

Prediction and Quantification of Hepatic Transporter-Mediated Uptake of Pitavastatin Utilizing a Combination of the Relative Activity Factor Approach and Mechanistic Modeling[§]

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ABSTRACT

Quantification of the fraction transported (f_t) by a particular transporter will facilitate more robust estimations of transporter interactions. Using pitavastatin as a model uptake transporter substrate, we investigated the utility of the relative activity factor (RAF) approach and mechanistic modeling to estimate f_t in hepatocytes. The transporters evaluated were organic anion-transporting polypeptides OATP1B1 and OATP1B3 and sodium-taurocholate cotransporting polypeptide. Transporter-expressing human embryonic kidney 293 cells and human hepatocytes were used for determining RAF values, which were then incorporated into the mechanistic model to simulate hepatocyte uptake of pitavastatin over time. There was excellent agreement between simulated and observed hepatocyte uptake of pitavastatin, indicating the suitability of this approach for translation of uptake from individual transporter-expressing cells to more holistic in vitro models.

Subsequently, f_t values were determined. The largest contributor to hepatocyte uptake of pitavastatin was OATP1B1, which correlates with what is known about the in vivo disposition of pitavastatin. The f_t values were then used for evaluating in vitro-in vivo correlations of hepatic uptake inhibition with OATP inhibitors rifampicin and cyclosporine. Predictions were compared with previously reported plasma exposure changes of pitavastatin with these inhibitors. Although hepatic uptake inhibition of pitavastatin was 2-3-fold underpredicted, incorporation of scaling factors (SFs) into RAF values significantly improved the predictive ability. We propose that calibration of hepatocytes with standard transporter substrates and inhibitors would allow for determination of system-specific SFs, which could subsequently be used for refining predictions of clinical DDI potential for new chemical entities that undergo active hepatic uptake.

Introduction

For a new chemical entity (NCE), in vitro evaluation as a potential substrate and inhibitor of key drug transporters aids in estimating the likelihood of drug-drug interactions (DDIs). If the NCE is a substrate of one or more of these key transporters in vitro, then a clinical trial involving concomitant administration with a selective inhibitor may be required. Results of such a study can then be extrapolated to estimate the DDI potential for other concomitantly administered drugs that interact with the same clearance pathway. However, this can be a resource-demanding path forward for a compound in clinical development and may not be the most efficient way to ensure patient safety. As such, a bridge between these two steps [i.e., establishing a more mechanistically derived in vitro-in vivo correlation (IVIVC)] could in principle provide improved estimation of the extent to which the disposition of the NCE would be affected by inhibition of a particular transporter. Such analyses can then guide clinical teams more confidently regarding the need for a clinical DDI study.

IVIVCs for substrate-level interactions with transporters require quantification of the fraction of the substrate transported by a particular transporter (f_t). To approximate f_t values with human transporters, several in vitro techniques can be explored. The most commonly used approach for estimating f_t is inhibition of uptake (Shitara et al., 2003; Bi et al., 2012, 2013; Ramsden et al., 2014). Although less common, use of small interfering RNA is another technique (Williamson et al., 2013). Modeling in vitro hepatocyte uptake is a third method that can be used to estimate various clearance pathways (uptake, metabolism, biliary excretion); however, this method usually does not facilitate dissection of individual transporter contributions (Jones et al., 2012; Ménochet et al., 2012a,b). The relative activity factor (RAF) and relative expression factor (REF) approaches, which involve translation of transporter interactions from individual transporter-expressing cells to more holistic models such as hepatocytes, can also be used for estimating f_t and have the benefit of using specific uptake in expression systems to better understand the integrated uptake in hepatocytes (Hirano et al., 2004; Kimoto et al., 2012; Kunze et al., 2014).

Most published studies employing RAF/REF techniques have employed static approaches of f_t estimation, whereby RAF/REF values for each uptake transporter were determined and subsequently used for estimating hepatocyte uptake clearance via each transporter (CL_t). The f_t

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ABBREVIATIONS: AAFE, absolute average fold error; AFE, average fold error; AUC, area under the plasma concentration-time curve; AUCR, ratio of area under the curve values obtained in the presence and absence of an inhibitor; CCK-8, cholecystokinin octapeptide; CL, clearance; DDI, drug-drug interaction; DRF, dynamic relative activity factor approach; E3S, estrone-3-sulfate; HEK293, human embryonic kidney 293; IVIVC, in vitro-in vivo correlation; MRP3, multidrug resistance-associated protein 3; NCE, new chemical entity; NTCP, sodium-taurocholate cotransporting polypeptide; OATP, organic anion-transporting polypeptide; PBPK, physiologically based pharmacokinetic modeling; PK, pharmacokinetic; RAF, relative activity factor; REF, relative expression factor; SCHH, sandwich-cultured human hepatocyte; SF, scaling factor; TCA, taurocholic acid.

value was estimated by expressing CL_i as a fraction of total predicted hepatocyte uptake clearance. While useful, this static approach lacked the integration of multiple processes occurring simultaneously over time. Recently however, the scope of the REF approach was expanded to integrate other processes (passive diffusion and efflux transport) and for predicting hepatocyte uptake over time as a function of all of these processes (Vildhede et al., 2016). This more dynamic method provided substantial improvement in identification of transporters that were most critical to hepatocyte uptake and elimination of pitavastatin. A dynamic approach was also recently employed to estimate RAF and renal clearance from data obtained in individually transfected human embryonic kidney 293 (HEK293) cells; however, the lack of a holistic in vitro model for the kidney limits the translation of data from individually transfected HEK293 cells to such a holistic model (Mathialagan et al., 2017). To date, the RAF approach has not been employed for dynamic predictions of cellular uptake of a compound that is a substrate of multiple hepatic uptake transporters, nor has it been used for estimating f_t via extrapolation from transfected cells to hepatocytes. The primary advantage of such a dynamic approach is the ability to integrate multiple processes (passive diffusion, uptake, efflux, and metabolism) and thus, in principle, provide a more integrated value for f_t compared with static methods.

In this study, a similar dynamic approach, as used by Vildhede et al. (2016), has been used to estimate f_t of hepatocyte uptake of pitavastatin. However, RAF, not REF, was used as the quantitation method. In this approach, henceforth referred to as the dynamic relative activity factor approach (DRF), uptake of pitavastatin into hepatocytes was predicted by integrating multiple uptake transporter clearances, bidirectional passive diffusion, and basolateral efflux clearance. Pitavastatin was chosen as the test compound, since the predominant hepatic elimination pathway of pitavastatin is active uptake (Watanabe et al., 2010; Jigorel and Houston, 2012; Riede et al., 2016). After prediction of hepatocyte uptake, f_t values were estimated and subsequently employed for estimating the IVIVC of hepatic uptake inhibition, as a means of predicting clinical outcomes.

Materials and Methods

Chemicals and Reagents

[³H]-estrone-3-sulfate (E3S; 50 Ci/mmol) and [³H]-pitavastatin (5 Ci/mmol) were purchased from American Radiolabeled Chemicals (St. Louis, MO). [³H]-cholecystokinin octapeptide (CCK-8; 98.7 Ci/mmol) and [³H]-taurocholic acid (TCA; 10 Ci/mmol) were purchased from Perkin Elmer (Shelton, CT). Pitavastatin calcium was purchased from Santa Cruz Biotechnology (Dallas, TX), CCK-8 was obtained from Phoenix Pharmaceuticals Inc. (Burlingame, CA), whereas E3S sodium salt and TCA sodium salt were purchased from Sigma-Aldrich (Burlington, MA). Cryopreserved human hepatocyte lots HUM4122D and HUP1001 were purchased from Lonza (Walkersville, IL), whereas lots Hu1651 were purchased from Life Technologies (Carlsbad, CA). Donor characteristics are provided in Supplemental Table 1.

