

DMD #22061

Principal Component Analysis of CYP2C9 and CYP3A4 Probe Substrate/Inhibitor Panels

Abhinav Nath and William Atkins

Department of Medicinal Chemistry, University of Washington

DMD #22061

a) Running Title: PCA of CYP Probe Substrate Panels

b) Corresponding Author:

Abhinav Nath
Department of Medicinal Chemistry
Box 357610
University of Washington
Seattle, WA 98195-7610
Phone: (206) 543 0237
Fax: (206) 685 3252
e-mail: anath@u.washington.edu

c)

Text: 14 pages

Tables: 2

Figures: 3

References: 8

Abstract: 162 words

Introduction: 453 words

Methods: 181 words

Results and Discussion: 968 words

d) Abbreviations:

CYP, cytochrome P450; PCA, principal component analysis; PC, principal component; DX, dextromethorphan; DZ, diazepam; MZ, midazolam; TZ, triazolam; TFA, terfenadine (C-hydroxylation); TFZ, terfenadine (N-demethylation); ER, erythromycin; CY, cyclosporin; TS, testosterone; NF, nifedipine; BROD, benzyloxyresorufin; EROD, ethoxyresorufin.

DMD #22061

Abstract

Cytochrome P450 (CYP) inhibition often occurs in a strongly substrate- and inhibitor-dependent manner, with a given inhibitor affecting the metabolism of different substrates to differing degrees, and with a given substrate responding differently to different inhibitors. Traditionally, patterns of functional similarity and dissimilarity among substrates and inhibitors have been studied using clustering analysis of pairwise correlation coefficients. Principal component analysis (PCA) is a widely-used statistical technique that identifies the globally most significant independent trends in a set of data. Here, we show that PCA can be usefully applied to study the differential effects on a panel of CYP probe substrates by a panel of inhibitors, using published data on CYP3A4 (Kenworthy et al., 1999) and CYP2C9 (Kumar et al., 2006). PCA can detect functional similarities among substrates and inhibitors that are not readily apparent using pairwise clustering analysis. PCA also allows identification of the functionally typical and atypical substrates that might be used in combination to fully explore the CYP functional landscape.

DMD #22061

The choice of optimal probe substrates for *in vitro* inhibition studies of cytochrome P450s (CYPs) – especially relevant to pharmacokinetic predictions and *in vivo-in vitro* correlation – is complicated by the diverse nature of substrate-inhibitor interactions for some of these enzymes (Kenworthy et al., 1999; Kumar et al., 2006; Foti and Wahlstrom, 2008). A single probe substrate can respond differently to various inhibitors; a single inhibitor can have different effects on a panel of probe substrates. The differential behavior of substrates and inhibitors with drug-metabolizing CYPs is presumably due to their promiscuity and catalytic allostereism (Guengerich, 2001; Atkins, 2006; Nath and Atkins, 2008).

Two recent studies provide valuable insight into the varied nature of substrate-inhibitor interactions, and into the patterns of similarity among substrates and inhibitors: Houston and co-workers (Kenworthy et al., 1999) studied the effects of 34 different inhibitors on the metabolism of 10 probe substrates by CYP3A4. Subsequently, Tracy and co-workers (Kumar et al., 2006) studied how 21 different inhibitors affected the metabolism of 5 probe substrates by the CYP2C9 variants *1 and *3. Both groups used hierarchical clustering to analyze relative similarity among their respective panels of substrates and inhibitors; here, we show how principal component analysis (PCA), applied to both groups' datasets, can serve as a powerful global alternative to clustering analysis. This application of PCA is similar to its previous use in functionally characterizing CYP102 variants generated by directed evolution (Bloom et al., 2007).

PCA (Wall et al., 2003) is a mathematical technique to extract the most significant trends in a set of observations. PCA relies on the assumption that the most significant trends (called 'principal components' or PCs) are those that show the greatest covariance between different observables over multiple observations. For a data set with n observables, PCs can be thought of as vectors in n -dimensional space, and each observation can be transformed from the original observable-

DMD #22061

space to PC-space, where its coordinates (scores) reflect how much each component contributes to the observation. PCs are ranked in order of variance (i.e., significance): because there are typically more observables than significant PCs, PCA serves as a multidimensional scaling technique. The locations of different observations in component-space provide a quick and global comparison of relative similarity and dissimilarity.

