Evaluation of a Novel Renewable Hepatic Cell Model for Prediction of Clinical CYP3A4 Induction Using a Correlation-based RIS Approach

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Abbreviations: AUC, area under curve; CDFDA, carboxy-dichlorofluorescein diacetate; C_{max-ub},

unbound plasma concentration; CYP, cytochrome P450; DDI, drug-drug interaction; EC_{50}, the

concentration achieving 50% of the maximum response; EMA, European Medicines Agency;

E_{max}, the maximum response; FDA, Food and Drug Administration; PBPK, physiologically-

based pharmacokinetic models; R^2, correlation coefficient; RIS, relative induction score; RT-

PCR, reverse transcription-polymerase chain reaction

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ABSTRACT

Metabolism enzyme induction-mediated drug-drug interactions need to be carefully characterized *in vitro* for drug candidates in order to predict *in vivo* safety risk and therapeutic efficiency. Currently, both the FDA and EMA recommend using primary human hepatocytes as the "Gold Standard" *in vitro* test system for studying the induction potential of candidate drugs on cytochrome P450 (CYP), CYP3A4, CYP1A2, and CYP2B6. However, primary human hepatocytes are known to bear inherent limitations such as limited supply and large lot-to-lot variations which result in an experimental burden to qualify new lots. To overcome these shortcomings, a renewable source of human hepatocytes (i.e., Corning HepatoCells) was developed from primary human hepatocytes and was evaluated for *in vitro* CYP3A4 induction using methods well established by pharmaceutical industry. HepatoCells have shown mature hepatocyte-like morphology and demonstrated primary hepatocyte-like response to prototypical inducers of all 3 CYP enzymes with excellent consistency. Importantly, HepatoCells retain a phenobarbital responsive nuclear translocation of human CAR from the cytoplasm, characteristic to primary hepatocytes. To validate HepatoCells as a useful tool to predict potential clinical relevant CYP3A4 induction, we tested three different lots of HepatoCells with a group of clinical strong, moderate/weak CYP3A4 inducers, and non-inducers. A relative induction score (RIS) calibration curve based approach was used for prediction. HepatoCells showed accurate prediction comparable to primary human hepatocytes. Together, these results demonstrate that Corning HepatoCells is a reliable *in vitro* model for drug-drug interaction studies during the early phase of drug testing.

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Introduction

Cytochrome P450 (CYP) enzymes are a major elimination pathway through which many drugs are metabolized. The expression levels of several CYPs involved in drug metabolism can be induced by drugs and other xenobiotics through nuclear receptor mediated pathways, e.g., the pregnane X receptor (PXR), the constitutive androstane receptor (CAR), and the aryl hydrocarbon receptor (AhR) (Bjornsson et al., 2003; Park et al., 2004; Mueller et al., 2006; Mohutsky et al., 2010). CYP induction by xenobiotics could affect the pharmacokinetics of co-administered drugs, causing potential therapeutic failure by increasing the clearance of victim drugs if the co-administered drug is a substrate of the affected enzymes, or leading to hepatotoxicity by increasing accumulation of reactive drug metabolites, or resulting in altered pharmacokinetic profiles of co-administered drugs if the victim drugs are pro-drugs (Hebert et al., 1992; Hewitt et al., 2007). In addition, CYP induction could also cause non-stationary pharmacokinetics if the victim drug itself is an inducer, namely autoinduction (Löscher and Schmidt, 2006). Because CYP induction could pose a significant risk to patients, induction mediated drug-drug interaction needs to be carefully evaluated in order to determine and/or predict their safety risk.

Primary human hepatocytes are considered the "Gold Standard" *in vitro* model system for drug metabolism and induction studies. Currently, both the FDA (2012) and EMA (2013) recommend using primary human hepatocytes as an *in vitro* test system for studying CYP induction. However, primary human hepatocytes are well recognized as having large donor-to-donor variations and limited supply of high quality donor tissues (Shimada et al., 1994; Roymans et al., 2005). It is therefore required to screen multiple lots of primary human hepatocytes for better prediction accuracy, which is a lengthy and costly procedure for researchers. To overcome the

limitations inherent in primary human hepatocytes, we have created a renewable source of human hepatocytes, HepatoCells, as an alternative to primary human hepatocytes. These cells are manufactured and cryopreserved under defined conditions to ensure lot-to-lot consistency. In this study we describe the characterization of HepatoCells for *in vitro* CYP induction assays and the evaluation of using HepatoCells as an *in vitro* tool to screen test compounds for potential clinical induction liability.

As the most important drug metabolizing enzyme, CYP3A4 metabolizes about half of the drugs on the market, therefore it is critical to study induction involving CYP3A4. Currently several models have been proposed to predict clinical CYP3A4 induction using *in vitro* concentration response data from primary human hepatocytes. These models, ranging from simple to complex, include correlation-based models such as C_{max}/EC_{50} and relative induction score (RIS), basic static model R_3, and mechanistic models such as net effect model and PBPK model (Fahmi and Ripp, 2010; Fahmi et al., 2012; Einolf et al., 2014). Although all these models show reasonable prediction accuracy, we chose the RIS based correlation approach in the present study for its relative simplicity, sufficient accuracy, and its incorporation in the EMA guidance.

MATERIALS AND METHODS

Materials and reagents. Corning HepatoCells (Catalog No. 354881) were directly derived from primary human hepatocytes (9-year-old Caucasian female donor). Briefly, the SV40 large T antigen was introduced to the parental cells to make immortal clones which were then screened and selected for CYP induction functionality. Selected high function clones were expanded to make a working cell bank. Working bank cells were then expanded to passage 33 or 34. To induce differentiation to a mature hepatocyte phenotype, prior to cryopreservation, the immortalizing gene was removed. HepatoCells were then cryopreserved similarly as primary hepatocytes, using cell culture medium supplemented with DMSO and serum. Similar to primary hepatocytes, HepatoCells are stored in the vapor phase of liquid nitrogen. Primary human hepatocytes used in this study were obtained from Corning Gentest hepatocyte inventory with donor livers obtained from reliable organ procurement organizations with informed donor consent. Unless otherwise specified, all assays with HepatoCells were performed using Corning Culture Medium for HepatoCells (Catalog No. 354882) available from Corning Life Sciences. Similar to culture medium for primary human hepatocytes, Corning Culture Medium for HepatoCells contains glucocorticoid, insulin, transferrin, and selenium. Corning BioCoat Collagen I coated plate, Corning CellGro Penicillin-Streptomycin 100x Solution, Corning Matrigel, Fetal Bovine Serum (FBS) and Hank's Balanced Salt Solution with Ca^{2+} and Mg^{2+} (1x HBSS buffer) were products from Corning Life Sciences (Tewksbury, MA). All the chemicals for induction assays were purchased from Sigma-Aldrich (St. Louis, MO). RNeasy 96-well kit and DNase I kit (QIAGEN) were used for RNA isolation. Q-PCR master mix, high capacity reverse transcription kit, Taqman q-PCR primer sets for CYP1A2, 2B6 and 3A4 (Assay ID:

Hs00430021_m1 for CYP3A4 primer, Hs03044634_m1 for CYP2B6, and Hs00167927_m1 for CYP1A2) were purchased from Life Technology.