In Vitro Transport Studies

Organic Anion-Transporting Polypeptide and Sodium-Taurocholate Cotransporting Polypeptide Substrate Assays in HEK293 Cells. HEK293 cells were maintained and transiently transfected with human organic anion-transporting polypeptide OATP1B1, OATP1B3, sodium-taurocholate cotransporting polypeptide (NTCP), or vector-control cDNA as per previously published procedures (Taub et al., 2011). All uptake assays were conducted at 37°C. Total uptake was determined from uptake in transporter-transfected cells, whereas passive uptake was determined from uptake in vector-transfected cells. The linear range of time-dependent uptake was assessed from initial experiments (data not shown). Subsequently, the incubation time of each substrate selected for concentration-dependent uptake assays was as follows: 1 minute for E3S and

pitavastatin and 3 minutes for TCA and CCK-8. Uptake assays were initiated by adding either radiolabeled compound or a mixture of radiolabeled compound and nonradiolabeled compound to each well. Assays were conducted and samples were lysed as published previously (Taub et al., 2011). Cellular uptake was quantified by liquid scintillation counting. The total cellular protein content was determined by the Bradford method (Stoscheck, 1990).

Hepatocyte Selection. Donor characteristics and uptake characteristics of hepatocyte lots are provided in Supplemental Table 1. Hepatocyte lots were selected based on vendor certification of uptake activity and further qualified in house with respect to active uptake of E3S. Hepatocyte lots that exhibited significant active uptake of E3S were used for this study.

OATP and NTCP Substrate Assays in Hepatocytes in Suspensions. The oil-spin centrifugation method was used to assess uptake in hepatocyte suspensions and was based on protocol of Bioreclamation IVT (Westbury, NY). Because this protocol can no longer be accessed on the supplier's website, details of the assay are provided in the Supplemental Material (section 2). For all compounds, total uptake was evaluated at 37°C, and passive uptake was evaluated at 4°C. For E3S, passive uptake was also evaluated at 37°C in the presence of 100 μM rifamycin SV, an inhibitor of OATP1B1 and OATP1B3. Initial experiments were conducted to determine the linear range of time-dependent uptake. Subsequently, the incubation times selected for concentration-dependent uptake assays were as follows: 1 minute for E3S and pitavastatin and 3 minutes for TCA and CCK-8. Substrate solutions were prepared in Krebs-Henseleit buffer for all assays except when passive diffusion was assessed by NTCP, in which sodium-free buffer was prepared as described previously (Taub et al., 2011). Uptake assays were conducted with either only radiolabeled compound or a mixture of radiolabeled compound and nonradiolabeled compound. The only change made to the supplier's protocol was that after centrifugation through the oil layer, each tube was placed on dry ice for at least 20 minutes. The tubes were then cut, and the bottom layer of each tube containing the cell pellet was lysed in 1% SDS solution. Samples were quantified by liquid scintillation counting, and total cellular protein content was determined by the Bradford method (Stoscheck, 1990).

OATP and NTCP Substrate Assays in Sandwich-Cultured Human Hepatocytes. Single donor primary human hepatocytes were cultured according to the supplier's protocols (<https://www.lonza.com/products-services/bio-research/adme-tox/hepatocytes-and-media.aspx>). Further details of the assay are provided in the Supplemental Material (section 2). At approximately 24 hours after plating, cells were overlaid with Matrigel. Uptake assays were conducted 5 days after the addition of Matrigel to allow formation of bile canaliculi (Vildhede et al., 2016). For all compounds, total uptake was assessed at 37°C, whereas passive uptake was evaluated at 4°C. Passive uptake of E3S was also evaluated at 37°C in the presence of 100 μM rifamycin SV. Initial experiments were conducted to determine the linearity of time-dependent uptake. Subsequently, the incubation times selected for concentration-dependent uptake assays were as follows: 1 minute for E3S and pitavastatin and 3 minutes for TCA and CCK-8. The uptake buffer was Krebs-Henseleit buffer for all assays except when passive diffusion was assessed by NTCP, in which sodium-free buffer was prepared as described previously (Taub et al., 2011). For initiation of uptake studies, substrate solutions containing either radiolabeled compound or a mixture of radiolabeled compound and nonradiolabeled compound were added to each well. At the end of the incubation, the incubation buffer was collected. The cells were washed with ice-cold buffer and lysed in 1% SDS. The incubation buffers (before and after incubation) and the cellular lysates were quantified by liquid scintillation counting to determine amounts of compound in the incubation medium and cells, respectively. Total cellular protein content was determined by the Bradford method. To determine the amount of protein associated with cells, protein concentrations of control wells containing Matrigel only were subtracted from total protein concentrations determined from wells containing cells and Matrigel.

Determination of Kinetic Constants of Active Uptake and RAF Values

In all of the transport assays above, active uptake was determined by subtracting passive uptake from total uptake. The active uptake of each substrate was subsequently subjected to Eadie-Hofstee transformations. In situations in which biphasic uptake profiles were observed in the Eadie-Hofstee plots, only the high-affinity component of uptake (saturable component) was considered for estimating kinetic constants (K_m and V_{max}) using the Michaelis-Menten equation

as shown below in eq. 1. GraphPad Prism (version 6; GraphPad Software Inc., La Jolla, CA) was used for the analyses.

$$V = \frac{V_{\max} \cdot [S]}{K_m + [S]} \quad (1)$$

where V is the active uptake rate (in picomoles per minute per milligram protein), V_{\max} is the predicted maximal active uptake rate, $[S]$ is the substrate concentration (in micromoles), and K_m is the substrate concentration at which V_{\max} is half of the maximum value.

Uptake clearances (CL_{int} ; in microliters per minute per milligram protein) for each substrate were subsequently estimated as shown in eq. 2.

$$CL_{int} = \frac{V_{\max}}{K_m} \quad (2)$$

The RAF is defined as the ratio of clearance in human hepatocytes to clearance in transfected cells (Chapy et al., 2015) and was calculated from eq. 3.

$$RAF = \frac{CL_{int, \text{hepatocytes}}}{CL_{int, \text{HEK}}} \quad (3)$$

RAF values for OATP1B1, OATP1B3, and NTCP were calculated from the uptake kinetics of E3S, CCK-8, and TCA, respectively. It is well known that CCK-8 and TCA are selective substrates of OATP1B3 and NTCP, and this was true in initial experiments. E3S has previously been used as a selective OATP1B1 substrate for RAF purposes (Hirano et al., 2004; Kunze et al., 2014). E3S is a substrate of NTCP as well and a small portion of the uptake of E3S in hepatocytes is facilitated by NTCP; this was further corrected as follows: the uptake clearance of E3S in HEK-NTCP was multiplied by the hepatocyte RAF-NTCP values to obtain an estimate of the NTCP-mediated clearance of E3S in hepatocytes. The estimated NTCP-mediated E3S clearance in hepatocytes was subsequently subtracted from the observed E3S active uptake clearance in hepatocytes. The resulting E3S uptake clearance in hepatocytes was considered to reflect OATP1B1-mediated uptake clearance in hepatocytes and was used to determine the RAF of OATP1B1.

