Physiology of the Neonatal Gastrointestinal System Relevant to the Disposition of Orally Administered Medications

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ABSTRACT

A thorough knowledge of the newborn (age, birth to 1 month postpartum) infant’s gastrointestinal tract (GIT) is critical to the evaluation of the absorption, distribution, metabolism, and excretion (ADME) of orally administered drugs in this population. Developmental changes in the GIT during the newborn period are important for nutrient uptake as well as the disposition of orally administered medications. Some aspects of gastrointestinal function do not mature until driven by increased dietary complexity and nutritional demands later in the postnatal period. The functionalities present at birth, and subsequent maturation, can also impact the ADME parameters of orally administered compounds. This review will examine some specific contributors to the ADME processes in human neonates, as well as what is currently understood about the drivers for their maturation. Key species differences will be highlighted, with a focus on laboratory animals used in juvenile toxicity studies. Because of the gaps and inconsistencies in our knowledge, we will also highlight areas where additional study is warranted to better inform the appropriate use of medicines specifically intended for neonates.

Introduction

This review is part of a multisector collaborative research effort coordinated by The Health and Environmental Sciences Institute to increase the knowledge base in the nonclinical neonatal space to better inform clinical treatment decisions made for the newborn patient population (De Schaepdrijver et al., 2018). In the area of juvenile animal testing for safety, one challenge is the selection of an appropriate species to evaluate. Ultimately, information on the cross-species ontogeny of factors contributing to drug absorption, distribution, metabolism, and excretion (ADME) processes can help to guide the development of drugs for potential use in neonates.

We aim to provide an overview of the basic ADME functionality present in the gastrointestinal tract (GIT) at birth in term and preterm neonates and compare these functions to those of common animal models used in nonclinical safety assessment. We particularly focus on developmental differences related to ADME functionality in the human and animal neonatal stomach, small intestine, large intestine, and microbiome. Similarly, the maturation of the cross-species ontogeny of ADME-related processes in the liver, and other organs, will be covered in future reviews.

The development of the GIT is recognized as an important contributor to physiologic differences driving pharmacokinetics in children, and especially in neonates, compared with adults (de Zwart et al., 2004; Fernandez et al., 2011; Smits et al., 2013; Allegaert et al., 2014; Soman et al., 2016). Although the primary function of the GIT is absorption, there is organ-level distribution, metabolism, and excretion of pharmaceuticals that occurs in the GIT as well. For example, the functionality of the GIT can contribute to the disposition of parenterally administered drugs whether they undergo enterohepatic circulation, or whether they are excreted through the feces.

As previously reviewed, there are basic anatomic and physiologic differences in the GIT across species (Kararl, 1995), and likewise differences across species in the postnatal development of the structural and functional metabolic capacity of the GIT (Walthall et al., 2005; Downes, 2018). The focus of the current review is a better understanding of potential contributors to GIT-driven ADME of drugs administered to neonates. Specifically, aspects of neonatal physiology, pharmacology, nutrition, metabolic capacity, and species differences will be discussed.

However, the maturation of the gastrointestinal system in term and preterm human neonates is an area of substantial interest from both nutritional and medical practice standpoints. Especially in early preterm neonates [less than 28 gestational weeks (GWs)], birth constitutes a nutritional emergency in which the neonate has high nutritional needs that are difficult to meet (Harding et al., 2017). One contributing factor to the nutritional emergency is the relatively undeveloped gastrointestinal system of premature neonates that limits their ability to use enteral nutrition.

The gastrointestinal system of preterm neonates exhibits reduced digestive

ABBREVIATIONS: ADME, absorption, distribution, metabolism, and excretion; ASBT, apical sodium-dependent bile transporter; CES, carboxylesterase; EGF, epidermal growth factor; GIT, gastrointestinal tract; GW, gestational week; IGF, insulin-related growth factor; MRP, multidrug resistance-associated protein; OCT, oral-cecal transit; P450, cytochrome P450; PBPK, physiologic-based pharmacokinetic; PEPT1, peptide transporter 1; PND, postnatal day; SITT, small intestinal transit time; t1/2, half-time; UGT1A1, uridine diphosphate-glucuronosyltransferase 1A1.
and absorptive capacities, prolonged gastric emptying times, and limited intestinal motility compared with the term neonate, among other important differences (Bourlieu et al., 2014; Poquet and Wooster, 2016). These same limiting factors that contribute to a nutritional crisis alter the response of the premature neonate to orally administered therapeutic agents (Mooij et al., 2012).

In addition to the extensive knowledge of GIT development in humans, reflecting both nutritional and medical expertise, there have also been reviews of assessments in animals to investigate the comparative ontogeny of specific components of the neonatal GIT (Henning, 1981; Walthall et al., 2005; Drozdowski et al., 2010; Downes, 2018). There are critical species differences in both GIT maturation at birth, and the primary nutritional needs of the neonate. The combined data from humans and animals have been used to build a more complete picture of the sequence of developmental events in the GIT surrounding the neonatal period and contributing to the complexity of ADME in the neonate.