Genotyping. Frozen cell pellets were prepared and shipped to SeqWright Genomic Services (GE HealthCare Life Sciences) for genotyping analysis using Sanger sequencing method.

HepatoCells culture. Cryopreserved HepatoCells were thawed quickly in a 37°C water bath and transferred to Corning Culture Medium for HepatoCells supplemented with 10% FBS and Pen/Strep (plating medium). After the cryo-freezing media was removed by centrifugation at 150 g for 10 min, the cell pellet was resuspended in plating media and cell count was performed with Trypan blue. Cells were then seeded in a Corning BioCoat Collagen I coated plate (500,000 cells/well in 24-well plate or 80,000 cells/well in 96-well plate), and plates incubated in a 37°C incubator with 5% CO_2. Four hours after seeding, plating medium was removed and matrigel solution diluted in cold Corning Culture medium for HepatoCells was added to the monolayer culture at a concentration of 0.25 mg/mL with a volume of 0.5 mL per well in 24-well plate or 0.1 mL per well in 96-well plate. Cells were then returned to the incubator for overnight culture.

Compound treatment for CYP induction. Overnight culture of HepatoCells was treated with prototypical inducers for CYP3A4, CYP1A2, and CYP2B6 (10 µM Rifampicin, 50 µM Omeprazole, 1 mM Phenobarbital, respectively) or solvent vehicle control (0.1% DMSO) freshly made daily in serum-free culture medium. After 3 consecutive 24-hour treatment, cells were washed once with fresh culture medium, and probe substrates for CYP3A4, CYP1A2, and CYP2B6 (200 µM Testosterone, 100 µM Phenacetin, 250 µM Bupropion, respectively) were then added into the culture at 100 µL/well for a one hour incubation at 37C to assess enzyme activity. At the end of substrate incubation with the cells, assay was stopped by removing 80

µL/well of enzyme assay supernatant and mixing with 20 µL/well cold stop solution containing heavy labeled internal standard (e.g. 5 µM 6β-hydroxytestoeterone-[D$_7$] in acetonitrile with 0.1% formic acid for CYP3A4, 10 µM acetamidophenol-^{13}C$_2$^{15}N in acetonitrile with 0.1% formic acid for CYP1A2, and 0.1 µM hydroxybupropion-[D$_6$] in acetonitrile with 0.1% formic acid for CYP2B6). The samples were then centrifuged at 4000 rpm for 20 minutes and supernatants were analyzed by LC/MS-MS for metabolite formation (6β-hydroxytestosterone, hydroxybupropion, and acetaminophen). Cryopreserved primary human hepatocytes were cultured and treated similarly as HepatoCells, and induction of CYP3A4, 1A2, and 2B6 was similarly assessed. Note, due to the limited availability of the original donor cells for HepatoCells, we were not able to include the induction assessment of the donor cells and compare it to that of HepatoCells.

In order to evaluate the applicability of using HepatoCells as an *in vitro* tool to predict clinical CYP3A4 inducers, we selected 18 compounds that are known clinical strong inducers, moderate or weak inducers, and non-inducers, based on their potency in decreasing Area Under Curve (AUC) of co-administered victim drugs in clinical studies (Zhang et al., 2014). Stock solutions of test compounds were prepared by dissolving each compound in DMSO and serially diluting the solutions in DMSO. Final working solutions were freshly prepared daily by diluting the 1000x stock solutions in culture medium. Three lots of HepatoCells culture were treated with 8 concentrations of test compounds. Both enzymatic activity (testosterone 6β hydroxylase activity) and mRNA expression were measured as endpoints using LC-MS/MS and RealTime RT-PCR, respectively.

mRNA preparation and analysis. After the enzyme assay, cells were washed once with fresh culture medium. mRNA was isolated using a Qiagen RNeasy® 96-kit. mRNA transcript level was determined using Applied Biosystems two-step protocol on a 7300 Real-time PCR system.

Detection of bile canalicular efflux transporter multidrug resistant protein 2 (MRP2). On day 1, HepatoCells were plated on collagen coated dishes. Four to six hours after seeding, cell monolayer was overlaid with Matrigel solution at 0.25 mg/mL (as described above). Daily medium change was performed from day 2 to day 4 using fresh Corning Culture medium for HepatoCells. On day 5, the sandwich cultures were incubated with carboxy-dichlorofluorescein diacetate (CDFDA), a MRP2 substrate that is metabolized by cytosolic esterases. The fluorescent CDFDA metabolite accumulated in bile canalicular lumens was visualized using fluorescence microscopy.

Data analysis and curve-fitting. Both enzyme activity and mRNA transcript level of CYP3A4, 2B6, and 1A2 were measured in triplicate wells. Fold induction measured by enzyme activity was determined by normalizing enzyme activity in the presence of different concentrations of test compounds to enzyme activity in the presence of corresponding solvent vehicle control (0.1% DMSO in culture medium). Fold induction measured by P450 mRNA transcript level was determined using the calculation of $2^{-\Delta\Delta CT}$ (Zhang et al., 2014). Induction response data points are accepted for curve-fitting only when 2 or 3 replicates show coefficient of variance less than 40%. Data points that could not meet the criteria are excluded from curve-fitting. To show a real induction response change relative to the solvent vehicle control, "fold increase", which is defined as fold induction minus 1 (Cheng et al., 2016), is used for curve-fitting.

To determine E_{max} and EC_{50}, CYP3A4 fold increase was plotted against different concentrations of test compounds to generate a concentration-dependent induction response curve, which was fitted to a Sigmoidal Hill 4 parameter equation (Kanebratt and Andersson 2008, Zhang et al 2014) using SigmaPlot® (Systat Software Inc.) as described: $y = E_{min} + (E_{max} - E_{min})/(1+ (EC_{50}/x)^b)$, where y is the induction response, E_{min} is background, E_{max} is the maximum induction response, EC_{50} is the drug concentration achieving 50% of E_{max}, x is the drug concentration, and b is the slope of the curve. Only curve-fitting with $R^2 > 0.85$ is accepted. At high concentrations for some compounds, if toxicity or insolubility becomes obvious, such data are excluded from curve fitting. Following the same approach as described by Zhang et al (2014) and Fahmi and Ripp (2010), for data sets that show no apparent plateau, the observed maximum fold increase is accepted as E_{max} to avoid extrapolating too much above the experimental values and EC_{50} is calculated accordingly from the fitting curve. Also, induction response has to be concentration dependent with observed maximum response greater than 1.4 fold in order for the data set to be used for obtaining EC_{50} and E_{max}.