Mechanistic Model of In Vitro Hepatocyte Disposition of Pitavastatin

A two-compartment model was constructed in Phoenix WinNonlin (version 6.3; Certara, Princeton, NJ) to represent the hepatocyte uptake process (eqs. 4 and 5), with the two compartments representing the media and the cells.

$$\begin{aligned} \frac{dA_{\text{med}}}{dt} = & - (CL_{\text{passive}} + CL_{\text{OATP1B1}} + CL_{\text{OATP1B3}} + CL_{\text{NTCP}}) * (C_{\text{med}} * f_{u,\text{med}}) \\ & - (NSB * (C_{\text{med}} * f_{u,\text{med}})) + (CL_{\text{passive}} + CL_{\text{MRP3}} + CL_{\text{BCRP}} + CL_{\text{P-gp}}) \\ & * (C_{\text{cell}} * f_{u,\text{cell}}) \end{aligned} \quad (4)$$

$$\begin{aligned} \frac{dA_{\text{cell}}}{dt} = & + (CL_{\text{passive}} + CL_{\text{OATP1B1}} + CL_{\text{OATP1B3}} + CL_{\text{NTCP}}) * (C_{\text{med}} * f_{u,\text{med}}) \\ & - (CL_{\text{passive}} + CL_{\text{MRP3}} + CL_{\text{BCRP}} + CL_{\text{P-gp}}) * (C_{\text{cell}} * f_{u,\text{cell}}) \end{aligned} \quad (5)$$

where $A_{\text{med or cell}}$ is the amount of substrate in medium or cell at time t and $C_{\text{med or cell}}$ is the total concentration of compound in the medium or cells at time t . $V_{\text{med or cell}}$ (volume of media or cells) was used to estimate $C_{\text{med or cell}}$, and V_{med} was 500 and 150 μl for hepatocytes in sandwich culture and suspension, respectively. V_{cell} was considered to be 3.9 μl /million cells for hepatocytes in suspension (Ménochet et al., 2012b) and 7.4 μl /mg total protein for sandwich-cultured human hepatocytes (SCHHs) (Pfeifer et al., 2013). $f_{u,\text{med}}$ is the fraction unbound in media (equal to 1) and $f_{u,\text{cell}}$ is the fraction unbound in cells. NSB (nonspecific binding) is the fraction of pitavastatin lost per minute due to nonspecific binding between the first and last sampling time points (units are in min^{-1}).

CL refers to clearance mediated by either passive diffusion (CL_{passive}) or transporters. Equations 4 and 5 show all transporters that are known to affect the hepatocyte disposition of pitavastatin. However, in suspension hepatocytes, biliary networks are not known to form. In SCHHs, in this case, the experimental setup did not differentiate between accumulation between cells and between bile. Hence, in both situations, CL_{BCRP} and $CL_{\text{P-gp}}$ were considered to be 0. Thus,

hepatocyte disposition of pitavastatin was modeled through eqs. 6 and 7 as shown below. Of note, the error codes associated with both C_{med} and C_{cell} were additive.

$$\begin{aligned} \frac{dA_{\text{med}}}{dt} = & - (CL_{\text{passive}} + CL_{\text{OATP1B1}} + CL_{\text{OATP1B3}} + CL_{\text{NTCP}}) * (C_{\text{med}} * f_{u,\text{med}}) \\ & - (NSB * (C_{\text{med}} * f_{u,\text{med}})) + (CL_{\text{passive}} + CL_{\text{MRP3}}) * (C_{\text{cell}} * f_{u,\text{cell}}) \end{aligned} \quad (6)$$

$$\begin{aligned} \frac{dA_{\text{cell}}}{dt} = & + (CL_{\text{passive}} + CL_{\text{OATP1B1}} + CL_{\text{OATP1B3}} + CL_{\text{NTCP}}) * (C_{\text{med}} * f_{u,\text{med}}) \\ & - (CL_{\text{passive}} + CL_{\text{MRP3}}) * (C_{\text{cell}} * f_{u,\text{cell}}) \end{aligned} \quad (7)$$

Transporter-mediated uptake clearance ($CL_{\text{transporter}}$) in eqs. 6 and 7 above was further delineated as shown in eq. 8:

$$CL_{\text{uptake transporter}} = \frac{V_{\max} \cdot \text{RAF}}{K_m + [C_{\text{med}} * f_{u,\text{med}}]} \quad (8)$$

where V_{\max} and K_m are the uptake kinetic constants of pitavastatin determined in the HEK293 cells for a particular transporter, and RAF is the corresponding RAF of the transporter. The only efflux transporter pertinent to our experimental setup was multidrug resistance-associated protein 3 (MRP3). For MRP3, the efflux clearance parameters were obtained from the literature (Vildhede et al., 2016) and were not estimated in this experiment.

Due to nonspecific binding of pitavastatin (experimentally estimated to be 10%–20%), the initial amount available to the cells was determined from either buffer incubations on plastic at 1 minute (the shortest time point) or from total radioactivity recovered in buffer and cells at 1 minute, whichever value was highest. Of note, it has been reported that the $f_{u,\text{med}}$ of pitavastatin (obtained from fitting) can be as low as 0.63 (Ménochet et al., 2012b), which presumably is due to nonspecific binding of pitavastatin.

Determination of Fraction Unbound in Cells and CL_{passive}

CL_{passive} and $f_{u,\text{cell}}$ were derived by fitting hepatocyte disposition of pitavastatin at 4°C to the two-compartment model (eqs. 6 and 7 above). All transporter-associated clearances were fixed to 0 (under the assumption that active processes are not functional at 4°C). Loss of pitavastatin from media and uptake of pitavastatin into cells were simultaneously fit to obtain CL_{passive} and $f_{u,\text{cell}}$.

The $f_{u,\text{cell}}$ was also calculated by the method of Shitara et al. (2013). K_p is defined as the ratio of the total concentration of compound in cells to the total concentration in media at steady state. In this approach, the inverse of the K_p at 4°C is considered to be equal to the fraction unbound in cells. Henceforth, this method is referred to as the “steady-state method.”

Predictive Ability of the Two-Compartment Model

The bias of the predictions and the scatter associated with the predictions were assessed from the average fold error (AFE), and absolute average fold error (AAFE), respectively, and are shown in eqs. 9 and 10.

$$AFE = 10^{\frac{1}{n} \sum \log \frac{\text{Predicted}}{\text{Observed}}} \quad (9)$$

$$AAFE = 10^{\frac{1}{n} \sum \log \frac{|\text{Predicted}|}{|\text{Observed}|}} \quad (10)$$

Determinations of f_t by a Particular Transporter

As mentioned previously, the hepatocyte model (eqs. 6 and 7) accounted for multiple hepatic disposition processes of pitavastatin. The hepatocyte accumulation of pitavastatin due to each individual process (CL_{passive} or $CL_{\text{uptake transporter}}$) was simulated, one at a time, and the area under the curve $AUC_{\text{in-vitro}}$ of each individual process was calculated by noncompartmental analysis. AUC values of the individual processes (passive diffusion, OATP1B1, OATP1B3, and NTCP) were added to obtain a total simulated AUC. Of note, total simulated AUC values were similar to observed AUC values. Subsequently, the AUC of each individual process was expressed as a fraction of the total simulated AUC to obtain the f_t . Initial simulations showed MRP3 to have minimal impact on hepatocyte disposition. Thus, MRP3 was not considered in the final f_t calculations.

TABLE 1

Kinetic parameters of active uptake of transporter substrates and pitavastatin in transfected HEK293 cells
Data are presented as means \pm S.D. unless indicated otherwise.

Substrate	Cell Type	K_m	V_{max}	V_{max}/K_m
		μM	<i>pmol/min per milligram</i>	<i>$\mu l/min$ per milligram</i>
E3S	HEK293-OATP1B1	0.52 \pm 0.03	45.4 \pm 0	87.7
CCK-8	HEK293-OATP1B3	3.1 \pm 0.7	55.1 \pm 16.5	19.0
TCA	HEK293-NTCP	14.9	1191	79.9
Pitavastatin	HEK293-OATP1B1	4.2 \pm 0.1	187.2 \pm 32.5	44.4 \pm 6.7
	HEK293-OATP1B3	2.2 \pm 0.1	74.2 \pm 37.8	32.6 \pm 14.8
	HEK293-NTCP	24.3 \pm 3.1	866 \pm 283	35.2 \pm 7.1

Each experiment was done twice except the TCA uptake experiment in HEK293-NTCP, which was done once.