Historically, the use of drugs in neonates is often “off-label” and based on an empirical application of available knowledge balanced by the immediate needs of these patients (Laughon et al., 2014; Skinner, 2014; Cuzzolin and Agostino, 2016). In the neonatal intensive care unit setting, drug use is primarily guided by published case reports or extrapolation of information from labels of these drugs in the older child or adult patient populations, rather than specific drug labeling for neonates. Examples include anesthetics, anticonvulsants, antireflux drugs, antiarrhythmics and other cardiac drugs, antibiotics, antivirals, analgesics/antipyretics, and diuretics (Laughon et al., 2014; Cuzzolin and Agostino, 2016). The ability to monitor drug levels, as well as specific pharmacodynamic effects potentially allows for safer and more efficient use of drugs in the newborn population. It is uncommon for a drug or biologic to be studied sufficiently in newborns to warrant the inclusion of data and instructions for use in an existing label or to support a “de novo” label. Because of the variability of ADME characteristics in immature and/or sick newborns, and the frequent use of multiple drugs in this population, there is a relatively increased risk of adverse drug reactions. Unfortunately, because of the variety and high frequency of morbidities in this population overall, it is often difficult to assign causality in relation to drug use.

During the last 20 years, based in part on legislative initiatives, there has been a collaborative effort among the Food and Drug Administration, the National Institutes of Health, the pharmaceutical industry, and academic institutions to conduct more research on drug use in children, including newborns, leading to additional labeling of drugs for use in patients in this age range. Although many drugs currently used in sick neonates are preferentially administered parenterally, the oral route remains of interest for drug development and for nonclinical safety testing.

There are a number of factors in the neonatal GIT that could influence ADME properties of drugs and are discussed in this review. These include gastric acid production, gastric residence time or emptying, production of intestinal bile salts, mucosal structure, epithelial permeability to macromolecules, absorptive surface area, intestinal transit time, transporter functionality, biotransformation reactions, digestive enzyme activity, and establishment of the postnatal microbiome. Many of these factors, as they are understood, can then inform the success of in silico, in vitro, and in vivo approaches to better predict the ADME of drugs and chemicals administered to neonates.

The Developing Gastrointestinal System Dynamically Responds to Feeding

The neonatal gastrointestinal system undergoes dramatic changes in response to enteral feeding. A gastrointestinal growth spurt occurs in the first 24 hours after birth, largely driven by the trophic effect of enteral nutrition (Commare and Tappenden, 2007). Indeed, early feeding with non-nutritive (water alone) fluid delays the development of enteric motor activity, impairs gastrointestinal growth, and slows clinical progress (Berseth and Nordsyke, 1993; Commare and Tappenden, 2007). At least partial (or “minimal”) enteral feeds are achievable in some preterm infants as early as 25 GWs (Commare and Tappenden, 2007; Neu, 2007). However, preterm infants of less than 32 GWs often are not able to fully use enteral nutrition because of the lack of a suck-swallow reflex, lack of digestive capacity, and limited intestinal motility (Commare and Tappenden, 2007; Neu, 2007). In practice, preterm infants are given parenteral nutrition until enteral feeding is tolerated (Harding et al., 2017). In the preterm neonate, partial enteral feeds of human milk and, to a lesser extent, infant formula have been associated with faster achievement of full enteral feeds, decreased gastrointestinal permeability (Shulman et al., 1998a), and stimulation of intestinal lactase (Shulman et al., 1998b). Enteral feeding of either human milk or formula facilitated the maturation of the intestine in premature neonates by promoting enteral motor activity (Berseth, 1992; Berseth and Nordsyke, 1993). Minimal and full enteral feeding of infant formula or human milk has been shown to decrease the incidence of necrotizing enterocolitis and other gastrointestinal complications (Schanler et al., 2005; Commare and Tappenden, 2007; Neu, 2007), although a greater protective effect may be associated with human milk (Schanler et al., 2005).

Several reviews on the maturation of the human infant digestive capacity, including that of premature infants, have been published recently (Bourlieu et al., 2014; Poquet and Wooster, 2016).

In other mammals, the GIT also undergoes immediate changes in response to the initiation of enteral feeding, as has been reviewed (Henning, 1981; Lebenthal and Lebenthal, 1999; Drozdowski et al., 2010; Buddington and Sangild, 2011; Downes, 2018). Studies of human and rat fetal intestinal xenografts point to a mixture of “preprogramming” events that occur regardless of diet, hormonal factors, and milk-derived trophic and nutritional factors that, in combination, advance the maturation of the neonatal GIT (Henning, 1981; Montgomery et al., 1981; Winter et al., 1991; Savidge et al., 1995). Ultimately, the bursts of gastrointestinal developmental activity that accompany birth and weaning are driven by environment (diet), hormones and genetic factors. Some species, such as humans and pigs, show pronounced changes, mainly in the immediate perinatal period, whereas more altricial species (e.g., rats) have more protracted postnatal development of the GIT, with the most pronounced changes occurring just prior to and around the time of weaning (Johnson, 1985; Mubiru and Xu, 1998; Sangild, 2006).