The induction parameter Relative Induction Score (RIS) was calculated using unbound C_{max} from the literature (Zhang et al., 2014) and equation described below: $RIS = (E_{max} \times C_{max,ub})/(EC_{50} + C_{max,ub})$. A calibration curve was generated by plotting the induction paramter RIS against *in vivo* data (i.e., observed % midazolam AUC change) for each test compound and fitted to a Hill 3 parameter function using SigmaPlot with the following equation, $f = a*x^b/(c^b+x^b)$, where f is the predicted AUC change, a is the maximum AUC change, b is the slope of the curve, c is the value of induction parameter RIS achieving 50% of AUC change, and x is the RIS value. The resulting fitting equation was used to calculate predicted *in vivo* AUC change for each compound. Prediction accuracy and prediction bias were then determined by comparing

predicted AUC change with observed AUC change, using the 2 metrics RMSE (root mean

square error) and GMFE (geometric mean fold error) reported previously (Einolf et al., 2014).

Prediction accuracy using HepatoCells was also compared to prediction accuracy using primary

human hepatocytes.

Results

HepatoCells genotype and morphology. To characterize the genetic compositions of

HepatoCells, we performed detailed genotyping analysis for important CYP enzymes with

known polymorphisms, such as CYP2D6, 2C9 and 2C19. HepatoCells exhibit wild type

genotype for all tested alleles of CYP2D6 (*3, *4, *5, *6, *7, *8, *9, *10), CYP2C9 (*2 and *3),

and CYP2C19 (*2 and *3), except for CYP2D6*2, where HepatoCells carry a *2*2 allele which

is considered to exhibit normal activity. Overall, HepatoCells genotyping results suggest that

HepatoCells are representative of a Caucasian population (refer to donor description in the

method section). Genotyping of important hepatic transporters such as OAP1B1 (SLCO1B1),

OATP1B3 (SLCO1B3), and MRP2 (ABCC2) is ongoing and will be reported separately.

At 24 hours post plating on collagen I Biocoat tissue culture plates, HepatoCells formed a

confluent monolayer with the majority of the cells showing mature hepatocyte morphology

(Figure 1A) indicated by distinct polygonal cell shape with clear cell borders, single or multiple

round nuclei with prominent nucleoli and moderate to low nucleus/cytoplasm ratio. Staining of a

4-day culture of HepatoCells with the fluorescent MRP2 substrate CDFDA showed visible bile

canaliculus structures, a characteristic of primary hepatocytes in a sandwich culture (Figure 1B).

Pre-treatment with the MRP2 inhibitor MK571 inhibited the specific CDFDA staining of bile

canaliculi (Figure 1C). These results suggest that in addition to similar morphology to primary

human hepatocytes, the functional efflux transporter MRP2 is expressed and localized at the

apical surface of HepatoCells, consistent with features of mature hepatocytes.

CYP3A4, 1A2, and 2B6 induction response. To evaluate whether HepatoCells are a useful

screening tool for identifying potential CYP inducers, we first examined induction responses of

the 3 important enzymes CYP3A4, 1A2 and 2B6 in HepatoCells following the industry standard

(Chu et al., 2009, Sinz et al., 2008) and FDA recommended *in vitro* method (FDA 2012).

Induction response measured by enzyme activity in HepatoCells was compared to primary

hepatocytes (Figure 2). On average, 3-6 lots of HepatoCells tested showed average fold

induction of 20, 32, and 5 for CYP3A4, 1A2, and 2B6, respectively. The average fold induction

values obtained with HepatoCells were comparable to the average fold induction obtained from

15 lots of primary human hepatocytes. As expected, different lots of primary human hepatocytes

showed large variations in induction responses of all 3 enzymes, e.g., 94% CV for CYP3A4

induction, 74% CV for CYP1A2 induction, and 100% CV for CYP2B6 induction. In contrast,

fold induction for individually manufactured HepatoCells lots (3 to 6 lots) showed much smaller

variation, e.g., 12% CV for CYP3A4 induction, 15% CV for CYP1A2 induction, and 12% CV

for CYP2B6 induction (Table 1).

CAR nuclear translocation in HepatoCells. Previous studies have utilized adenoviral-

enhanced yellow fluorescent protein-tagged-human CAR (Ad-EYFP-hCAR) as a tool to

visualize nuclear translocation of hCAR from cytoplasm in primary human hepatocytes upon

exposure to phenobarbital (Li et al., 2009). These studies demonstrated the translocation

phenomenon in primary human hepatocytes and intact liver, but not in immortalized cells such as

HepG2, where spontaneous accumulation of hCAR in the nucleus leads to constitutive CAR

activation in the absence of a chemical inducer. The same Ad-EYFP-hCAR fusion protein model

system was used to study CAR nuclear translocation in HepatoCells. A 3-day culture of

HepatoCells was transduced with Ad-EYFP-hCAR for 24 hours. Infected HepatoCells were then

treated with 1 mM phenobarbital for 12 hours. Prior to treatment, EYFP-hCAR expression in

both primary hepatocytes and HepatoCells is mostly excluded from the cell nucleus as shown by

strong fluorescent signal in the cytoplasm (Figure 3A and 3C); similar to primary human

hepatocytes, fluorescent EYFP-hCAR relocates to the cell nuclei following treatment (Figure 3B

and 3D). This observation suggests that HepatoCells maintain primary human hepatocyte-like

phenobarbital responsive hCAR nuclear translocation.

Concentration-dependent CYP3A4 induction response. After initial evaluation of

HepatoCells for induction response to single concentration of positive control inducers,

HepatoCells were subsequently tested for response to a group of known clinical inducers (or

non-inducers) at different concentrations. Eighteen compounds were chosen including strong,

moderate/weak, and non-inducers, based on their potency to reduce AUC of victim drugs in

clinical studies (Zhang et.al. 2014). Three lots of HepatoCells (Lot 2B, Lot 3A and Lot 3B) were

treated for 3 consecutive days with the test compounds at 8 concentrations for each compound.

Both CYP3A4 enzymatic activity and mRNA expression were measured. Concentration-

dependent CYP3A4 induction response curves were generated using fold increase data from both

enzymatic activity and mRNA expression. It is well known that intracellular drug concentration

may be different from nominal drug concentration during the 24 hour incubation period due to

various reasons such as metabolism, non-specific binding to culture surface, degradation, etc.,

therefore EMA guidance recommends estimating actual drug exposure by measuring the drug

concentration in culture medium over time. However, in a recent article, Zhang et al. (2014)

reported that using time-weighted average concentrations to derive induction parameters did not

offer any improvement in prediction accuracy, therefore, in our current study we use nominal

concentrations to derive EC_{50} and E_{max}.

