfated (M9-1, M9-2, and M9-3, m/z 447.059), glucuronidated (M10-1 and M10-2, m/z 529.121), as well as methylated and glucuronidated (M11-1 and M11-2, m/z 543.136) metabolites. No GSH conjugate derived from 5-CQA was detected. However, when the rats were pretreated with the COMT inhibitor tolcapone before 5-CQA injection, the methylated metabolites significantly decreased, whereas the ring GSH conjugate M1-1, identical with that observed in the HLM incubation studies, was detectable. All of these results supported the finding that O-methylation and ortho-benzoquinone metabolite formation are two competing pathways for 5-CQA. In addition, when a nonspecific P450 inhibitor ABT was intraperitoneally administered to rats simultaneously with the tolcapone dosing before the injection of 5-CQA, the GSH conjugate M1-1 disappeared in the circulation, indicating that the formation of M1-1 was mediated by P450s.

The metabolism of 5-CQA was investigated in LPS-induced inflammatory rats. Not surprisingly, the GSH conjugate M1-1 was also detected in plasma, and its formation was expected to be catalyzed by MPO released by leukocytes.

The extracted ion chromatograms of M1-1 in rat plasma are shown in Fig. 9. Information on the metabolites identified in the rat plasma is summarized in Table 3.

**Discussion**

5-CQA is widely distributed in daily diet and medicinal herbs. Due to its high hydrophilicity, 5-CQA cannot be absorbed directly in the
gastrointestinal tract as intact molecule, and only a trace portion of 5-CQA could be hydrolyzed to its aglycone form caffeic acid by intestinal bacterial, then absorbed (Azuma et al., 2000; Dupas et al., 2006). Although caffeic acid can undergo analogous bioactivation pathways as 5-CQA, the limited amount of caffeic acid in vivo is exceeded a threshold for eliciting a toxicological response. During the last decade, several herbal injections containing 5-CQA have been widely used in China, and some allergic as well as hepatotoxic responses have been reported (Li et al., 2010; Zhang et al., 2010). These herbal injections are mainly used to treat common colds, upper respiratory tract infections, cardiovascular diseases, and cancers. The target patients are believed to have different degrees of inflammation.

Multiple GSH conjugates were identified after adding the nucleophilic agent GSH to each incubation system. The results of the UPLC/Q-TOF MS and/or NMR experiments implied that these GSH conjugates can be mainly formed by two metabolic pathways, as depicted in Scheme 1. One pathway is oxidation of the catechol moiety to ortho-benzoquinone intermediate, which can be trapped by GSH to form ring GSH conjugates (M1-1–M1-4, M3, and M5). The other pathway is 1,4-addition of GSH directly to the C-7' position of the α,β-unsaturated carbonyl group of the parent compound, leading to the formation of exocyclic GSH conjugates (M2-1 and M2-2). The former pathway was found to require the presence of enzymes, and the latter proceeded in a nonenzymatic manner but could be accelerated by cytosolic GST. These findings demonstrated the high reactivities of 5-CQA to the nucleophiles, and understanding the bioactivation pathways of 5-CQA as well as their potential links to its toxicity are important.

The results obtained from the HLM experiments proved that P450 enzymes can catalyze the oxidation of 5-CQA to ortho-benzoquinone. Isoform profiling using individual recombinant human P450s and specific chemical inhibitors suggested that 3A4 and 2E1, relative to other major P450 isoforms, primarily mediated the formation of this reactive intermediate. M1-1 was also detected in the CHP-supplemented HLM incubations. In general, P450s supplemented with NADPH act as a monooxygenase in catalyzing the two-electron oxidation of a variety of substrates to quinones, whereas in the absence of NADPH or oxygen, P450 can use H2O2 or lipid peroxides to catalyze the single-electron oxidation of substrates to semiquinone radicals, which then transform into quinones (Tafazoli and O'Brien, 2005). The present experiments provided clear evidence that 5-CQA can be metabolically activated by both P450 monooxygenase and peroxidase activities. Oxidative stress may also enhance the production of the ortho-benzoquinone intermediate of 5-CQA because a 6-fold increase in M1-1 formation was observed in the CHP-supplemented HLM, compared with that in the NADPH-supplemented HLM.

MPO has been known to provide an alternative to P450-mediated bioactivation for a number of substrates with low redox potentials (Pattison and Davies, 2006). MPO is most abundantly expressed in activated mammalian leukocytes, including neutrophils and monocytes, or, to a lesser extent, in tissue macrophages such as Kupffer cells, or, to a lesser extent, in tissue macrophages such as Kupffer cells.

![Fig. 9. Extracted ion chromatograms of M1-1 in the plasma of normal rats (A), COMT activity-inhibited rats (B), and LPS-induced inflammation rats (C).](image-url)

**TABLE 3**

<table>
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<th>No.</th>
<th>Description</th>
<th>Retention Time</th>
<th>Formula</th>
<th>Calculated Mass</th>
<th>Measured Mass</th>
<th>Relative Mass Response</th>
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<tr>
<td></td>
<td></td>
<td>min</td>
<td>[M + H]⁺</td>
<td>%</td>
<td></td>
<td>5-CQA</td>
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<td>M0</td>
<td>5-CQA</td>
<td>14.0</td>
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cells (resident macrophages of the liver). These cells become activated during inflammation. Numerous drugs that have been withdrawn from the market because of hepatotoxicity problems (e.g., troglitazone, tolcapone, mfenamic acid, and phenylbutazone) are markedly more toxic and pro-oxidant in human inflammatory disease models (Tafazzoli et al., 2005). In the present experiment, 5-CQA was found to be bioactivated by MPO and PMA-activated human leukocytes. Greater amounts of M1-1 were also detected in the plasma of LPS-induced inflammatory rats. These results imply that of patients with inflammation, 5-CQA was more likely to be bioactivated by a single-electron oxidation mechanism to form radicals by MPO released from circulating activated leukocytes, thus inducing unwanted idiosyncratic reactions. However, the reactivity of the α,β-unsaturated carbonyl group did not change under different pathological situations.