It should be noted that the gastrointestinal ontogeny of laboratory species varies in important ways. For example, the rat fetal pancreas accumulates zymogens in late gestation and then releases them with the onset of suckling. Because of this release, the neonatal rat pancreas actually has a marked decrease in size and in relative enzyme activity over the first 3 days of life, despite there being an increase in the number of cells in the pancreas (Mubiru and Xu, 1998). In contrast, in the pig there is a marked increase in absolute and relative pancreatic weight over the first 3 days postnatally. Pig colostrum contains relatively high levels of epidermal growth factor (EGF), insulin-related growth factor (IGF)-I and IGF-II; therefore, rapid development of the pancreas is thought to be driven in part by these colostral growth factors, in addition to the higher levels of neonatal glucocorticoids compared with rats (Mubiru and Xu, 1998). Other studies have also demonstrated a clear role for neonatal feeding and hormones in the digestive capacity of the neonatal pig (James et al., 1987; Tievey et al., 1994; Burrin et al., 2001; Sangild et al., 2002) (Tables 6 and 7).
Morphology and Function of the Neonatal GIT

Oral drug absorption in human pediatric populations has been discussed in several reviews (Edginton, 2010; Nicolas et al., 2017). Nutrient absorption in neonates has been very well studied and described. There are many reviews on the current understanding of absorption of carbohydrates (Neu, 2007), amino acids (Kalhan and Bier, 2008), and lipids (Lindquist and Hernell, 2010; Bourlieu et al., 2014; Poquet and Wooster, 2016). Fat digestion and absorption are different in the neonate compared with individuals of older lifestages, and the preterm infant exhibits differences from the term infant (Bourlieu et al., 2014; Poquet and Wooster, 2016). Preterm infants tend to absorb much less fat than term infants, although the biologic reasons for preterm malabsorption of fat are not entirely clear (Lindquist and Hernell, 2010).

Sites of Absorption in the Neonate

In the neonate, the absorption of chemicals from oral administration may occur in three different physiologic locations: stomach, small intestine, and colon. Drug absorption in the stomach is largely dependent upon gastric pH and gastric emptying time. Other factors, such as gastric volume, mucin production, and gastric lipolysis may also impact absorption to a lesser degree, depending on drug physiochemical properties. Species differences in gastric anatomy and physiology may result in differences in drug absorption in neonates across species. For example, the rat neonatal stomach resembles intestinal mucosa at birth, with greater absorptive capacity than neonatal pigs, nonhuman primates, and humans (Picut and Coleman, 2016). Thus, theoretically, neonatal rats may have higher gastric absorption of some drugs and chemicals than neonates of other species, and may overpredict gastric absorption of human neonates. Most enterally administered therapeutics and chemicals are absorbed in the small intestine, through passive or active processes. Similar to gastric absorption, passive intestinal absorption can be modulated by luminal pH, intestinal motility, mucin production, as well as surface area or absorptive capacity. A unique consideration in the neonate (term or preterm) is that the colon can be a site of significant absorption of nutrients and pharmaceuticals, whereas in older children and adults, the colon exhibits less absorptive capacity. Perinatally, the human neonatal colon can absorb nutrients (e.g., glucose and amino acids) in a way not seen in adults (Pácha, 2000). This increase in nutrient absorptive capacity is also seen in neonatal rats and pigs (Buddington and Diamond, 1989), and is associated with the presence of apical brush border hydrolases in the colonic mucosa of humans (Lacroix et al., 1984; Zweibaum et al., 1984) and of rats (Foltzer-Jourdainne et al., 1989). The regional expression of apical hydrolases in the colon of suckling rats is regulated in part by hormones such as EGF and thyroxine (Foltzer-Jourdainne and Raul, 1990; Freund et al., 1990). It may be a compensatory mechanism in response to the decreased ability of the small intestine to absorb these nutrients in the immediate postnatal period. This is relevant to neonatal ADME considerations because colonic or intravenous administration of therapeutics is often used in human neonatal patients, particularly when gastric or intestinal motility is impaired (Kaye, 2011).

Macromolecular Absorption

Macromolecular absorption refers to the transfer of large molecules intact across the intestinal epithelium. Importantly, in the neonatal period there may be both active and passive transfer of large molecules and proteins. This developmental window may last hours or days, depending on the species and initiation of enteral feeding, and is a unique feature of the neonatal GIT.

Absorption of Igs. One unique consideration for neonatal absorption relates to Ig transfer from colostrum and milk to the neonate. In rodents and primates (including humans), Ig transfer occurs transplacentally during the last trimester of gestation via the neonatal fragment crystallizable receptor for IgG (FcRn) and is one source of passive immunity in newborns (Palmeira et al., 2012; Bowman et al., 2013; Moffat et al., 2014). There is an added benefit of Igs secreted into breast milk, which provide both local protection within the GIT, and can be absorbed during a brief postnatal period of small intestinal patency in intact macromolecules like Igs (Vukavic, 1984; Smith et al., 1986; Arevalo Sureda et al., 2016). In humans, IgA is the dominant Ig identified in breast milk (Hurley and Theil, 2011), although IgM and IgG are also present to a variable degree (Ruiz et al., 2017). It is difficult to study systemic Ig absorption from breast milk in human neonates because the Igs secreted into the milk are typically the same as those transferred during late gestation. However, in studies comparing serum Igs of preterm or term infants exclusively fed breast milk or formula, clear evidence of IgG absorption from breast milk was limited to preterm infants (gestational age, 31–33 weeks), and neonates initiate production of IgM and IgA fairly rapidly after birth (Savilähtı et al., 1983; Cheng et al., 2012). In addition, gastric digestion substantially reduced human milk Igs in term neonates, but not in premature neonates (Demers-Mathieu et al., 2018). In a recent clinical study of lactating women who had been given a modified (PEGylated) therapeutic monoclonal IgG, only minimal concentrations were identified in breast milk, which supports the specificity of Ig secretion into milk (Close et al., 2017). Although the specific differences in colostrum composition and Ig uptake in neonates have not been robustly established, the available nonclinical models are likely to overpredict lactational transfer of macromolecules. For example, species that lack effective transplacental Ig transfer, such as dogs and pigs, have high levels of IgG in colorectal milk and rely almost entirely on Ig absorption through the gut in the immediate perinatal period for passive immunity (Chastant-Maillard et al., 2012; Goncharova et al., 2017; Mila et al., 2017; Socha-Banasiak et al., 2017). Ultimately, the absorption of intact proteins in the neonatal period occurs prior to the extensive production of peptidases by the stomach and pancreas. However, even in older infants, the presence of Igs in breast milk can confer some protection and may be altered by disease (Hauschner et al., 2015; Abu-Rayya et al., 2016; Arevalo Sureda et al., 2016).