As expected, all 4 *in vitro* non-inducers flumazenil, primaquine, methotrexate, and digoxin

showed no induction response in either CYP3A4 enzyme activity or mRNA expression

(Supplemental Table 1 and Supplemental Table 2). All compounds that are categorized as

clinical inducers showed concentration dependent response with greater than 2 fold increase in

both enzyme activity and mRNA expression over solvent vehicle control – demonstrating

positive induction response, according to EMA guidance (2013). Figure 4 (A – F) shows

examples of fold induction changes over a range of concentrations for 6 model compounds based

on mRNA data. All curves were fitted using sigmoidal Hill 4 parameter function of SigmaPlot

with R^2 all greater than 0.9 (Table 2). Slope factors were reported in Supplemental Table 3. It is

noted that the slope factors are in the range of 0.4 – 4.2 which is also seen in primary

hepatocytes. Such large range of slope factors could potentially impact prediction outcome;

however, very few discussions were reported on the role of the slope factors, and no validated

method is available to incorporate slope factors into induction prediction; therefore we chose to

follow the conventional method used by the industry as in published reports to not consider slope

factors when modeling the prediction for a like-for-like comparison between the alternative

model HepatoCells and the gold standard primary hepatocytes.

Curves generated using enzyme activity data showed similar good fitting (data not shown). Three

different lots of HepatoCells showed comparable concentration-dependent induction response to

model compounds, for example in Figure 4 (G-I), Lot 2B, 3A, and 3B responded to probenecid

with E_{max} of 25-, 26-, and 22- fold respectively (Table 2), and R^2 values were all 1.00, suggesting

consistent performance of HepatoCells.

EC_{50} and E_{max} were determined for compounds that exhibited a typical sigmoid shaped dose

response characteristic of nuclear-dependent pathway (Table 2). For compounds that do not

show a plateau, E_{max} is estimated as the observed maximum induction response to avoid

extrapolating too further away from experimental values, and EC_{50} is estimated accordingly

using the fitted curve. Among the 18 compounds tested, no EC_{50} and E_{max} data were generated

for the 4 *in vitro* non-inducers flumazenil, primaquine, methotrexate, and digoxin (as no

induction response was observed) or for the clinical non-inducer quinidine (Mihaly et al., 1987;

Leizorovicz et al., 1984) as it did not cause a concentration dependent increase in either CYP3A4

enzyme activity or mRNA expression from any of the 3 batches of HepatoCells, even though at a

couple of concentrations a 1- to 2- fold increase was observed (Supplemental induction data).

Another clinical non-inducer clotrimazole (Shord et al., 2010) only induced an increase in

CYP3A4 mRNA expression (therefore EC_{50} and E_{max} were determined), consistent with reported

in vitro studies using primary human hepatocytes and hepatocyte cell line (Raucy, 2003; Ripp et

al., 2006), but did not increase CYP3A4 enzyme activity. A third clinical non-inducer,

nifedipine, showed positive induction response in both enzyme activity and mRNA level as

indicated by greater than 1.4-fold induction and a dose-dependent pattern. Therefore, a

concentration-dependent response curve was generated and induction parameters were calculated

for this compound.

Generation of RIS calibration curves and prediction of clinical inducers. Induction

parameters RIS were calculated using the formula described in Material and Methods. Here,

unbound plasma C_{max} was used according to the recommendation in EMA DDI guidance (2013).

Calibration curves were then established for each of the 3 lots of HepatoCells by plotting the

induction parameters RIS against observed decrease in midazolam AUC (Figure 5). All 3 curves

showed good correlation between RIS and the observed midazolam AUC change. Specifically,

R^2 values of 0.95, 0.97, and 0.99 were calculated for lots 2B, 3A, and 3B, respectively.

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Similar to reported RIS data using primary hepatocytes (Zhang et al., 2014), HepatoCells demonstrated high RIS values for strong clinical inducers. For example, RIS values were 0.8 to 25 for strong inducers including rifampicin, phenytoin, and carbamazepine when calculated based on CYP3A4 mRNA level, and were 0.7 - 24 when calculated based on enzyme activity (Table 3). For the clinical non-inducers omeprazole, nifedipine, and dexamethasone, RIS values were low, in the range of 0.0004 - 0.09 when measured using CYP3A4 enzyme activity and 0.0012 - 0.16 when measured using CYP3A4 mRNA. As expected, the 3 lots of HepatoCells showed small variation in RIS, with an average CV of 23% when using enzyme activity, and 24% when using mRNA.

According to FDA definition of clinical drug-drug interaction (AUCR = 0.8 – 1.25) and following industry standard practice (Elnolf et al., 2014; Zhang et al., 2014; Almond et al., 2016; Vermet et al., 2015; Fahmi et al., 2016), we calculate RIS cut-off value for a positive inducer when the values leading to a 20% decrease in predicted victim drug AUC change. RIS cut-off at 20% AUC change was calculated for all 3 lots of HepatoCElls. The values are similar whether enzyme activity or mRNA expression level was used. Specifically, RIS cut-off values are 0.17 and 0.23 using the induction parameter generated with enzyme activity and mRNA expression respectively (Table 4). This was also reported for primary hepatocytes, where the mean cut-off values were determined to be 0.013 and 0.016 based on enzyme activity and mRNA expression respectively (Zhang et al., 2014).

Table 5 shows that the predicted AUC changes using HepatoCells were similar to the observed AUC changes (Zhang et al., 2014) with a few exceptions. For strong inducers, HepatoCells predicted 95-98% AUC change for rifampicin, which caused 97% midazolam AUC change in clinical DDI studies. HepatoCells predicted 95-98% midazolam AUC change for phenytoin, which caused 94% midazolam AUC change in a clinical DDI study. For certain moderate and weak inducers, HepatoCells also showed good prediction. For example, pioglitazone is a clinical weak inducer causing a 26% midazolam AUC change in a clinical study, HepatoCells predicted a 29-36% AUC change using mRNA data, and predicted a 17-34% AUC change using enzyme activity data, correctly categorizing it as a weak inducer. For dexamethasone, omeprazole, and nifedipine, HepatoCells predicted zero, 0.1-1.7%, and 0.9-8% AUC change, respectively, correctly categorizing the 3 compounds as non-inducers. For these compounds, prediction using HepatoCells was similarly accurate as primary hepatocytes (Zhang et al, 2014), with both models correctly categorizing these compounds. An exception is terbinafine, which is a clinical weak inducer causing a 25% midazolam AUC change in a clinical DDI study, HepatoCells predicted 15-29% midazolam AUC change for terbinafine using enzyme activity data and predicted 8-19% AUC change when using mRNA data, suggesting a moderate underestimation.