Our intent is to demonstrate how PCA can be used to empirically analyze functional patterns among CYP substrates and inhibitors. We should emphasize that a compound's score in a particular PC does not necessarily correlate with any one of its functional, physical or chemical characteristics. More advanced statistical techniques, such as common factor analysis or partial least squares regression, can be used to explain how specific characteristics of compounds contribute to their scores, but such analysis is beyond the scope of this paper.

Methods

CYP3A4 data from Kenworthy *et al.* consist of relative (%) inhibition of 12 probe reactions by single concentrations of 34 different inhibitors, and are presented in Table 1. CYP2C9 data from Kumar *et al.* consist of K_I values measured for 21 inhibitors using 5 probe substrates, for allelic variants *CYP2C9*1* and *CYP2C9*3*, presented in Table 2 respectively. To study the functional similarity of substrates, data were entered into a matrix with each row representing a probe substrate and each column representing an inhibitor. PCA was performed using a script, available upon request, in the Python programming language with the SciPy module (Jones et al., 2001). Briefly: columns were mean-centered, and compact singular value decomposition was performed on the resulting matrix using the `linalg.svd()` function of SciPy. The matrix of PC scores for all substrates is given by the product of the left singular vector matrix and the singular value matrix. To study the functional similarity of inhibitors, the matrix was transposed

DMD #22061

so that rows represented inhibitors and each column represented a probe substrate, and then PCA was performed as described above.

Results and Discussion

CYP3A4 Substrates: PCA of CYP3A4 probe substrate responses to inhibitors (Fig. 1a) shows all 11 probe substrates plotted in terms of their scores in the first two PCs. (There are 12 points because Kenworthy *et al.* monitored two different products from terfenadine – C-hydroxylation (TFA) and N-demethylation (TFZ). Additionally, the authors used seven of their probe substrates (TS: testosterone, CY: cyclosporine, ER: erythromycin, DZ: diazepam, DX: dextromethorphan, NF: nifedipine, and terfenadine) as inhibitors as well, approximating the extent of ‘inhibition’ of a probe substrate by itself as the percent maximal activity at 30 μ M substrate concentration. Any resulting errors should be minor in a global analysis such as PCA.) It is immediately obvious that the two fluorescent substrates ethoxyresorufin and benzyloxyresorufin (EROD and BROD) are markedly different in their response from the other nine probe substrates. This is borne out by the raw data in Table 1, with BROD in particular showing marked activation by several compounds that inhibit all or most of the other probe substrates, and EROD showing a weaker response in general to most compounds than the nine other probes.

Kenworthy *et al.* correctly recognized that these two fluorescent substrates are highly dissimilar from the other nine probe substrates, and therefore may not be representative of CYP3A4 substrates in general. To more closely examine the relationships between the nine remaining probe substrates (i.e., the ten remaining probe reactions), we therefore eliminated all data for EROD and BROD from the dataset. The first three PCs of the remaining data (Fig. 1b) together describe 56.1% of the variance in the data – meaning that analysis of even less significant PCs can provide additional useful information. Nonetheless, scores in PCs 1-3 show a central cluster

DMD #22061

(TS, CY, ER, MZ: midazolam, and DZ). Slightly removed, and similar to each other, are the terfenadine reactions (TFA, TFZ). Further out are DX, NF and triazolam (TZ), all about equidistant from the central cluster. The PCA results suggest that the experimental use of any of the central cluster (as representative substrates) could be complemented by also using DX, NF and/or TZ to better explore CYP3A4 functional space.

Compared to the hierarchical clustering (Fig. 1c) based on pairwise correlation coefficients presented by Kenworthy *et al.*, PCA provides a more global and comprehensive picture of the patterns of similarity and dissimilarity between various probe substrates. For example, pairwise clustering fails to capture the similarity in responses between TS and MZ, or the distance between DX and DZ. (Part of the reason that the authors underestimate the dissimilarity of DX and TZ from other substrates is that their clustering calculations ignored activation, although DX and TZ were activated by several of the ‘inhibitors’ in the panel.)

CYP3A4 Inhibitors: When the input data are transposed, PCA illustrates how various CYP3A4 inhibitors/effectors relate to each other functionally. As one might expect, the greatest amount of variance in the transposed data set is explained by the average extent of inhibition by each member of the inhibitor panel – the relevant $[I]/K_I$ with $[I] = 30 \mu\text{M}$, under assay conditions. This is clearly demonstrated by the very high correlation ($R^2 = 0.9999$, Fig. 2a) between the average inhibition % and inhibitors’ scores in the first PC. Therefore, the most useful information about functional differences is contained in PCs 2-4. (PCs 1-4 account for 75.6% of variance.) From plots (Fig. 2b) of these three PCs, we see most members of the inhibitor panel cluster together, with testosterone and haloperidol (and perhaps diazepam and quinidine) as outliers. Compounds in the center of the cluster, such as cyclosporine or ketoconazole, may be good prototypical CYP3A4 inhibitors, while outliers can be used as probes of atypical heterotropic effects.