Other Macromolecules. Passive transfer of large molecules in the neonatal intestine is often measured using markers of known molecular weight, such as bovine serum albumin or polysaccharides. The adult rat intestinal epithelium can admit solutes of 5000 Da or less at tight junctions (Pappenheimer and Reiss, 1987; Pácha, 2000), and some molecules may be absorbed by this route (Atisook and Madara, 1991). Passive absorption is higher in infants, with preterm neonates exhibiting higher permeability to polysaccharides than term neonates or adults (Beach et al., 1982). However, intestinal permeability to polysaccharides in premature infants 26–37 GWS of age reached similar levels as term infants by postnatal days (PND) 4–7 (van Elburg et al., 2003).

The exact timing of the point at which passive macromolecular absorption is restricted is unknown in humans but has been characterized in some laboratory species and has been reviewed (Pácha, 2000). In general, macromolecular absorption declines after birth in a species-dependent manner. In pigs, guinea pigs, and hamsters, macromolecular transport ceases in the first few PNDs (Lecce and Broughton, 1973; Weström et al., 1984b, 1989). In rats and rabbits, macromolecular absorption has been observed through PND 21 (Lecce and Broughton, 1973; Teichberg et al., 1992). Work in pigs shows that macromolecular transport of proteins (bovine serum albumin, ovalbumin, fluorescein isothiocyanate-dextrans) is very high at birth but becomes restricted about 18–36 hours after the introduction of colostrum (Weström et al., 1984a,b). Intestinal permeability of chemicals with molecular weights ranging from 383 to 942 Da (polyethylene glycol 600; PEG 1000;
Breast milk in all mammals has a relatively high fat content, and the absorption of both fats and fat-soluble vitamins is important for neonates. However, although primary bile acids are produced by the fetus, these are predominantly taurine conjugated based because the hepatic glycine conjugation reactions have not fully matured at birth (Heubi et al., 1982). Overall, bile acid and bile salt concentrations are relatively low in the intestinal lumen, and neither transporter-mediated uptake nor enterohepatic bile circulation is functional at birth. Within the intestine, the reuptake of bile can occur passively in the neonate as well as via active transport in the distal ileum. In rats, the apical sodium-dependent bile transporter (ASBT) is transiently expressed at birth, then suppressed until 2 to 3 weeks postpartum, after which it contributes to efficient intestinal bile reuptake. However, there is also evidence of bile acid production near adult levels and of bile recirculation in the neonatal period, which may reflect passive uptake in the small intestine (Staggers et al., 1982; Klaassen and Aleksunes, 2010). The relatively neutral gastric pH in neonates increases the solubility of unconjugated bile acids, facilitating passive uptake. In addition to intestinal pinocytosis and fatty-acid binding protein-based uptake of lipids, including unconjugated bile acids (Stahl et al., 1993), there is some evidence that P-glycoprotein efflux pump (also known as MDR1) may also play a role in bilirubin and bile acid disposition (Watchco et al., 2001). Micellar absorption, as seen in adults, appears once pancreatic lipase activity and bile acid concentrations increase, but the timing of this increase is uncertain. Intestinal bile salt reabsorptive capacity may be impaired in neonates. The increase in bile salt reabsorption occurs earlier in precocious animals, such as guinea pigs, than in altricial animals, such as rats; this is likely related to the increased expression of the active ASBT in the ileal mucosal epithelium (Little and Lester, 1980).

Limited data on gallbladder bile or bile-rich duodenal fluid are available for human infants. Some evidence suggests that lower biliary secretions, resulting in lower luminal concentrations of biliary salts, are a limiting factor in the fat absorption by neonates, particularly preterm neonates (Lindquist and Hernell, 2010). Interestingly, human milk and colostrum contain bile salts, predominately cholate and chenodeoxycholate (Forsyth et al., 1983, 1990), and also a bile salt-stimulated lipase (Freed et al., 1987), so breastfeeding may enhance fat absorption by supplementing the bile salts and lipid digestion in the neonatal intestine.

**Role of pH on Absorption**

**Gastric Acidity.** Human neonatal gastric pH has been studied extensively over the last 50 years. However, the reported values can vary, and in some cases the conditions of sampling are not reported so that it is impossible to identify whether the pH represents fasted or nonfasted conditions. This is an important consideration because pH can change depending on the presence of food and may modulate the absorption of some drugs. We sought to report only data from studies that clearly identified the conditions of sampling in an effort to reduce confusion on this topic.