There are a few compounds that were over-estimated. For example, phenobarbital is a moderate inducer causing 61% *in vivo* AUC change, while HepatoCells predicted 95-98% AUC change, potentially categorizing it as strong inducer. Over-estimation was also observed with the weak inducer sulfinpyrazone and probenecid using HepatoCells (Table 5).

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To further evaluate prediction accuracy using HepatoCells as a model, we calculated accuracy and bias using the 2 parameters described previously (Einolf et al., 2014), RMSE (root mean square error) and GMFE (geometric mean fold error). According to the definition, greater accuracy is represented by lower RMSE, and the lowest GMFE value would represent the lowest prediction bias. Overall no significant difference in prediction accuracy and bias was observed whether enzyme activity or mRNA level was used for induction response (Table 6). However, when using midazolam as the victim drug, prediction accuracy is significantly better and bias significantly lower than using non-midazolam victim drugs, which is true for both HepatoCells and primary hepatocytes (Zhang et al., 2014). For example, when using non-midazolam victim drugs, RMSE is 0.47- 0.49 for HepatoCells based on induction response of mRNA level, which is 5-14 times higher than RMSE of 0.034 – 0.088 when using midazolam as the victim drug; similarly GMFE is 44-587 when using non-midazolam victim drugs, which is up to 489 times higher than GMFE of 1.2-1.5 when using midazolam as the victim drug. This analysis confirmed the above finding of overestimation of AUC change when non-midazolam victim drugs were used.

The predicted AUC change was plotted against observed AUC change. Figure 6 showed that both Corning HepatoCells and primary human hepatocytes correlated well with the line of unity with R^2 greater than 0.9, and both fell within 20% of observed value for most of the test compounds, again suggesting similarly good prediction accuracy.

Discussion

The present study was designed to fully characterize HepatoCells for its applicability as an *in vitro* tool for screening potential CYP inducers. We have shown that HepatoCells maintain primary human hepatocyte-like morphology, and retain primary hepatocytes-like capability to respond to positive control inducers of all 3 important CYPs (CYP3A4, 1A2, and 2B6). It is well known that ligand-activated nuclear receptors play a central role in regulating transcriptional expression of numerous drug metabolizing enzymes and transporter proteins, for example, PXR, CAR, and AHR are the major xenobiotic receptors responsible for regulation of CYP3A4, 2B6, and 1A2, respectively. However, accumulating evidence has shown cross-talk between nuclear receptors, for example, CAR signaling pathway also contributes to the regulation of *CYP3A4* gene expression and enzyme activity, and PXR activation contributes to the induction of CYP2B6 as well, although at a lesser degree than CYP3A4 induction (Faucette SR et al., 2007; Lim and Huang, 2008). This suggests that using a cell model lacking the CAR regulation pathway poses the risk of missing potential clinical inducers. The fact that HepatoCells demonstrate phenobarbital responsive nuclear translocation of CAR, a feature characteristic of primary human hepatocytes and lost in many hepatocyte cell lines, makes HepatoCells an attractive model for screening *in vivo* inducers especially when induction pathways other than PXR activation are involved.

It is noticed that compounds exhibit various patterns of concentration dependent curves (Figure 4A-4F). Some compounds like rifampicin form a plateau, showing clear E_{max} and are easy to derive EC_{50} (Figure 4E). Some compounds do not reach a plateau at tested concentrations. The possible reasons include cytotoxicity, insolubility, and enzyme inhibition at high concentrations. For example, pioglitazone was insoluble at 33 μM and 100 μM; while at concentrations of 0.006

-12.5 μM, pioglitazone caused linear increase in induction response without reaching a plateau,

resulting a half S-shape (Figure 4D). Note the curve fitting function (Sigmoidal Hill 4 parameter

function) measures slopes of the fitted curves, which, depending on which part of the same data

set is used for curve-fitting, could vary significantly. Ideally, the curve fitting slopes should be

considered in a prediction model; however, the practical use has not been adopted by the

pharmaceutical industry (Chu et al. 2009). There are very few discussions on how the curve-

fitting slopes may be used in prediction models, possibly because the current practice of not

considering this factor has generated sufficient prediction accuracy.

HepatoCells closely model the behavior of primary human hepatocytes during induction

treatment. Because previous studies comparing different models from simple to complex

suggested that a calibration-based approach provides sufficient prediction (Einolf et al., 2014),

we chose the RIS model for the present study. Using the calibration-based approach,

HepatoCells demonstrate prediction capability very close to primary human hepatocytes. For

example, all 3 strong inducers rifampicin, carbamazepine, and phenytoin demonstrate predicted

% AUC change very similar to the observed values using both cell types. Previous study has

shown that clotrimazole inhibits CYP3A4 activity by tight binding with a very small Ki of 0.25

nM (Gibbs et al. 1999). Since HepatoCells were treated with clotrimazole at concentrations

between 10 nM and 10 μM in the present study, it is likely that clotrimazole acted as a potent

CYP3A4 inhibitor masking the induction in enzyme activity, hence, no concentration dependent

response was observed. Similarly, the positive induction response in CYP3A4 mRNA level

caused by clotrimazole treatment of HepatoCells was also observed in primary human

hepatocytes; however, unlike HepatoCells, 2 out of 3 lots of primary human hepatocytes tested

also demonstrated positive induction response in CYP3A4 enzyme activity, albeit to a moderate

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degree (E_{max} = 3.1-3.3 fold) (Zhang et al., 2014). Nifedipine is a clinical non-inducer, however in the present study it caused induction in both enzyme activity and mRNA transcript expression, as indicated by E_{max} of greater than 20-fold in HepatoCells. This result is consistent with the findings in primary hepatocytes where mRNA transcript levels increased by 3- to 8-fold and enzyme activity increased by 1.4- to 3-fold (Zhang et al., 2014).

A few test compounds were predicted to cause higher AUC changes than clinical DDI studies, including the clinical non-inducer omeprazole, the moderate inducer phenobarbital, and weak inducers probenecid and sulfinpyrazone. All these examples of overestimation share the common feature that non-midazolam drugs were used as substrates in the clinical DDI studies, e.g., carbamazepine as a substrate to assess probenecid effect (Kim et al., 2005), R-warfarin as a substrate to assess sulfinpyrazone effect (O'Reilly, 1982), and nifedipine as a substrate to assess phenobarbital effect (Schellens et al., 1989) and omeprazole effect (Soons et al., 1992). This same finding was previously reported in primary hepatocytes (Zhang et al., 2014). When prediction accuracy was analyzed using the metrics GMFE and RMSE, it also clearly demonstrated that a calibration curve based on midazolam as the victim substrate can accurately predict induction using midazolam as substrate, but not for induction using non-midazolam substrate. Combined together, it is not suggested to generate a calibration curve using *in vitro* data from one substrate and apply such calibration curve for prediction of induction involving a different substrate.