Although the molecular mechanisms underlying the idiosyncratic toxicity remain unknown, several hypotheses have been proposed, including covalent protein binding and oxidative stress caused by reactive metabolites (Uetrecht, 2008). The current study proved that the ortho-benzoquinone metabolite of 5-CQA formed by P450 monooxygenase and other oxidizing enzymes was highly reactive. The α,β-unsaturated carbonyl group of 5-CQA itself was also electrophilic. Hence, 5-CQA was likely to alkylate cellular proteins in vivo in addition to the conjugation with GSH. Several research groups have shown that oxidized 5-CQA can react with lysine and cysteine residues in protein chains and form covalent-binding products in vitro (Hurrell and Finot, 1984; Gayen et al., 2008). Alternatively, the current experiment proved that 5-CQA can form semiquinone radical by P450 peroxidase and MPO, which can induce a redox cycle with

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**Scheme 1. Proposed mechanism of bioactivation and GSH conjugate formation of 5-CQA.**

- **M0-1, 3-CQA**
- **M0-2, 4-CQA**
- **M2-1 and M2-2**
- **M0, 5-CQA**
- **M6-1 and M6-2**
- **M3**
- **M1-1**
- **M4-1 and M4-2**
- **M7-1, M7-2, M7-3, and M7-4**
- **Ortho-benzoquinone**
- **Semiquinone radical**
- **Disproportionation**
- **1,4-Addition**
- **P450 peroxidase + CHP**
- **MPO + H₂O₂**
- **COMT**
- **1,4-Addition**
- **1,4-Addition**
- **1,4-Addition**
- **1,6-Addition**
- **GST**
- **1,4-Addition**
the corresponding ortho-benzoquinone. This phenomenon led to the formation of reactive oxygen species. Compelling evidence showed that reactive oxygen species can alter the redox state of cells or exert excessive oxidative stress on tissues (O’Brien, 1991; Bolton et al., 2000). A previous study has also pointed out that the hepatotoxicity of 5-CQA can be markedly increased when the peroxidase/H2O2 oxidation system was added to hepatocyte incubations (Moridani et al., 2001). Regardless of several research groups who have shown the antioxidative property of 5-CQA (Pari et al., 2010; Xu et al., 2010; Sato et al., 2011), the anti- and pro-oxidation properties of 5-CQA are not contradictory. The dose is critical with regards to the metabolic fate of the compound as well as metabolic switching and the route of administration. The α-tocopherol and tretinoin were demonstrated to be antioxidative, but their radical forms catalyzed by peroxidase at higher concentrations had undesirable pro-oxidant activities (Witting et al., 1999; Tafazoli et al., 2005).

In vitro incubation of xenobiotics with cofactors that only support P450 or peroxidase activity can be misleading in bioactivation capability assessments because the phase II metabolism enzymes are not included. Catechols have been shown to be good substrates for COMT, UDP-glucuronosyltransferases, and sulfotransferases, which can potentially diminish the oxidation pathway to electrophilic quinones (Taskinen et al., 2003; Chen et al., 2011). This phenomenon was observed in the current study. When SAM was included in human liver S9 incubations, the major metabolites were methylylated 5-CQAs (M6-1 and M6-2), with only a small amount of M1-1 detected. However, the formation of M1-1 increased by four times after tolcapone inhibited COMT activity. Similar phenomena were also observed in the metabolism study in rats. The major metabolic pathways were methylation, sulfation, and glucuronidation in rats only given 5-CQA injections and no GSH conjugate of 5-CQA was formed. In contrast, M1-1 was detected in the COMT activity-inhibited rats. Taken together, these findings indicated that the O-methylation of 5-CQA in vivo may shield the oxidation of the catechol group to ortho-benzoquinone. It is reasonable to surmise that glucuronidation and sulfation have similar effects. Consequently, high levels of 5-CQA bioactivation can be expected when 5-CQA-related herbal injections are prescribed to patients with low phase II enzyme activities.

In conclusion, the current study demonstrated that 5-CQA was bioactivated by P450 monoxygenases (mainly 3A4 and 2E1) and peroxidases (P450 peroxidase and MPO) to an ortho-benzoquinone intermediate, which was attacked by GSH to form mono-, di-, and tri-GSH conjugates. Under normal conditions, the competing O-methylation of 5-CQA may prevent the catechol group from oxidizing into ortho-benzoquinone. However, under oxidizing or inflammatory or COMT activity-inhibited conditions, 5-CQA may be more susceptible to facile bioactivation to reactive ortho-benzoquinone species. 5-CQA itself could also directly react with GSH at the C-7' position of the α,β-unsubsaturated carbonyl group, which could be accelerated by cytosolic GST. The present study is the first report on the nature of the ring and exocyclic GSH conjugates of 5-CQA. The results have expanded understanding on the bioactivation of 5-CQA under different situations. The potential safety issues regarding 5-CQA-related herbal injections need further evaluation because the different pathological situations and phase II enzyme (e.g., COMT) activities of patients may alter the bioactivation extent of 5-CQA and result in unexpected toxicities.

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Authorship Contributions

Participated in research design: Xie, Chen, and Zhong.

Conducted experiments: Xie.

Contributed new reagents or analytic tools: Xie and Chen.

Performed data analysis: Xie and Chen.

Wrote or contributed to the writing of the manuscript: Xie and Chen.

References


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