In human neonates, regardless of gestational age at birth (preterm or term), gastric pH is elevated at birth (around pH 7.05) because of fetal ingestion of amniotic fluid, which has a neutral pH (Avery et al., 1966; Miclat et al., 1978; Mooij et al., 2012). However, within a few hours after birth stomach pH drops steadily (Griswold and Shohl, 1925). Even in premature neonates, by 1 week after birth, an average fasting gastric pH of 3–3.5 was observed (Armand et al., 1996) (Table 1).

Since infants are often in the postprandial state, it is important to consider stomach pH in both fasting and postprandial conditions. It appears that during the fasting state the infant stomach acidity is similar to that in adults and older children after the first 24 hours after birth. The combined available clinical studies support a trend of low pH (2.0–3.0) before a meal, increasing to 6.0–6.5 immediately after feeding, and remaining elevated (above pH 5.0) for at least 50 minutes but returning to low pH within 180 minutes (Bourlieu et al., 2014; Yu et al., 2014). Substantial interindividual variability can be observed in these parameters, as reflected in the ranges of values in Table 1 and references therein.

Humans, rabbits, pigs, and sheep all initiate gastric acid secretion during fetal development, whereas rats, mice, and dogs do not develop acid secretion until after birth (Deren, 1971). Importantly, in rats and mice, hydrochloric acid secretion does not fully develop until the rapid growth of the gastric mucosa around weaning (Waltlall et al., 2005; Picut and Coleman, 2016). Thus, the gastric pH of postnatal rodents may be higher than that observed in neonatal humans (Table 2).

**Intestinal Acidity.** A single literature report was identified describing the intraluminal pH of the intestine in neonates (Barbero et al., 1952). However, this early report comprised data from only 15 infants (7 fed human milk and 8 fed infant formula) aged 2 weeks to 3 months after birth. Further, no information was provided regarding the maturity of the infants at birth (e.g., term or preterm). The limited data indicate that the infants, regardless of food type, expressed an intraluminal duodenal pH ranging from 5.8 to 7.0 (Table 1).

Limited information was identified regarding the intestinal luminal pH in neonatal animals. In rats aged PND 9–18, a pH gradient was observed ranging from pH 6.2 in the duodenum to 6.9 in the ileum, and did not appear to exhibit age-dependent changes during this time (Rodewald, 1976). In Göttingen minipigs aged PND 1–28, a similar trend was observed, with increased pH across the proximal-distal gradient of the small intestine (ranging from around 6.0 to approximately 7.0); however, this gradient was not readily apparent until PND 7 and later (Van Peer et al., 2016) (Table 2).

**Gastrointestinal Transit**

**Gastric Emptying Time.** Gastric emptying time can be affected by the type of enteral food. The gastric emptying time [i.e., half-time (t½)] for infant formula has been reported to be about twice as long as for...
### TABLE 1

<table>
<thead>
<tr>
<th>pH</th>
<th>Stomach</th>
<th>Small intestine</th>
<th>Large intestine</th>
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<tbody>
<tr>
<td><strong>Fasted</strong></td>
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<tr>
<td>pH</td>
<td>2.0–6.1 (Mason, 1962; Fredrikzon and Hernell, 1977)</td>
<td>4.5–8.0 (Mason, 1962; Fredrikzon and Hernell, 1977)</td>
<td>7.0–7.5 (duodenum, fed) (Barbero et al., 1952)</td>
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<tr>
<td><strong>Fed</strong></td>
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<tr>
<td>pH</td>
<td>4.5–7.5 (Mason, 1962; Fredrikzon and Hernell, 1977)</td>
<td>4.5–8.5 (Mason, 1962; Fredrikzon and Hernell, 1977)</td>
<td>5.8–7.0 (duodenal fluid; Dressman et al., 1990)</td>
</tr>
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**Stomach Emptying Time (t1/2)**

- **Water or aqueous:**
  - Adult Human: 70–14 min (Cavell, 1981)

- **OCT (Octanoic breath test)**
  - Human milk: 1.2–2.0 h (Vergobbi et al., 1986)
  - Adult Human: 3.5 h (Cavell, 1979)

**Intestinal Transit Time (SITT)**

- Human milk: 4 h
- Adult Human: ND

**Duodenal Activity**

- Human milk: 40–60 min (Manger et al., 1979)
- Adult Human: 70–110 min (Manc, 1981)

**OCT (Octanoic breath test)**

- Human milk: 1.2–2.0 h (Vergobbi et al., 1986)
- Adult Human: 3.5 h (Cavell, 1979)

**ND, no data.**

**Estimated from graphical representation of means; range not reported.**

**Fasted conditions.**

**Mammalian species.**

**Reference value reported in ICRP (2002).**

**Overall, delayed gastric emptying of calorie-containing fluids is observed in neonates relative to adults, and preterm infants may exhibit prolonged emptying times compared with term neonates, although the reported data are highly variable (Bode et al., 2004; Edginton, 2010; Yu et al., 2014) (Table 1).** Water is rapidly emptied, but the emptying time for drugs in neonates is expected to approximate that of milk or formula (Edginton, 2010; Yu et al., 2014). Gastric emptying is a complex process coordinated by antroduodenal contractions, fundic contractions, pyloric sphincter relaxation, and intestinal motor activity (Mooij et al., 2012). Antral motor activity under fasting or feeding conditions did not differ between preterm or term neonates (Berseth, 1992). However, there were significant differences in the proportion of antral clusters associated with duodenal activity, the degree of association of antral and duodenal activity, the frequency of duodenal contractions, the number of duodenal contractions per pulse, and the intraluminal peak pressure of duodenal motility in preterm neonates compared with term neonates (Berseth, 1989, 1990; Mooij et al., 2012). Together, this suggests that proximal duodenal motor activity undergoes postnatal maturation in preterm neonates, whereas antral motor activity appears similar to term infants from GW 29 onward (Mooij et al., 2012).