The overestimation of probenecid induction is worth a closer look. Probenecid caused a marked induction response with E_{max} at 5.9- to 11.9-fold for enzyme activity and more than 20-fold for mRNA expression. This seems to be contradictory to a previous report by Luo et al. (2002), where probenecid was used as a negative control and no activation of PXR or induction of

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CYP3A4 transcript or enzyme activity was observed at probenecid concentration up to 50 µM

for PXR reporter gene assay or up to 20 µM for CYP3A4 enzyme activity assay. In our test,

HepatoCells did not exhibit significant induction response (>2 fold) when probenecid was at 0.1

-11 µM which is a similar range when it is used as a negative control (Luo et al., 2002); however

when probenecid concentration increased to higher than 33 µM, probenecid started to

demonstrate strong induction in our test. It is worth noting that probenecid has high unbound

C_{max} (28 µM), therefore it is important to use a concentration range large enough to cover this

value in order to assess induction potential.

Other renewable *in vitro* models such as HepaRG (a hepatoma derived cell line) and Fa2N4 (an

immortalized human hepatocyte cell line) have been tested as substitutes for primary human

hepatocytes for modeling CYP3A4 induction DDI (Kanebratt and Andersson 2008, Ripp et al.,

2006). However, limitations of HepaRG include mixed cell populations, and the required use of

DMSO for differentiation and maintaining drug metabolic activities. A limitation of the Fa2N4

cell line is the lack of a relevant CAR signaling pathway. Moreover, induction studies revealed

that Fa2N4 cells have greater than 10 times higher EC_{50} value for rifampicin compared to

primary hepatocytes, which was considered to be due to low expression of the uptake transporter

OATP1B1/1B3 (Hariparsad et al., 2008). In contrast, HepatoCells was shown to retain primary

hepatocyte like phenobarbital responsive CAR nuclear translocation. HepatoCells have similar

EC_{50} values as primary hepatocytes (Zhang et al., 2014), with most values within a 2- to 3-fold

difference of each other. For example, HepatoCells showed EC_{50} values for rifampicin of 0.27 –

0.52 (Table 2), while primary hepatocytes showed EC_{50} values of 0.12-1.4 (Zhang et al., 2014).

In addition, HepatoCells was tested for drug uptake activity using substrates for OATP1B1/1B3

and OCT1, demonstrating kinetic values (K_m) similar to both native (primary human

hepatocytes) and recombinant systems (data to be presented in a separate publication), suggesting that HepatoCells actively express functional uptake transporters.

Currently primary human hepatocytes are the preferred model for *in vitro* testing of drug ADME/Tox features. However, its use is limited due to large lot-to-lot limitations. Compared to primary human hepatocytes, HepatoCells demonstrate much better performance consistency, as indicated by 5 to 8 times lower lot-to-lot variations in fold induction values of all 3 enzymes (Table 1), much smaller variation in RIS values, i.e., 7-43% CV for HepatoCells RIS data based on mRNA expression (Table 3) vs. 9-115% CV for primary hepatocytes (Zhang et al., 2014), and smaller variation in 20% AUC cut-off (Table 4). Overall, this suggests that although primary hepatocytes are the preferred model for the definitive study of drug-drug interaction required for new drug application submission, HepatoCells is a better tool for early stage screening due to better reproducibility. However, it has to be noted that HepatoCells are derived from a young donor, which may limit its use in some *in vitro* ADME studies. It is a general perception that primary hepatocytes from younger donors have higher chance of success of immortalization than cells from adult donors; however, we have recently achieved successful immortalization of primary hepatocytes from several adult donors, thus cell lines generated with a broad range of donor demographic profiles could provide more options as primary hepatocyte alternative.

In conclusion, as a renewable hepatocyte model that closely mimics the behavior of primary hepatocytes but with much higher reproducibility and reliable supply, HepatoCells is considered a useful *in vitro* tool for early stage screening.

Acknowledgements

Authorship Contributions

Participated in research design: Zuo

Conducted experiments: Zuo, F. Li, Parikh, Cao, Cooper, D. Li, Wang

Contributed new reagents and analytical tools: Hong, Liu, Faris

Performed data analysis: Zuo, F. Li, Parikh, Cao

Wrote or contributed to the writing of the manuscript: Zuo, F. Li, Faris, Wang

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Footnotes

Parts of the work were presented at the International Society for the Study of Xenobiotics (ISSX)

19th North American Meeting October 19 - 23, 2014, in San Francisco, CA, USA.

Figure Legends

Figure 1. Morphology of HepatoCells. (A) Phase contrast picture of HepatoCells sandwich

culture. The circle indicates polygonal cell shape, the square indicates double-nucleation,

and the triangle indicates bile canaliculus. (B) Fluorescence image of day 5 HepatoCells

sandwich culture in the presence of MRP2 fluorescent substrate CDFDA. (C)

Fluorescence image of day 5 HepatoCells sandwich culture in the presence of both MRP2

fluorescent substrate CDFDA and MRP2 inhibitor MK571.

Figure 2. Induction response of CYP3A4, CYP1A2 and CYP2B6 in HepatoCells upon treatment

with respective control inducers, 10 μM rifampicin, 50 μM omeprazole, and 1 mM

phenobarbital. Enzyme activity in the presence of positive control inducers is normalized

to enzyme activity in the presence of solvent vehicle control (0.1% DMSO) to give fold

induction. Induction data of primary hepatocytes was generated similarly using

cryopreserved human hepatocytes from Corning Gentest inventory. Data shown are mean

values of multiple lots. Number of lots used is indicated in parenthesis.

Figure 3. Nuclear translocation of hCAR in HepatoCells and primary hepatocytes upon exposure

to phenobarbital. (A) and (B) are primary human hepatocytes before and after

phenobarbital treatment, respectively. (C) and (D) are HepatoCells before and after

phenobarbital treatment, respectively. Arrowheads indicate cell nuclei.

Figure 4. Examples of concentration-dependent induction response curves used to determine

E_{max} and EC_{50} using fold increase data estimated with CYP3A4 mRNA expression level.

Graphs (A - F) are examples of concentration-dependent induction response curves for 6

compounds in lot 2B HepatoCells. G, H, and I are examples of probenecid concentration-dependent induction response curves in lot 2B, lot 3A, and lot 3B HepatoCells.