DMD #22061

CYP2C9 Substrates: K_I values of 21 substrates using five probe substrates of CYP2C9.1 and .3 presented by Kumar *et al.* are shown in Table 2. PCs 1-3 (>95% of variance) from these data, showing how probe substrates cluster in terms of their response to the inhibitor panel, are presented in Fig. 3 a and b. Interestingly, the single sequence alteration (Ile359Leu) between the *1 and *3 allelic variants results in markedly different probe substrate responses: for CYP2C9.1, phenytoin, tolbutamide and diclofenac form a distinct cluster with flurbiprofen and warfarin as outliers, as observed using pairwise clustering by Kumar *et al.* In contrast, the five substrates do not form a distinct cluster for CYP2C9.3 and are more evenly distributed in functional space.

CYP2C9 Inhibitors: PCs 1-3 (>95% of variance) of the transposed CYP2C9 data (Fig. 3 c and d) illustrate the functional relationships between the various inhibitors. Analogous to the CYP3A4 result, the greatest fraction of variance is explained by each inhibitor's potency: this is reflected in the high correlation between K_I and PC1 scores: $R^2 = 0.9906$ and 0.9997 respectively for CYP2C9.1 and .3. Both isoforms show a large central cluster comprising most of the panel, implying relatively similar patterns of inhibition; our analysis supports the continued use of sulfaphenazole as a prototypical CYP2C9 inhibitor, since it is located in this central cluster. Quinine and thiobendazole (and perhaps indomethacin) are outliers. There is in general less variation in inhibitor function between allelic variants than was observed with probe substrates.

In conclusion, we have shown how PCA can be used to globally compare the functional characteristics of CYP substrates and inhibitors. The global nature of PCA allows the recognition of similarities or dissimilarities that may not be evident in traditional pairwise clustering analysis; similarly, mapping a new drug-like compound into functional space (using known substrate or inhibitor panels) could augment predictions of allosteric behavior and drug-drug interactions. As an important caveat, PCA is sensitive to systematic biases in the original data,

DMD #22061

and its meaningful application to in vitro metabolism studies relies on the type of high-quality, consistent and extensive datasets generated by Kenworthy *et al.* and Kumar *et al.*

Acknowledgements

We are grateful to Dr. R. Scott Obach (Pfizer Inc.), Prof. Tim Tracy (University of Minnesota) and Prof. Jeff P. Jones (Washington State University) for their insightful comments.

DMD #22061

References

- Atkins WM (2006) Current views on the fundamental mechanisms of cytochrome P450 allostereism. *Expert Opin Drug Metab Toxicol* **2**:573-579.
- Bloom JD, Romero PA, Lu Z and Arnold FH (2007) Neutral genetic drift can alter promiscuous protein functions, potentially aiding functional evolution. *Biol Direct* **2**:17.
- Foti RS and Wahlstrom JL (2008) CYP2C19 inhibition: the impact of substrate probe selection on in vitro inhibition profiles. *Drug Metab Dispos* **36**:523-528.
- Guengerich FP (2001) Common and uncommon cytochrome P450 reactions related to metabolism and chemical toxicity. *Chem Res Toxicol* **14**:611-650.
- Jones E, Oliphant T, Peterson P and others (2001) SciPy: Open Source Scientific Tools for Python. URL: <http://www.scipy.org>
- Kenworthy KE, Bloomer JC, Clarke SE and Houston JB (1999) CYP3A4 drug interactions: correlation of 10 in vitro probe substrates. *Br J Clin Pharmacol* **48**:716-727.
- Kumar V, Wahlstrom JL, Rock DA, Warren CJ, Gorman LA and Tracy TS (2006) CYP2C9 inhibition: impact of probe selection and pharmacogenetics on in vitro inhibition profiles. *Drug Metab Dispos* **34**:1966-1975.
- Nath A and Atkins WM (2008) A quantitative index of substrate promiscuity. *Biochemistry* **47**:157-166.
- Wall ME, Rechtsteiner A and Rocha LM (2003) Singular value decomposition and principal component analysis, in: *A Practical Approach to Microarray Data Analysis* (Berrar DP, Dubitzky W and Granzow M eds), pp 91-109, Kluwer, Norwell, MA.