Interestingly, a large meta-analysis (Bonner et al., 2015) of 66 publications reporting gastric-emptying rates for a range of pediatric ages and variety of food types (e.g., aqueous, liquid, semi-solid, solid) found no evidence of an effect of postnatal age or gestational age on mean gastric-emptying time. This study provides data contrasting with data from older studies that reported slower gastric emptying in neonates relative to older children or adults (described above). It is possible that different analytical methods (e.g., scintigraphic, 13C-octanoic breath test, ultrasonographic methods), positioning (prone vs. supine), and feeding administration (oral vs. intubation) may affect gastric-emptying rates and measurements, leading to some variability in reported values, as has been reviewed (Edginton, 2010).

There is relatively little available information on the gastric-emptying rates of maternal milk or Colostrum compared with infant formulas evaluated in nonclinical species. The available data suggest that, similar to human neonates, rat and pig neonates show slower gastric emptying rates for formula preparations than for maternal milk or Colostrum (Table 2).

**Intestinal Transit Time.** There are a variety of tests available to measure gastrointestinal and colonic motility in humans, including spectroscopy, ultrasonography, stable isotope tests, and motility capsules (Camilleri and Linden, 2016); however, not all of these techniques are used in pediatric settings. These tests may provide estimates of oral-rectal transit time (OCT) (e.g., breath tests) or transit time in specific intestinal segments [e.g., small intestinal transit time (SITT) via capsule radiotransmission]. Fewer techniques have been validated in animals, but they include stable isotope breath tests, motility capsule tests, scintigraphy, head expusion, and colonic manometry (Camilleri and Linden, 2016). Larger adult animals, such as pigs, dogs, and rabbits, have been studied rather thoroughly, whereas human milk in term infants (78 vs. 48 minutes, respectively) (Cavell, 1979, 1981, 1982) (Table 1). Additionally, some evidence suggests that the emptying pattern for human milk is biphasic, with an initial fast phase, whereas with infant formula the emptying pattern is more linear (Cavell, 1979). Some dietary factors that influence gastric emptying have been identified, such as increasing caloric density (slows emptying), protein type (casein causes slower emptying than whey; extensively hydrolyzed results in faster emptying than nonhydrolyzed or partially hydrolyzed protein), type of fat (medium chain triglycerides were emptied faster than long chain) (Bourlieu et al., 2014). Some factors that did not show an effect on gastric emptying included fortification of milk and temperature differences in the milk (Bourlieu et al., 2014).

Overall, delayed gastric emptying of calorie-containing fluids is observed in neonates relative to adults, and preterm infants may exhibit prolonged emptying times compared with term neonates, although the reported data are highly variable (Bode et al., 2004; Edginton, 2010; Yu et al., 2014) (Table 1). Water is rapidly emptied, but the emptying time for drugs in neonates is expected to approximate that of milk or formula (Edginton, 2010; Yu et al., 2014). Gastric emptying is a complex process coordinated by antroduodenal contractions, fundic contractions, pyloric sphincter relaxation, and intestinal motor activity (Mooij et al., 2012). Antral motor activity under fasting or feeding conditions did not differ between preterm or term neonates (Berseth, 1992). However, there were significant differences in the proportion of antral clusters associated with duodenal activity, the degree of association of antral and duodenal activity, the frequency of duodenal contractions, the number of duodenal contractions per pulse, and the intraluminal peak pressure of duodenal motility in preterm neonates compared with term neonates (Berseth, 1989, 1990; Mooij et al., 2012). Together, this suggests that proximal duodenal motor activity undergoes postnatal maturation in preterm neonates, whereas antral motor activity appears similar to term infants from GW 29 onward (Mooij et al., 2012).

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There is relatively little available information on the gastric-emptying rates of maternal milk or Colostrum compared with infant formulas evaluated in nonclinical species. The available data suggest that, similar to human neonates, rat and pig neonates show slower gastric emptying rates for formula preparations than for maternal milk or Colostrum (Table 2).
TABLE 2
Gastrointestinal pH, emptying, transit time, and length in human term neonate compared with juvenile rat and pig

Data are representative of original study reports. Because of variability in study populations, measurement techniques, and reporting, ranges of observed values or ranges of means are provided. Healthy Term Neonate data replicated from Table 1.