IVIVCs of Inhibition of Uptake of Pitavastatin

IVIVCs of inhibition of uptake were determined from the Rowland–Matin equation (eq. 11), which is a method of estimating the fold change in exposure due to inhibition of a particular elimination pathway (in this case, transporters) by a particular inhibitor. This equation/approach has been employed previously for calculating AUC (area under the plasma concentration time curve) fold changes resulting from hepatic uptake inhibition (Elsby et al., 2012; Shen et al., 2013).

$$AUCR = \frac{AUC_i}{AUC_c} = \frac{1}{\sum_{i=1}^n \frac{f_i f_h}{1 + [I]/K_i} + (1 - \sum_{i=1}^n f_i f_h)} \quad (11)$$

where AUCR is the ratio of area under the curve values obtained in the presence and absence of an inhibitor. AUC_i and AUC_c are the AUC in the presence or absence of an inhibitor, respectively. f_i is the fraction transported, K_i is the reversible inhibition constant. $[I]$ is the in vivo concentration of the inhibitor, and for this parameter, unbound hepatic inlet concentration ($[I]_{u,inlet,max}$) was considered. K_i values and physicochemical properties/pharmacokinetic (PK) parameters used for calculating $[I]_{u,inlet,max}$ were obtained from the literature and are provided in Supplemental Table 3.

In eq. 9, f_h is the fraction of pitavastatin eliminated by the liver. The fraction of pitavastatin eliminated in urine as unchanged pitavastatin is 3% (as provided on the Livalo label; Kowa Pharmaceuticals America Inc., Montgomery, AL; <https://www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=overview.process&ApplNo=022363>). Thus, f_h was estimated to be 0.97. Of note, renal clearance of pitavastatin is often considered to be 0 (Varma et al., 2014; Riede et al., 2016); hence, the value of 0.97 is a reasonable estimation of f_h of pitavastatin.

Results

Uptake of E3S, CCK-8, TCA, and Pitavastatin in HEK293-Transfected Cells

Concentration-dependent uptake of E3S, CCK-8, and TCA was evaluated in HEK293 cells transfected with OATP1B1, OATP1B3, and NTCP, respectively. Total, passive, and active uptake of each substrate is shown in Supplemental Fig. 1, and the kinetic constants of active uptake are listed in Table 1. As shown in Table 1, the K_m values of all three substrates were similar to what has been reported previously for the corresponding transporter ($\leq 1 \mu M$ for high-affinity binding of E3S to OATP1B1, 3.8–6.5 μM for CCK-8, and 5.4–22.1 μM for TCA) (Hirano et al., 2004; Leonhardt et al., 2010; Schwarz et al., 2011; DeGorter et al., 2012; Sharma et al., 2012; De Bruyn et al., 2014; Gozalpour et al., 2014; Marada et al., 2015).

The concentration-dependent uptake of pitavastatin was also evaluated. Values describing the total, passive, and active uptake of pitavastatin are shown in Supplemental Fig. 1, and the kinetic constants of active uptake are listed in Table 1. As shown in Table 1, the K_m values of pitavastatin for OATP1B1, OATP1B3, and NTCP in this study were similar to previously reported values (0.8–4.8 μM for OATP1B1, 2.6–3.3 μM for OATP1B3, and 38.5 μM for NTCP) (Hirano et al., 2004; Sharma et al., 2012; Soars et al., 2012; Izumi et al., 2015; Vildhede et al., 2016).

TABLE 2

Kinetic parameters of active uptake of transporter substrates in hepatocytes in suspension or sandwich culture (SCHHs)

Data are presented as means \pm S.D. unless indicated otherwise.

Substrate	Hepatocyte Format	Hepatocyte Lot	K_m	V_{max}	V_{max}/K_m	RAF
			μM	<i>pmol/min per milligram</i>	<i>$\mu l/min$ per milligram</i>	
E3S	Suspension	HUP1001	1.14	77.63	67.9	0.68
		HUP1001 (rifamycin SV)	0.93	166.2	179.3	1.95
		HUM122D	0.53	114.8	218.2	2.43
	SCHH	HUM4122D (rifamycin SV)	0.32 \pm 0.032	84.4 \pm 6.5	269 \pm 48	3.02 \pm 0.55
		HU1651	0.7	84.8	121	1.16
		HUM4122D	1.25	141.8	113.1	1.18
CCK-8	Suspension	HUM4122D (rifamycin SV)	0.52	56	108	1.12
		HUP1001	NC ^a	NC	0.71 ^b	0.04
		HUM122D	1.2	6.8	5.8	0.31
	SCHH	HU1651	1.9	3.0	1.6	0.09
		HUM4122D	1.3	7.6	5.9	0.31
		HUM4122D	1.3	7.6	5.9	0.31
TCA	Suspension	HUP1001	23.6	437	18.5	0.23
		HUM122D	21.4	246	11.5	0.14
		HU1651	12.9	594.3	45.9	0.57
	SCHH	HUM4122D	25.6	577.1	22.6	0.28
		HUM4122D	25.6	577.1	22.6	0.28
		HUM4122D	25.6	577.1	22.6	0.28

Each experiment was done once except the HUM4122D rifamycin SV experiments, which were done twice. NC, not calculated.

^aNot calculated due to low active uptake.

^bClearance averaged from concentrations where uptake at 37°C > uptake at 4°C.