DMD #22061

Footnotes

This work was supported by National Institutes of Health Grant GM-32165.

Address correspondence to: Abhinav Nath, Dept. of Medicinal Chemistry, Box 357610,
University of Washington, Seattle, WA 98195-7610

DMD #22061

Figure Legends

Figure 1. a) Scores in the 1st and 2nd-most significant PCs for 12 CYP3A4 probe reactions. (The units of both axes do not have direct physical relevance, and should be taken to represent only the relative similarity of the various probe reactions.) Fluorescent substrates BROD and EROD are markedly different functionally from non-fluorescent substrates. **b)** PCA with fluorescent substrates BROD and EROD omitted, showing scores for the 1st, 2nd and 3rd-most significant PCs. DX, TS, CY, ER and MZ form a central cluster that may comprise the best-representative substrates of CYP3A4. **c)** Hierarchical clustering analysis for pairwise correlation coefficients of inhibition, taken from Fig. 3 of Kenworthy *et al.* (1999).

Figure 2. PCA of transposed CYP3A4 inhibition/activation data from ref. (Kenworthy *et al.*, 1999), showing how inhibitors from the panel cluster in functional space. Only selected inhibitors (black dots) are labeled. **a)** Inhibitor score PC1 is almost entirely determined by the average inhibition, a function of the average $[I]/K_I$ for each inhibitor ($[I] = 30 \mu\text{M}$ in the experimental conditions). **b)** Functionally relevant characteristics of the various inhibitors are encapsulated in the 2nd through 4th PCs. Under experimental conditions, testosterone is the most atypical inhibitor in the panel, followed by haloperidol.

Figure 3. PCA of 21 K_I values using 5 probe substrates for CYP2C9. **a,b)** PCA showing relationships between probe substrates for allelic variant CYP2C9.1 and .3 respectively. Tolbutamide, phenytoin and diclofenac form an apparent cluster for .1, but all five substrates are more evenly dispersed for .3. **c,d)** PCA of transposed data sets, showing the relative positions of inhibitors in functional space for CYP2C9 allelic variants .1 and .3 respectively. The recovered functional mapping is quite similar for both variants: quinine and thiobendazole are distinct outliers in both cases.

DMD #22061

Table 1. Data from Table 1 in Kenworthy *et al.* (1999), showing the percent inhibition achieved by 34 effectors for 11 different CYP3A4 substrates. Italicized values in parentheses represent percent activation.

	DX	DZ	MZ	TZ	TFA	TFZ	ER	CY	TS	NF	BROD	EROD
Astemizole	65	74	78	48	70	70	90	80	83	94	(221)	3
Budesonide	30	48	71	56	73	77	91	77	64	49	(3509)	(3)
Caffeine	8	(2)	0	(22)	(2)	1	3	2	(7)	(3)	34	9
Cisapride	41	72	48	66	43	52	24	52	54	78	(70)	11
Clotrimazole	100	100	100	100	100	100	100	100	100	100	100	64
Clozapine	20	23	26	9	15	14	31	19	43	50	49	11
Cyclosporin	90	87	75	85	68	77	90	93	90	82	90	2
Dextromethorphan	9	5	34	15	15	(17)	9	5	9	28	44	3
Diazepam	(62)	17	25	1	11	9	12	20	22	6	(159)	(3)
Digitoxin	31	27	21	(7)	26	33	57	28	49	47	35	8
Disopyramide	46	54	44	32	6	9	35	38	55	50	50	(3)
Erythromycin	71	63	44	33	23	24	60	64	28	37	71	5
Ethionamide	6	11	(2)	(9)	27	21	0	0	15	2	39	5
Fluconazole	42	59	65	46	35	42	42	53	37	44	90	11
Gentamycin	14	5	(11)	(21)	(10)	(18)	11	2	(1)	1	16	3
Haloperidol	(20)	3	2	52	17	27	33	36	29	96	(33)	1
Ibuprofen	16	(2)	(13)	(9)	(1)	6	0	0	(17)	(1)	25	10
Itraconazole	75	80	86	73	85	88	85	92	97	91	89	(4)
Ketoconazole	96	100	100	100	100	100	100	100	100	100	97	7
Metoclopramide	7	(5)	(8)	2	(7)	6	1	9	6	10	36	27
Metronidazole	(3)	10	0	(6)	(7)	(4)	(3)	3	(15)	3	27	2
Nifedipine	68	47	54	39	41	50	62	70	77	55	71	89
Nimodipine	69	89	60	74	78	76	100	96	96	85	85	27
Nitrendipine	74	63	60	60	43	51	79	84	82	66	28	3
Phenacetin	11	(13)	5	(30)	4	2	2	24	0	26	27	18
Phenytoin	1	4	6	(77)	(4)	6	(16)	3	16	3	36	(1)
Piroxicam	24	3	22	(13)	(3)	3	9	5	14	4	37	6
Procainamide	16	11	2	(15)	3	0	17	(15)	(7)	1	34	7
Propofol	(3)	18	3	11	37	17	7	(7)	19	30	51	8
Quinidine	30	29	47	34	16	20	37	32	49	88	61	13
Roxithromycin	52	37	40	7	11	14	30	37	20	20	71	0
Salbutamol	7	3	1	(9)	(6)	(2)	8	3	6	(1)	31	15
Terfenadine	64	67	77	77	86	86	94	89	88	84	(472)	9
Testosterone	(83)	(7)	28	(39)	35	29	74	60	38	3	(364)	2