<table>
<thead>
<tr>
<th>pH</th>
<th>Human Term Neonate (GW 37+)</th>
<th>Juvenile Rat (Preweaning)</th>
<th>Juvenile Pig (Preweaning)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Stomach</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fasted</td>
<td>2.0-6.1 (Mason, 1962; Fredrikzon and Hernell, 1977)</td>
<td>PND &lt;15: 6.5&quot;</td>
<td>Göttingen minipig PND 1–7: 4.3–5.3 (Van Peer et al., 2016)</td>
</tr>
<tr>
<td>Small intestine</td>
<td>5.8–7.0 (duodenum, fed) (Barbero et al., 1952)</td>
<td>PND 9–18: 6.2&quot; (duodenum), 6.3&quot; (jejunum), 6.9 (ileum) (Rodewald, 1976)</td>
<td>Göttingen minipig PND 1–7: 5.8–6.5&quot; (duodenum), 6.0-6.5&quot; (jejunum), 6.0-7.3&quot; (ileum) (Van Peer et al., 2016)</td>
</tr>
<tr>
<td><strong>Gastric emptying (t1/2)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Water or aqueous</td>
<td>6.9 min (Lange et al., 1997)</td>
<td>PND 1–2: ~50 min (Heller, 1963)</td>
<td>NA</td>
</tr>
<tr>
<td>Maternal milk or colostruma</td>
<td>48 ± 15 min (Cavell, 1981)</td>
<td>PND 10: 55 min (Tooley et al., 2009)</td>
<td>Japanese Landrace X Danish Yorkshire X Duroc PND 0: 20 min (Amdi et al., 2016)</td>
</tr>
<tr>
<td>Milk formula</td>
<td>78 ± 14 min (Cavell, 1981)</td>
<td>PND 10: 75 min (Tooley et al., 2009)</td>
<td>White X Landrace PND 26: 90–101 min (Moughan et al., 1991)</td>
</tr>
<tr>
<td><strong>Intestinal transit time</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SITT</td>
<td>4 h8</td>
<td>ND</td>
<td>ND</td>
</tr>
<tr>
<td>OCT</td>
<td>1.2–2.0 h (Vreugdenhil et al., 1986)</td>
<td>ND</td>
<td>ND</td>
</tr>
<tr>
<td>Intestinal length</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Small intestine</td>
<td>143–157 cm (Struijs et al., 2009)</td>
<td>PND 14–15: 39&quot;</td>
<td>Landrace PND 0: 334&quot;</td>
</tr>
<tr>
<td>Large intestine (cecum plus colon, unless where indicated)</td>
<td>33–40 cm (Struijs et al., 2009)</td>
<td>PND 14–15: 4.9 ± 0.2 cm</td>
<td>PND 0: 72.6&quot;</td>
</tr>
<tr>
<td></td>
<td></td>
<td>PND 21–22: 7.5 ± 0.2 cm (Ghishan et al., 1980)</td>
<td>PND 3: 87.5 (Wang and Xu, 1996)</td>
</tr>
</tbody>
</table>

ND: No data.
*aReported means.
*bMedian.
'Estimated from graphical representation of means; range not reported.
"Species specific.
'Reported mean and error (Cavell, 1983).
"Species specific.
*Simulated human infant formulae (cow’s milk, hydrolyzed cow’s milk, and soy based).
"Reference value reported in ICRP (2002).
'Colon length only.
there have been more limited techniques or methodology validated for smaller animals, such as rodents (Camilleri and Linden, 2016). Overall, research in this area is unique in that gastrointestinal transit has been better studied in humans, and with a greater variety of validated test methods, than in nonclinical animal models.

Comparison of available OCT and SITT measurements in pediatric populations ranging from preterm infants born at GW 26 through children 8–14 years of age is complicated by differences in methodologies (Edginton, 2010). A meta-analysis (Maharaj and Edginton, 2016) of more than 40 studies examining OCT or SITT in humans free of intestinal pathology at 6 PNDs of age to 67 years of age did not observe an association of age with either metric after incorporating measures to address differences in measurement techniques. Therefore, the most recent assessment concluded that there was no evidence to suggest that the mean intestinal transit differs between healthy children (including neonates) and adults.

Preterm infant intestinal transit times are generally considered to be four times longer than adult transit times, whereas intestinal transit time in term infants approximates the adult transit time (Bourlieu et al., 2014). Only a single study was identified in the literature that provided an estimate of intestinal transit time in preterm infants (Bode et al., 2004). In this study, 10 preterm infants (GWs 26–33; PND 6–37) were imaged using scintigraphic methods (technetium99m-dithylenetriaminepentaacetic acid) to provide an estimate of OCT. Substantial interpatient variability was observed, with a median OCT of 3.1 hours and a range of 1.3–6.1 hours. There are physiologic aspects of prematurity that may result in prolonged intestinal transit time. As discussed in Gastric Emptying Time, proximal duodenal motor activity undergoes postnatal maturation in preterm human neonates, whereas antral motor activity appears similar to that in term infants from GW 29 onward (Mooij et al., 2012). Preterm infants exhibit immature intestinal motility and undergo maturational changes involving the enteric motor system during postnatal development (Berseth, 1989). Postprandial motility is absent at GW 31 but appears before GW 35, and enteral feeding of preterm neonates as early as GW 27 can stimulate postprandial motor activity (Berseth, 1989, 1990). One complication in assessing GIT motility in premature infants is the relatively common use of opiates, which also have a direct effect on GI motility, in these patients, especially if they need respiratory support.