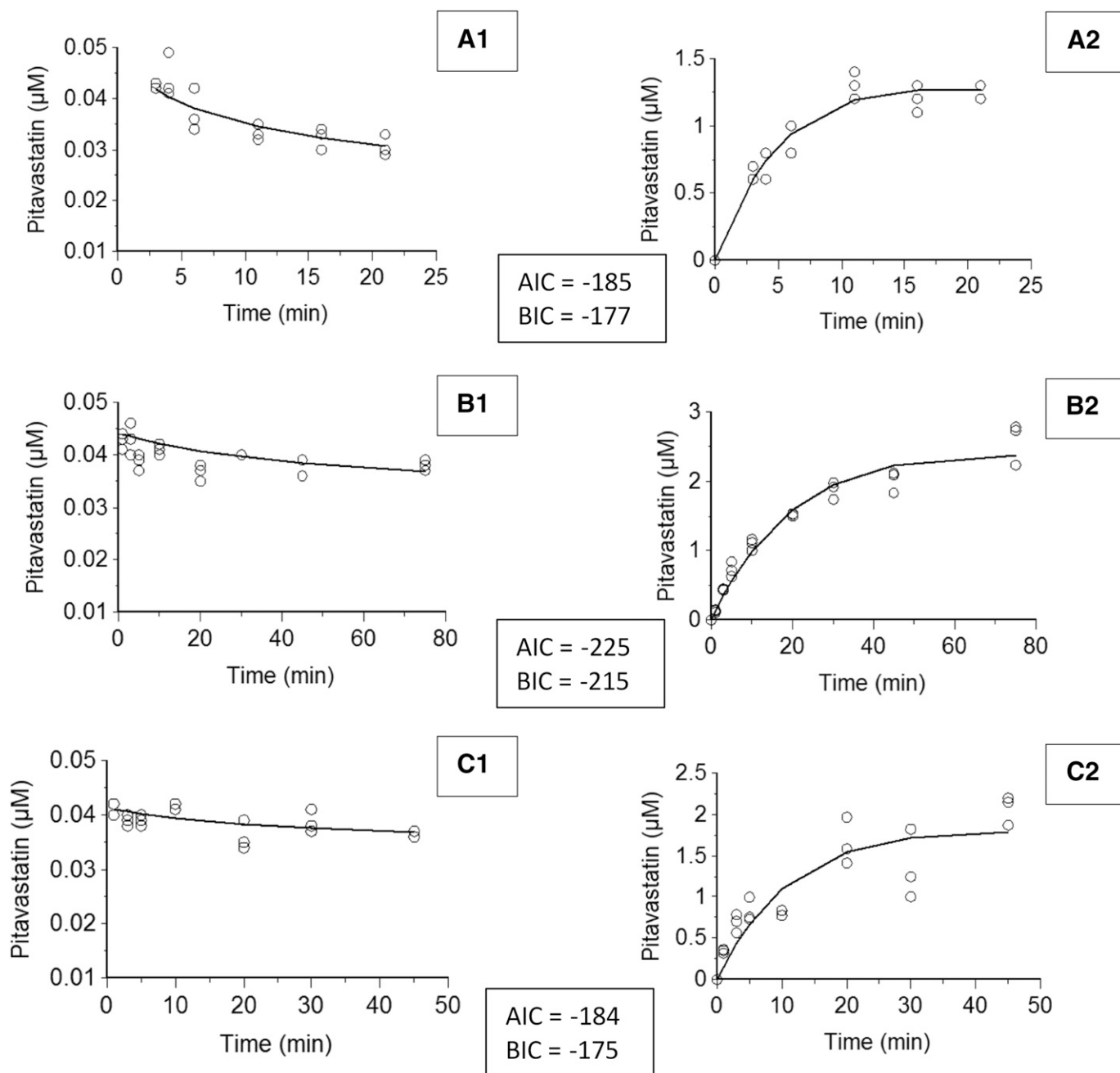


Fig. 1. Fitting of the time-dependent hepatocyte uptake of pitavastatin at 4°C as represented by media (A1–C1) and intracellular (A2–C2) concentrations. Open circles represent observed data (individual values) and solid lines represent simulations. (A1 and A2) Suspension, lot HUM4122D. (B1 and B2) SCHH lot HUM4122D. (C1 and C2) SCHH lot HU1651. ‘AIC’ is Akaike Information Criteria and ‘BIC’ is Bayesian Information Criteria.’

Uptake of E3S, CCK-8, and TCA in Hepatocytes and Estimation of RAF Values

Concentration-dependent uptake of E3S, CCK-8, and TCA in suspension and sandwich-cultured hepatocytes was evaluated. For E3S, passive diffusion was estimated at 4°C and also at 37°C in the presence of $100\ \mu\text{M}$ rifamycin SV. There was no appreciable difference in passive uptake of E3S between these two approaches. Hence, for CCK-8 and TCA, incubations at 4°C only were used for estimating passive diffusion.

Total, passive, and active uptake of each substrate is shown in Supplemental Figs. 2 and 3, whereas the kinetic constants of active uptake are listed in Table 2. Of note, the K_m values of E3S, CCK-8, and

TCA obtained using hepatocytes were similar to the values determined in HEK293 cells.

The RAFs of OATP1B1, OATP1B3, and NTCP between transfected HEK293 cells and hepatocytes are listed in Table 2. The RAF values indicated that the activity of OATP1B1 in hepatocytes was similar to that in the transfected cells. The activities of OATP1B3 and NTCP in hepatocytes were much lower than in transfected cells, and this was especially evident in lots HUP1001 and HU1651 for OATP1B3. In lot HUM4122D, the RAF values also indicated that in SCHHs compared with suspensions, OATP1B1 activity decreased by 2.4-fold, OATP1B3 activity remained constant, and the NTCP activity increased by 2-fold.

TABLE 3

Derivation of passive diffusion clearance and fraction unbound in cells from hepatocyte uptake of pitavastatin at 4°C

Data in parentheses are coefficient of variation percentages.

Hepatocyte Lot and Culture Format	CL _{passive}	f _{u,cell} Modeled	f _{u,cell} Steady-State Method
	<i>μl/min per milligram</i>		
HUM4122D (SCHH)	23.8 (8.1)	0.015 (5.5)	0.017
HU1651 (SCHH)	29.5 (21)	0.020 (10.3)	0.019
HUM4122D (suspension)	28.6 (6.95)	0.025 (4.9)	0.025
HUP1001 (suspension)	29.5 ^a	NC ^b	0.026

NC, not calculated.

^aExperimentally determined, not modeled.^bNot calculated, as CL_{passive} in lot HUP1001 was experimentally determined.

Modeling of Hepatocyte Uptake of Pitavastatin at 4°C to Derive CL_{passive} and f_{u,cell}

Time-dependent uptake of pitavastatin at 4°C in hepatocytes was fitted to the two-compartment model (eqs. 6 and 7) to derive CL_{passive} and f_{u,cell}. The fits to the uptake data at 4°C are shown in Fig. 1, and the derived values of CL_{passive} and f_{u,cell} are listed in Table 3. In addition, f_{u,cell} estimates from the steady-state method, which is a more commonly employed method of f_{u,cell} determination, are also reported in Table 3 (Shitara et al., 2013). Estimates of f_{u,cell} by the steady-state approach were found to be very similar to those determined by modeling, indicating that the modeling approach is able to generate reliable f_{u,cell} estimates, at least for pitavastatin.

Prediction of the Hepatocyte Uptake of Pitavastatin at 37°C and Determination of f_i

Time-dependent uptake of pitavastatin at 37°C in hepatocytes was predicted by the two-compartment model (eqs. 6 and 7). For the predictions, all model parameters were incorporated as fixed parameters and none of the parameters were fitted. CL_{passive} and f_{u,cell} values derived from modeling of 4°C uptake data were used for the 37°C predictions, along with the V_{max} and K_m of each transporter as predicted using the RAF method.

Predictions of time-dependent uptake of pitavastatin in hepatocytes at 37°C are shown in Fig. 2. The AFE values were close to unity, indicating that there was no bias for either overprediction or underprediction of pitavastatin disposition in both cells and medium. The AAFE values were <1.25, indicating low scatter. The goodness of fit also indicated that RAF values had been correctly estimated and that CL_{passive} and f_{u,cell} derived from 4°C incubations are suitable representatives of those parameters at 37°C for pitavastatin. Overall, there was good predictive ability in both the suspension and SCHH formats of hepatocytes.

Hepatocyte disposition processes that were modeled for pitavastatin were uptake, bidirectional passive diffusion, basolateral efflux by MRP3, and intracellular binding. As mentioned above, due to the unavailability of a selective MRP3 RAF probe substrate, previously published K_m (448 μM) and REF estimates were used for MRP3 (Vildhede et al., 2016). Initial simulations showed that MRP3 had minimal impact on hepatocyte disposition (Supplemental Table 2). Simulations showed that the V_{max} of MRP3 would need to be considerably higher (≥10⁴-fold) than the currently used V_{max} to substantially affect cellular accumulation of pitavastatin. From this analysis, it was judged that MRP3 would have minimal impact on intracellular concentrations of pitavastatin. Thus, MRP3 was not considered in the final f_i calculations.

For all other processes (transporter and passive diffusion), the f_i values of pitavastatin were estimated and indicated that the rank order of contributions to hepatocyte uptake of pitavastatin were OATP1B1 >

passive diffusion > NTCP ≥ OATP1B3 (Table 4). In the suspension and SCHH formats, the f_i of OATP1B1 was approximately 0.6 and 0.5, respectively. In lot HUM4122D, which was the only lot used in both culture formats, there was a 1.3× decrease in OATP1B1 contribution in SCHHs compared with suspensions, which was less than that indicated by RAF estimates alone (2.4×). A significant portion of the total hepatocyte uptake of pitavastatin was by passive diffusion (approximately 30% in both culture formats). Thus, the actual reduction of OATP1B1 f_i in SCHHs compared with suspensions was less pronounced than that indicated by RAF estimates alone. In both culture formats, OATP1B3 constituted <10% of total uptake. NTCP contributed <10% of the total uptake in suspensions and slightly greater than 10% of the total uptake in SCHHs.