DMD #22061

Table 2. K_I values (in μM) calculated for 21 inhibitors and 5 probe substrates of CYP2C9. Taken from Table 1 of Kumar *et al.* (2006).

	CYP2C9.1					CYP2C9.3				
	Flurbiprofen	Warfarin	Phenytoin	Tolbutamide	Diclofenac	Flurbiprofen	Warfarin	Phenytoin	Tolbutamide	Diclofenac
Amiodarone	2.1	2.99	4.03	0.69	1.89	3.64	1.66	1.87	0.81	2.1
Benzbromarone	0.004	0.001	0.04	0.02	0.01	1.54	0.01	0.71	0.03	0.04
Clozapine	4.13	3.46	12.88	11	11.43	10.49	2.8	5.07	5.92	8.04
Fluvoxamine	0.63	0.58	2.46	2.74	4.12	4.19	0.67	2.37	1.57	2.53
Gemfibrozil	12.43	0.79	2.38	2.83	3.64	14.33	1.65	4.95	7.21	14.41
(S)-Ibuprofen	4.27	3.06	4.02	3.95	4.46	9.78	3.15	5.5	14.03	14.68
Indomethacin	53.41	0.66	15.76	14.24	14.47	38.74	5.04	12.66	16.87	25.62
Ketoconazole	0.38	0.08	1.81	1.79	1.52	1.51	0.08	0.69	0.7	0.79
Mibefradil	11.1	1.04	6.75	6.63	13.79	13.08	1.8	6.9	6.9	14.3
Miconazole	0.03	0.01	0.11	0.05	0.04	0.1	0.02	0.12	0.06	0.07
α -Naphthoflavone	0.78	0.29	0.75	0.34	0.41	1.13	0.18	0.39	0.24	0.44
Nicardipine	0.07	0.01	0.33	0.03	0.03	0.29	0.01	0.02	0.02	0.02
Nifedipine	1.14	0.34	1.35	0.84	0.57	1.53	0.28	0.61	0.28	1.18
Omeprazole	5.33	0.64	2.16	0.92	0.41	16.24	0.9	1.6	1.46	0.3
Progesterone	1.72	1.41	4.3	4.01	5.2	11.03	1.97	3.27	2.58	7.91
Quercetin	1.18	0.25	0.27	0.14	0.13	0.25	0.11	0.29	0.08	0.13
Quinine	3.45	19.8	85.53	76.35	100	21.2	18.89	39.68	86.52	96.7
Sulfamethizole	17.9	2.22	13.08	7.17	14.69	35.04	3.87	24.74	25.93	31.86
Sulfaphenazole	0.06	0.12	0.23	0.22	0.15	0.72	0.15	0.39	0.33	0.36
Tamoxifen	3.44	0.66	3.77	3.32	4.67	9.77	0.58	6.69	2.72	8.36
Thiobendazole	>100	17.22	36.21	33.09	41.08	100	11.02	26.92	24.54	69.85