Figure 5. Calibration curves of *in vivo* midazolam AUC change (%) as a function of RIS, using data from CYP3A4 mRNA induction response in 3 different lots of HepatoCells, lot 2B (A), lot 3A (B), and lot 3B (C). *In vivo* midazolam AUC change (%) was obtained from a previous study (Zhang et al., 2014)

Figure 6. Correlation analysis of observed midazolam AUC change (%) and predicted AUC change (%) using HepatoCells and primary hepatocytes. Observed midazolam AUC change (%) and primary human hepatocyte data were obtained from a previous study (Zhang et al., 2014).

Table 1 Lot-to-lot variation (%CV) of HepatoCells vs. primary human hepatocytes in induction response.

Parameters	Lot-to-lot Variation (%CV)	
	HepatoCells	Primary human hepatocytes
CYP3A4 fold induction	12% (n=6)	94% (n=15)
CYP1A2 fold induction	15% (n=3)	74% (n=15)
CYP2B6 fold induction	12% (n=3)	100% (n=15)

N in parenthesis indicates number of lots used in the study.

Table 2 E_{max} and EC_{50} determination using concentration-dependent induction response curves based on CYP3A4 mRNA induction fold increase data.

Test compounds	Test concentration µM	Lot 2B			Lot 3A			Lot 3B		
		R^2	E_{max} Fold	EC_{50} µM	R^2	E_{max} Fold	EC_{50} µM	R^2	E_{max} Fold	EC_{50} µM
Rifampicin	0.01 - 50	0.98	22	0.36	0.96	28	0.27	0.98	26	0.52
Phenytoin	0.6 - 120	1.00	23	15	1.00	38	16	0.99	35	23
Carbamazepine	0.23 - 500	1.00	16	108	1.00	10	65	0.98	14	53
Phenobarbital	0.91 - 2000	1.00	110	558	1.00	135	728	1.00	73	775
Terbinafine	0.05 - 100	1.00	20	3.6	1.00	24	2.2	0.99	21	2.7
Sulfinpyrazone	0.09 - 200	1.00	31	23	0.98	53	28	0.98	38	32
Probenecid	0.13 - 300	1.00	25	187	1.00	26	176	1.00	22	195
Pioglitazone	0.006 - 12.5	0.99	33	3.3	0.99	31	2.3	0.98	15	2.0
Dexamethasone	0.11 - 250	1.00	32	139	1.00	53	117	1.00	29	134
Rosiglitazone	0.05 - 100	0.99	49	3.8	1.00	85	4.3	1.00	95	4
Omeprazole	0.05 - 100	1.00	24	13	1.00	45	23	1.00	27	13
Clotrimazole	0.005 - 10	1.00	16	0.58	0.99	18	0.35	1.00	17	0.33
Nifedipine	0.05 - 100	1.00	28	5.6	0.99	40	6.6	0.99	27	3.5
Quinidine	0.11 - 250	NA	1.0	NA	NA	2.0	NA	NI	1.12	NI
Flumazenil	0.023 - 50	NI	NI	NI	NI	NI	NI	NI	NI	NI
Primaquine	0.05 - 40	NI	NI	NI	NI	NI	NI	NI	NI	NI
Methotrexate	0.009 - 20	NI	NI	NI	NI	NI	NI	NI	NI	NI
Digoxin	0.0002 - 0.2	NI	NI	NI	NI	NI	NI	NI	NI	NI

Fold increase = fold induction – 1.

NI: no induction observed at tested concentration.

NA: not able to conduct curve fitting to obtain EC_{50} and E_{max} due to no concentration dependent response curve was obtained. Only observed maximum fold induction is reported.

Table 3 RIS values calculated using induction response measured by CYP3A4 mRNA level and enzyme activity in HepatoCells.

Compound	RIS based on mRNA					RIS based on enzyme activity				
	Lot 2B	Lot 3A	Lot 3B	Mean	%CV	Lot 2B	Lot 3A	Lot 3B	Mean	%CV
Rifampicin	19.1	24.8	21.5	21.8	13%	23.8	23.0	18.3	21.7	14%
Phenytoin	7.5	12.1	8.5	9.4	26%	1.8	2.3	2.4	2.2	13%
Carbamazepine	0.76	0.77	1.3	0.9	31%	0.67	0.8	0.9	0.8	17%
Phenobarbital	5.2	5.0	2.5	4.3	35%	2.9	4.2	4.0	3.7	18%
Terbinafine	0.13	0.26	0.19	0.19	34%	0.15	0.23	0.17	0.2	21%
Pioglitazone	0.28	0.37	0.21	0.29	28%	0.12	0.20	0.28	0.2	42%
Sulfinpyrazone	1.2	1.8	1.1	1.4	25%	1.5	1.4	1.2	1.3	10%
Probenecid	2.8	3.2	2.4	2.8	13%	1.5	1.0	0.8	1.1	33%
Dexamethasone	0.0013	0.0024	0.0012	0.0016	43%	0.00054	0.00041	0.00043	0.0005	15%
Nifedipine	0.10	0.12	0.16	0.13	22%	0.09	0.09	0.04	0.067	39%
Rosiglitazone	0.043	0.065	0.070	0.059	25%	0.019	0.028	0.024	0.023	18%
Omeprazole	0.069	0.073	0.079	0.074	7%	0.015	0.009	0.007	0.010	39%
Clotrimazole	0.0028	0.0051	0.0052	0.0044	7%	NA	NA	NA		
Mean %CV					24%					23%

Table 4 RIS cut-off value at 20% midazolam AUC change in HepatoCells and primary human hepatocytes.

	HepatoCells			Primary human hepatocytes	
Lot #	RIS (enzyme activity)	RIS (mRNA)	Lot #	RIS (enzyme activity)	RIS (mRNA)
Lot 2B	0.12	0.20	Lot 295	0.017	0.017
Lot 3A	0.19	0.30	Lot 312	0.013	0.019
Lot 3B	0.20	0.19	Lot 318	0.0078	0.011
Mean	0.17	0.23	Mean	0.013	0.016
%CV	25%	26%	%CV	37%	27%

Primary human hepatocyte data was obtained from a previous study (Zhang et al., 2014).