IVIVCs of Inhibition of Pitavastatin Uptake

IVIVCs of hepatic uptake inhibition of pitavastatin were estimated for two commonly used OATP inhibitors, rifampicin and cyclosporine. Physiologically based pharmacokinetic (PBPK) modeling of clinical PK data of several OATP substrates has shown that in vitro K_i values are insufficient in recovering clinical PK profiles of these compounds and resulted in significant underpredictions of exposure changes in the presence of inhibitors when used in PBPK models. The general opinion of these studies is that for rifampicin and cyclosporine, in vitro K_i values are lower than the corresponding in vivo K_i values. Subsequently, in these studies, model-optimized K_i values (referred to as in vivo K_i values) led to much improved recovery of PK data (Varma et al., 2012; Jamei et al., 2014; Yoshikado et al., 2016; Duan et al., 2017). Rifampicin and cyclosporine each have in vivo K_i values reported in the literature; therefore, these values were employed for estimating AUCRs of hepatic uptake inhibition in this study. The in vivo K_i values of cyclosporine for inhibition of OATP1B1, OATP1B3, and NTCP are provided in Supplemental Table 3 (Jamei et al., 2014). For rifampicin, an article published by the Food and Drug Administration indicated that the in vivo K_i values of OATP1B1 and OATP1B3 inhibition are at least one-tenth of the in vitro values of 0.9 and 0.3 μM (Duan et al., 2017). Thus, rifampicin K_i values for OATP1B1 and OATP1B3 were considered to be 0.09 and 0.03 μM, respectively. For NTCP inhibition by rifampicin, the in vitro K_i value (138.5 μM) was used due to a lack of information regarding in vivo K_i values.

The predicted AUCRs of hepatic uptake inhibition of pitavastatin (calculated from eq. 11) are listed in Table 5. In vivo, the AUC of pitavastatin increased by 5.1–6.7-fold in the presence of rifampicin and by 4.6-fold in the presence of cyclosporine (Chen et al., 2013; Prueksaritanont et al., 2014; Kim et al., 2016). The rifampicin studies were conducted in three different populations; in this study, the AUC exposure change observed in the Caucasian population (5.8-fold) was considered to be the benchmark for IVIVCs of hepatic inhibition with rifampicin (Prueksaritanont et al., 2014). It was found that predicted

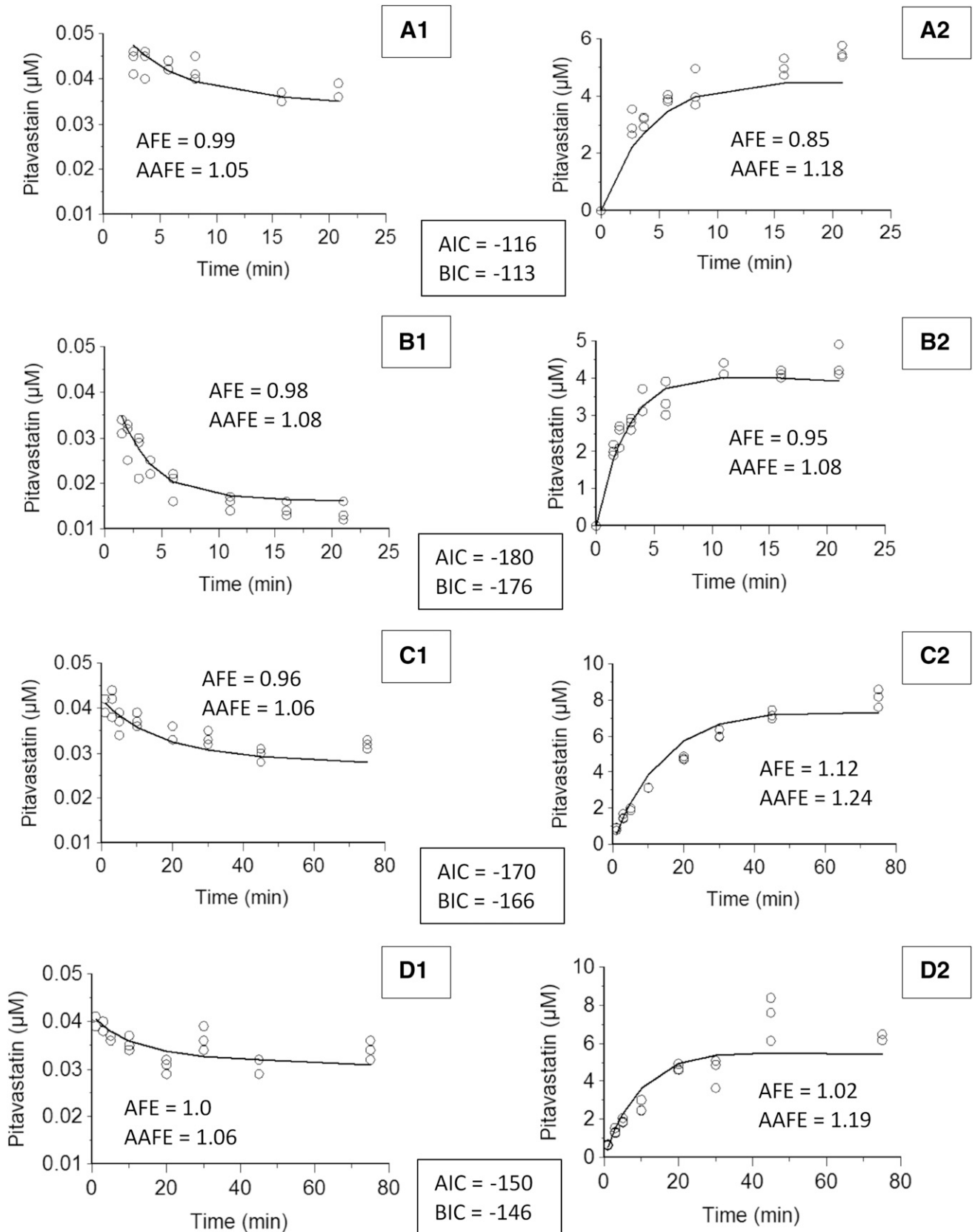


Fig. 2. Predictions of the time-dependent hepatocyte uptake of pitavastatin at 37°C as represented by media (A1–D1) and intracellular (A2–D2) concentrations. Open circles represent observed data (individual values) and solid lines represent simulations. (A1 and A2) Suspension, lot HUP1001. (B1 and B2) Suspension, lot HUM4122D. (C1 and C2) SCHH lot HUM4122D. (D1 and D2) SCHH lot HU1651. ‘AIC’ is Akaike Information Criteria and ‘BIC’ is Bayesian Information Criteria.