Figure 1

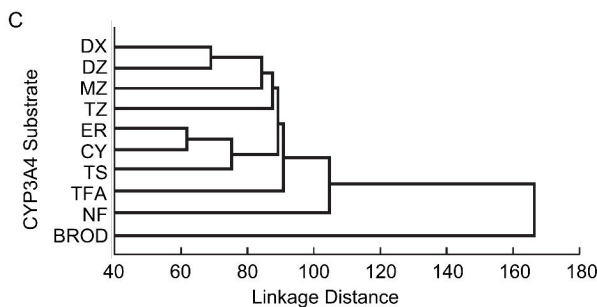
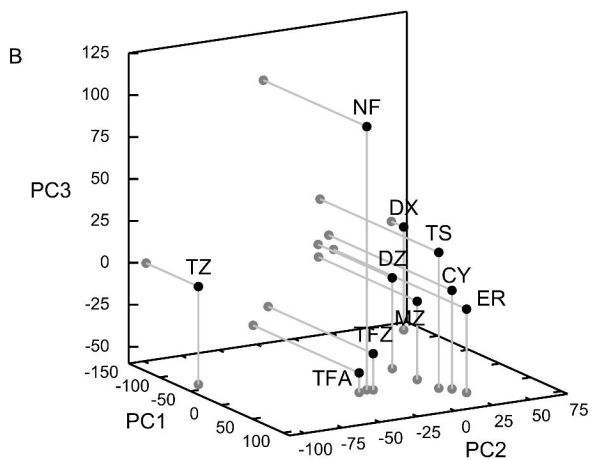
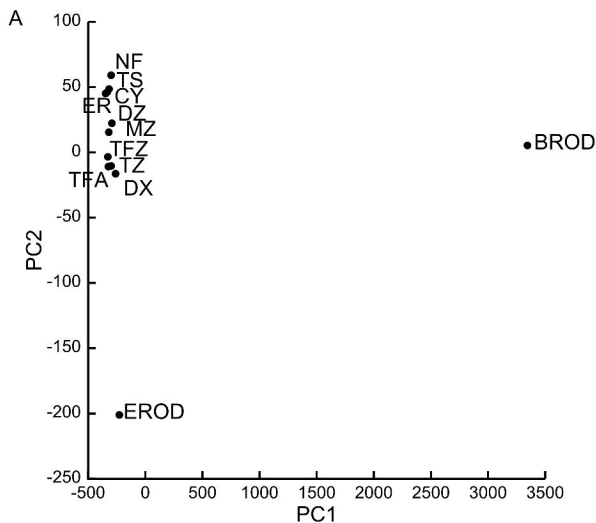


Figure 2

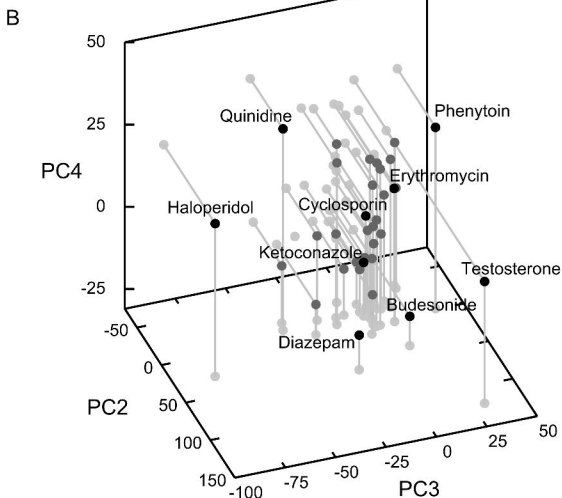
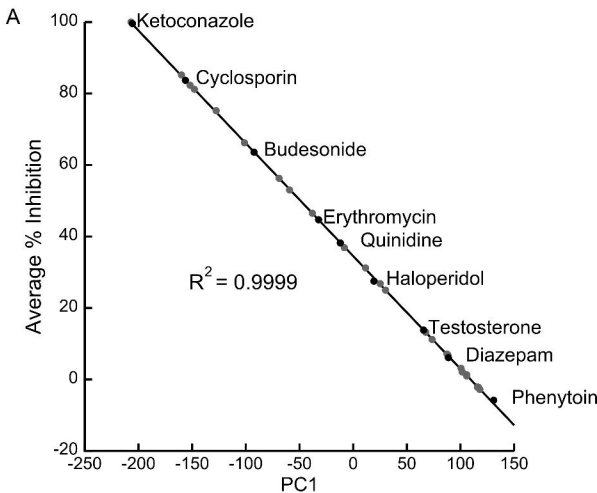


Figure 3