Table 5 Predicted AUC change using RIS calibration curve based on CYP3A4 mRNA and enzyme activity of HepatoCells.

| Compounds	Observed % AUC change	Predicted % AUC change based on mRNA			Predicted % AUC change based on activity		
		Lot 2B	Lot 3A	Lot 3B	Lot 2B	Lot 3A	Lot 3B
Rifampicin*	97	98	98	95	96	96	99
Phenytoin*	94	98	98	95	96	96	97
Carbamazepine*	94	86	87	95	92	92	87
Phenobarbital	61	98	98	95	96	96	98
Pioglitazone*	26	36	35	29	17	23	34
Terbinafine*	25	8.1	13	19	29	29	15
Sulfinpyrazone	22	94	97	95	96	95	92
Probenecid	20	98	98	95	96	94	83
Dexamethasone	19	0.00	0.00	0.00	0.0	0.0	0.0
Nifedipine*	4	4.4	0.89	8.3	8.9	2.1	0.63
Omeprazole	-25	1.7	0.14	0.35	0.11	0.002	0.017
Rosiglitazone	12	0.53	0.10	0.20	0.21	0.077	0.22
Clotrimazole	9.7	0.00	0.00	0.00	0	0	0

Compounds with * were used to generate RIS calibration curves.

Observed AUC change was obtained from a previous study (Zhang et al., 2014).

Table 6 Prediction accuracy and bias in the prediction of clinical CYP3A4 inducers using HepatoCells.

Substrate	Metrics	Endpoint	HepatoCells		
			Lot 2B	Lot 3A	Lot 3B
Midazolam and non-midazolam	RMSE	Activity	0.34	0.33	0.31
		mRNA	0.34	0.35	0.33
	GMFE	Activity	7.9	12.3	8.4
		mRNA	6.5	13.0	20.1
Midazolam	RMSE	Activity	0.046	0.025	0.063
		mRNA	0.088	0.070	0.034
	GMFE	Activity	1.3	1.2	1.6
		mRNA	1.3	1.5	1.2
Non-midazolam	RMSE	Activity	0.48	0.47	0.43
		mRNA	0.48	0.49	0.47
	GMFE	Activity	71	207	62
		mRNA	44	169	587

Figure 1
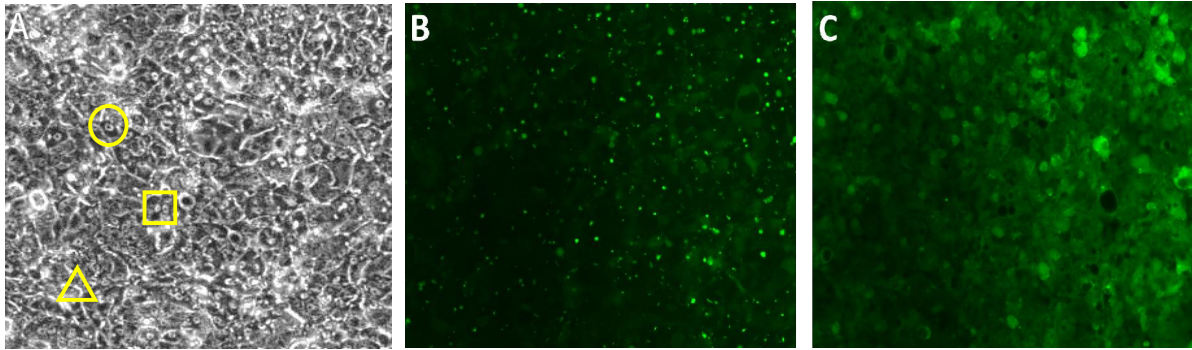
Figure 2
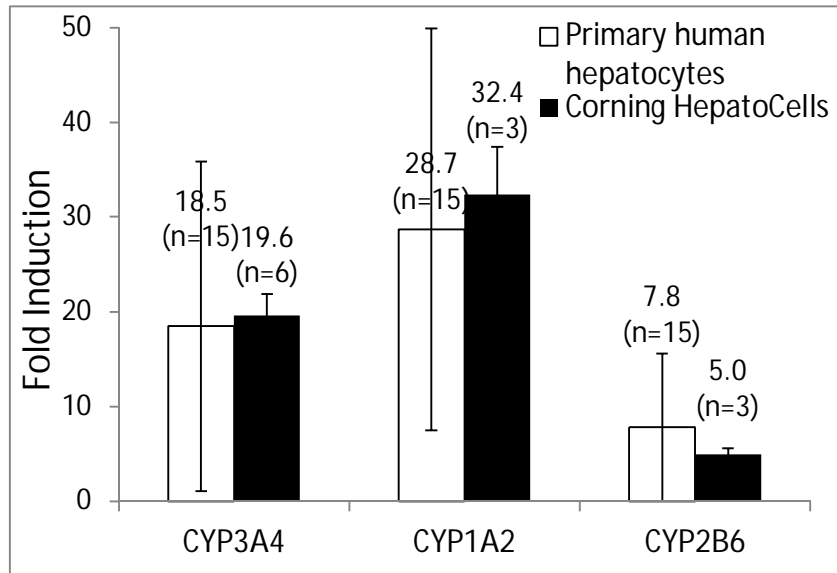
Figure 3
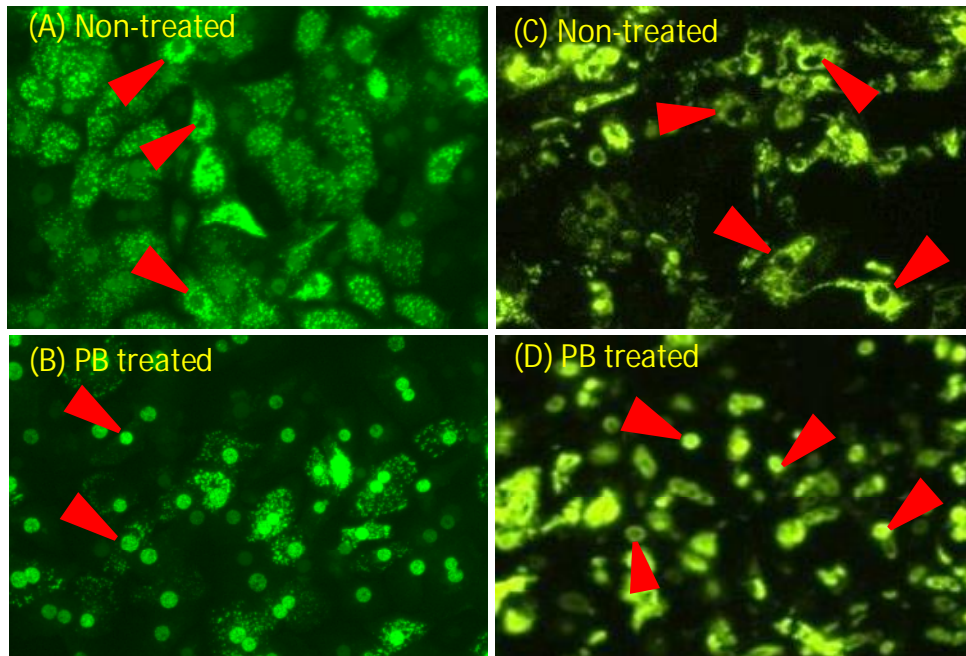
Figure 4

Figure 5

Figure 6