TABLE 4
Contributions of hepatic uptake transporters toward uptake of pitavastatin in hepatocytes

Hepatocyte Lot and Culture Format	Transporter	Fraction of Pitavastatin Transported (f_t)
HUM4122D (SCHH)	OATP1B1	0.53
	OATP1B3	0.09
	NTCP	0.09
	Passive diffusion	0.30
HU1651 (SCHH)	OATP1B1	0.48
	OATP1B3	0.03
	NTCP	0.17
	Passive diffusion	0.32
HUP1001 (suspension)	OATP1B1	0.56
	OATP1B3	0.01
	NTCP	0.07
	Passive diffusion	0.37
HUM4122D (suspension)	OATP1B1	0.66
	OATP1B3	0.03
	NTCP	0.02
	Passive diffusion	0.29

AUCRs underpredicted observed AUCRs (0.33–0.51-fold for rifampicin and 0.41–0.54-fold for cyclosporine). The underpredictions were not surprising, as it has been shown for many OATP substrates that in vitro hepatic uptake clearance is usually much lower than in vivo hepatic uptake clearance (Jones et al., 2012; Ménochet et al., 2012b; Varma et al., 2012, 2014; Li et al., 2014). This discrepancy is thought to be due to transporter activity differences between in vitro models and liver tissue. For purposes of PBPK modeling or IVIVCs of hepatic uptake, an approach to resolve this discrepancy has been the application of SFs to in vitro uptake clearance. We used a similar approach and applied empirical SFs to the RAF values. Subsequently, hepatocyte uptake of pitavastatin was resimulated and f_t values were re-estimated. We observed that SFs of 10–15 resulted in projected AUCRs being within 0.8–1.05-fold of in vivo AUCRs (Table 5).

Discussion

From a DDI perspective, f_t is a valuable parameter in helping refine DDI predictions made through either static equations or PBPK modeling. RAF methods have previously been used for estimating f_t ; however, these estimations have typically described a static process rather than consider the transport of a compound over time. In cases in which RAF approaches have been used in a dynamic manner, the data obtained in transfected cells were not translated to a more holistic model (Mathialagan et al., 2017). The goal of this study was to supplement

in vitro RAF data with modeling techniques that allow integration of processes in addition to uptake to predict cellular accumulation in a more holistic model (hepatocytes) and to subsequently determine a more accurate f_t value for individual transporters as part of a dynamic process. This should increase the predictive ability of interactions associated with individual transporters and should allow identification of transporter(s) at which DDIs would be most significant.

Pitavastatin was selected as the probe substrate since its metabolic clearance and biliary clearance are low compared with uptake clearance (Watanabe et al., 2010; Varma et al., 2014; Riede et al., 2016; Vildhede et al., 2016). Hepatocyte disposition processes that were modeled for pitavastatin were uptake, bidirectional passive diffusion, basolateral efflux by MRP3, and intracellular binding. Quantification of hepatocyte uptake of pitavastatin by the DRF approach indicated that the primary determinant of pitavastatin hepatocyte uptake was OATP1B1 ($f_t = 0.48$ – 0.66 based on total uptake) with minor contributions from OATP1B3 and NTCP ($f_t = 0.01$ – 0.09 and 0.02 – 0.13 , respectively). The f_t values correlate well with what is known about the in vivo hepatic uptake of pitavastatin (i.e., it is mediated primarily through OATP1B1) (Prueksaritanont et al., 2014). Thus, the DRF approach was able to correctly identify the transporter with the largest uptake contribution. This information may not have been as easily gleaned from studies done in transfected cells alone, where pitavastatin had similar transport efficiencies (V_{max}/K_m) for OATP1B1, OATP1B3, and NTCP (Table 1). Of note, MRP3 had minimal impact on pitavastatin hepatocyte

TABLE 5
IVIVC of inhibition of hepatocyte uptake of pitavastatin

AUCR (Observed or Predicted)	AUCR with Pitavastatin as the Substrate Drug in the Presence of Inhibitor Drugs	
	Rifampicin	Cyclosporine
Observed in vivo AUCR	5.8 ^a	4.6 ^b
Predicted AUCRs		
HUM4122D (SCHH)	2.4	2.2
HU1651 (SCHH)	1.9	1.9
HUM4122D (suspension)	2.9	2.5
HUP1001 (suspension)	2.2	2
Simulated AUCRs (the RAF of each transporter was multiplied by an SF)		
SF = 10	5.3	4
SF = 15	5.8	4.2

^aThe AUCR of pitavastatin in the presence of rifampicin is 5.1–6.7 based on data from three studies conducted across three different populations. The AUCR of 5.8 was observed in the Caucasian population and was considered to be the benchmark in this study (Prueksaritanont et al., 2014).

^bThe AUCR of pitavastatin in the presence of cyclosporine is based on data provided on the Livalo label (can be accessed on the U.S. Food and Drug Administration website at <https://www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=overview.process&AppNo=022363>).

accumulation. This result was logical since MRP3 transports pitavastatin with a much lower affinity compared with OATPs and also has a much lower hepatic expression.

In previous studies, static RAF or chemical inhibition approaches have estimated transporter contributions toward hepatocyte uptake of pitavastatin to be 42%–95%, 1.8%–12.3%, and 29% for OATP1B1, OATP1B3, and NTCP, respectively (Hirano et al., 2004; Bi et al., 2012; Kunze et al., 2014). Some of these studies estimated transporter contributions based on active uptake alone, thus resulting in artificially high f_t values. More recently, a dynamic REF-based method estimated the f_t of OATP1B1 and NTCP to be 57%–87% and 6%–22%, respectively (Vildhede et al., 2016). We were interested in evaluating whether an approach similar to that of Vildhede et al., but using translations based on activities instead of expression, is able to simulate hepatocyte disposition. The f_t values obtained from our DRF approach (48%–66%, 1%–9%, and 2%–17% for OATP1B1, OATP1B3, and NTCP, respectively) indicated that this approach was able to produce results on par with other methods.

We subsequently employed the f_t values to determine the in vitro to in vivo predictive ability of hepatic uptake inhibition of pitavastatin. Incidentally, such pieces of information are of high value to clinical teams. AUC changes of a compound resulting from hepatic uptake inhibition have previously been estimated using either the Rowland–Matin equation (Elsby et al., 2012; Shen et al., 2013) or the extended clearance concept (Varma et al., 2014). Here, we used the former approach (eq. 11). Inhibitors considered were rifampicin and cyclosporine due to the wealth of clinical data available for these compounds. We found that AUCR predictions were 0.4-fold of observations in suspensions and 0.5-fold in SCHHs. Back-calculations showed that in order for the predicted AUCRs to be exactly the same as observed AUCRs, active uptake would have to account for 80%–88% of total uptake into hepatocytes. In comparison, the hepatocyte lots used in this study showed 65%–70% active uptake, which led to the 2–2.5 \times underpredictions of hepatic uptake inhibition.

The lower-than-optimal percentage of active uptake in hepatocytes may be due to a decrease in transporter activities in hepatocytes

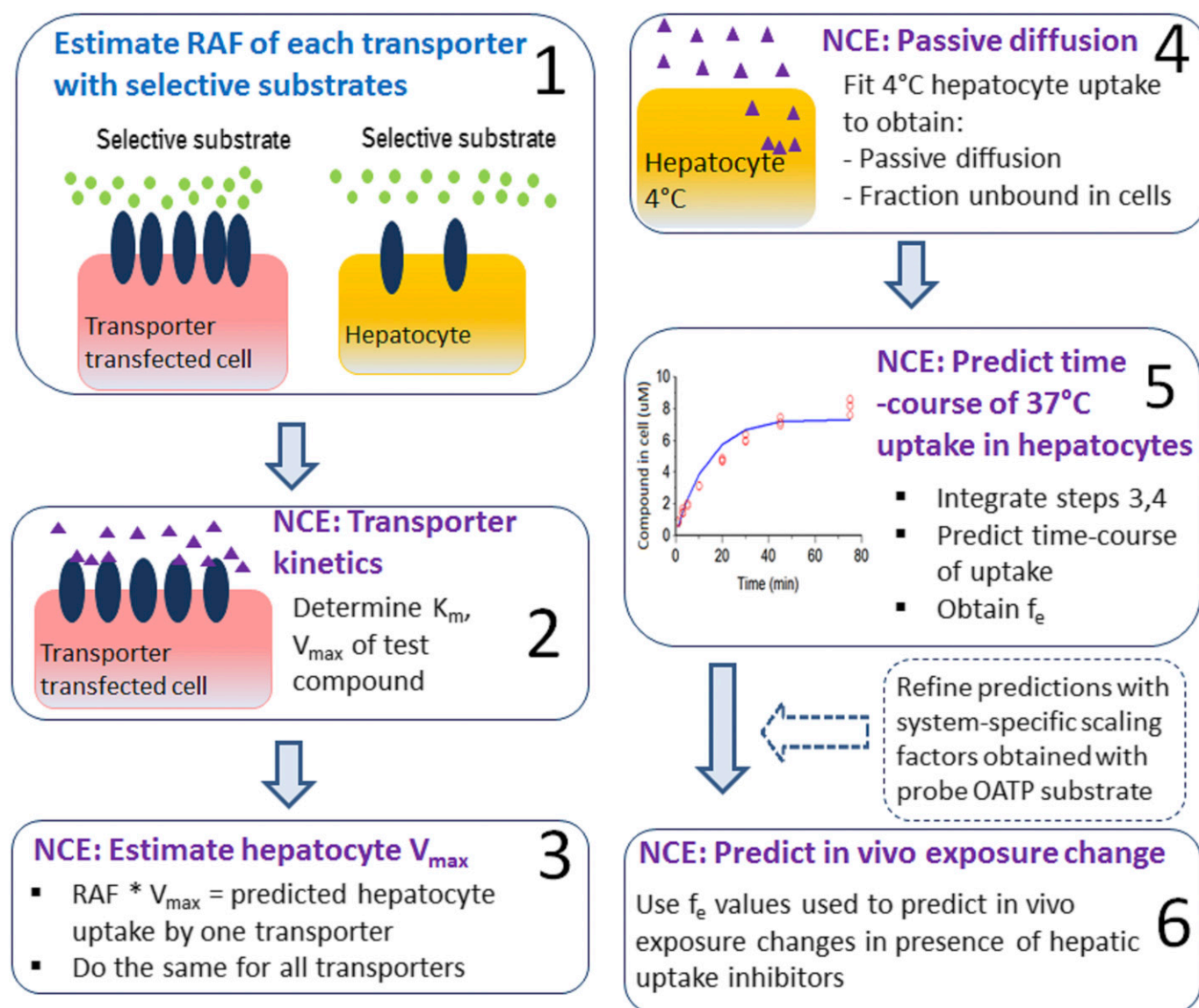


Fig. 3. Road map of predicting in vivo exposure changes of a NCE that is a hepatic uptake transporter substrate by the DRF approach.

compared with liver tissue. For many OATP1B1/1B3 substrates, in vitro hepatic uptake clearances are seen to be significantly lower than in vivo uptake clearances (Watanabe et al., 2010; Jones et al., 2012; Ménochet et al., 2012b; Varma et al., 2012, 2014; Li et al., 2014). For all of these OATP substrates, application of empirical SFs to in vitro uptake clearances resulted in improved IVIVCs. The SFs are hypothesized to represent activity differences between hepatocytes and liver tissue (Varma et al., 2014). Thus, in our study, after the 2–2.5 \times under-predictions of hepatic uptake inhibition, SFs were incorporated into the RAF estimates of each transporter and the effect on IVIVCs was re-evaluated. Simulations suggested that SFs of 10–15 resulted in the desired percentage of active uptake (80%–88%) and subsequently provided projected AUCRs that were the same as observed AUCRs (Table 5). Thus, while experimentally determined RAF values allowed accurate translation from transfected cells to hepatocytes, SFs were required for bridging the presumable activity differences between hepatocytes and the liver. For accurate IVIVCs of hepatic uptake inhibition of NCEs, we suggest calibration of hepatocytes with prototypical OATP substrates and inhibitors to determine system-specific SFs, which could subsequently be applied toward IVIVCs of hepatic uptake inhibition of the NCE with prototypical OATP inhibitors. However, SFs of OATP substrates exhibit a wide range of values; thus, an SF that works for one particular probe substrate may not be suitable for a new NCE. While this is admittedly a limitation, selection of a probe substrate with similar active and passive uptake properties as the NCE would be a logical approach for determination of system-specific SFs. A road map of the DRF approach is provided in Fig. 3.

Pitavastatin has similar in vitro efficiency (V_{\max}/K_m) for OATP1B1 and OATP1B3 (Table 1); however the f_t of the two transporters were remarkably different (OATP1B3, <0.1; OATP1B1, approximately 0.48–0.66). We explored the possible reasons for this difference by researching the relative expression of these transporters. The protein expression ratio of OATP1B3/OATP1B1 is 0.4–0.9:1 in liver tissue and 0.14–0.43:1 in hepatocytes (Badée et al., 2015; Peng et al., 2015; Burt et al., 2016; Prasad et al., 2016). If the in vitro efficiencies of pitavastatin in transfected cells are translated to hepatocyte clearance based on hepatocyte protein expression (assuming that functional activity is directly related to transporter abundance), then hepatocyte clearance of OATP1B3 should be 0.1–0.3 \times that of OATP1B1. Our results however indicated that OATP1B3 clearance was 0.02–0.03 \times of OATP1B1 clearance. As such, the RAF approach indicated the activity of OATP1B3 compared with OATP1B1 activity to be much less than what would have been expected if transporter expression and activity had a 1:1 correlation. While it is not easy to decipher the reason for this lack of correlation, in most of the protein quantitation studies cited above, protein expression was determined in crude membrane fractions, which presumably will have more protein than that present in plasma membrane fractions alone (Kunze et al., 2014). Further research on plasma membrane quantitation of OATP proteins and on alternative specific substrates of OATP1B3 might shed more light on the extent of correlation between abundance and activities in hepatocytes.

The RAF approach is based on the assumption that probe substrates are selective for the transporters. This is a limitation, since compounds are typically substrates of multiple transporters. However, a way around this limitation may be to utilize probe substrate concentrations that are selective for a particular transporter. For example, E3S has been used as a selective substrate of OATP1B1 for RAF purposes (Hirano et al., 2004; Kunze et al., 2014), but it is a substrate of OATP2B1 and NTCP as well. We found E3S to be a higher-affinity substrate of OATP1B1 ($K_m = 0.5 \mu\text{M}$) compared with OATP2B1 ($K_m = 20.2 \mu\text{M}$) and NTCP ($K_m = 20.6 \mu\text{M}$). Furthermore, E3S clearances in HEK293-OATP2B1 and HEK293-NTCP cells were only approximately 20%–25% of the

clearance in HEK293-OATP1B1 cells (data not shown). Thus, we assumed that at low concentrations (<5 μM), E3S can offer selectivity for OATP1B1. In retrospect, this assumption was valid, since there was generally good agreement between observed and predicted hepatocyte uptake of pitavastatin.

In summary, this is the first study to amalgamate in vitro RAF data with modeling techniques that allow integration of multiple processes to estimate f_t of hepatic uptake. For pitavastatin, a compound with a minor metabolic component of clearance and where hepatic disposition is uptake limited, the transporter contributions were correctly estimated by this technique. Future studies will evaluate the utility of this approach for f_t estimations of compounds for which metabolic and/or biliary clearance is also substantial in relation to uptake clearance. Furthermore, OATP1B1 polymorphisms are known to affect pitavastatin exposure significantly in certain ethnicities. Predicting such changes would be another potential application of the DRF approach.

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Authorship Contributions

Participated in research design: Mitra, Weinheimer, Taub.

Conducted experiments: Mitra, Weinheimer.

Performed data analysis: Mitra, Weinheimer.

Wrote or contributed to the writing of the manuscript: Mitra, Weinheimer, Michalewicz, Taub.

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