We did not identify any published reports that provided quantitative data on the intestinal transit time in neonatal animals. Effort appears to be underway to validate methods and techniques for studying intestinal transit time in animals (Camilleri and Linden, 2016). For example, the use of wireless motility capsules to measure whole gut transit has been validated using dogs and has been studied in large species (e.g., dogs, pigs) (Kvetina et al., 2008; Boillat et al., 2010a,b); however, neonatal data were not available. Additionally, bead expulsion techniques have been used to study colonic motility in mice (Koslo et al., 1986), scintigraphy and manometry have been used to study colonic motility in rats (Spiessens et al., 1988), and fluoroscopic assessment of colonic motility has been conducted in pigs (Hipper and Ehrlein, 2001). Again, neonatal data were not available for these methods in these species. We anticipate that as method development progresses for adult animals, these techniques may ultimately be adapted for use in some neonatal animals. However, physiologic differences between species, including maternal stimulation of elimination in neonates of altricial species, may complicate interpretation.

**Intestinal Transporters**

There is significant interest in the ontogeny of intestinal transporters, particularly those related to the uptake or efflux of drugs (Mooij et al., 2012, 2014; Brouwer et al., 2015). However, in the course of writing this review, it became clear that the published literature does not readily facilitate an understanding of the general ontogeny of specific transporters in the human GIT. Manuscripts that report data from neonatal human tissues often vary in how they report the age of the human subjects (e.g., postmenstrual age, gestational age, postnatal age). Furthermore, data obtained from preterm human infants may use other criteria to characterize the sample population, such as birth weight, without also providing a description of gestational or postnatal age. This limited our ability to integrate findings from multiple sources.

In addition to issues with comparing samples across human infant ages, and term versus preterm infant populations, the scientific literature uses a variety of methodology to report transporter activity or expression levels. For example, absorption rates may be obtained using radiotracer methodology in vivo. Alternatively, measurements of expression may be obtained from the levels of mRNA (e.g., reverse-transcription polymerase chain reaction) or protein (e.g., immunohistochemistry or Western blotting). Often the expression level (mRNA or protein) may be reported for an age or age range in infants, but corresponding data in older children or adults were absent.

Finally, receptor ontology studies in laboratory models exhibited similar discrepancies and variations. Often only mRNA or protein (not both) was measured as an indication of expression level, and often these data were provided in the absence of activity (in vivo or in vitro measurements). Frequently, only a single postnatal age or age range was studied, and comparison with older animals was not performed. Additionally, in some cases the RNA or protein sampling methodology was fully described, including whether samples were derived from whole tissue or limited to the epithelium. In other cases, this level of detail was not provided. Sampling from whole tissue may be misleading when the target RNA or protein is restricted to the epithelium.

Ideally, there would be data in laboratory animals as well as humans on the expression levels (RNA and protein) as well as activity levels (in vivo or in vitro activity assays) to allow a comparison of the ontogeny of GIT functionality in humans and common laboratory animals. This would allow better understanding of the gastrointestinal uptake of any substance that may be carried by that transporter.

Because of all these considerations, we did not find many cases where there was adequate transporter information for neonates that could be used to inform ontogeny in humans and across species used in nonclinical assessment. A few recent publications have summarized the human ontogeny of drug transporters in the fetus (Fakhoury et al., 2009) and the neonate (Mooij et al., 2014, 2016; Brouwer et al., 2015). Of transporters with affinity for drugs, only peptide transporter 1 (PEPT1) was sufficiently studied in animals to inform cross-species comparisons (Table 3). Interestingly, the activity of nutrient-related transporters in the GIT appears to be better characterized than transporters with affinity for drugs, such as those in the organic anion transporting peptide, organic cation transporters (OCTs), and multidrug resistance-associated protein (MRP) subfamilies. Of the ATP-binding cassette transporter family, the expression of MRP1 and breast cancer resistance protein is relatively stable between infants and adults (Konieczna et al., 2011; Mooij et al., 2014). In contrast, but similar to data for PEPT1, both MRP2 and the OATP2B1 (organic anion transporting peptide 2B1) are higher in neonates than in adults (Mooij et al., 2014). There is also an isolated report of low expression of MRP3 RNA in neonatal rabbits, which increases after weaning (Weihrach et al., 2006).

In contrast to the sparse data for intestinal drug transporters, there is a robust understanding of the ontogeny of sugar, amino acid, vitamin, and inorganic phosphate transport for neonatal humans and animals, largely driven by the needs of clinical nutrition to optimize infant feeding formulations and strategies, as reviewed previously (Buddington, 1992, 1994; Pácha, 2000; Boudry et al., 2010; Drozdowski et al., 2010; Poquet and Wooster, 2016). In addition, the development of specific bile
Physiology of the Neonatal Gastrointestinal System

Ontogeny for absorption of amino acids, dipeptides, and tripeptides

<table>
<thead>
<tr>
<th>Species</th>
<th>Transporters</th>
<th>Ontogeny of Oral Absorption</th>
<th>Sampled GIT Tissue</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Human</td>
<td>PEPT1</td>
<td>PEPT1 mRNA levels marginally lower compared with older children (0.8 x) PEPT1 protein and mRNA increase to elevated levels in immediate postnatal period then decrease to adult level</td>
<td>SI and colon</td>
<td>Mooij et al. (2016)</td>
</tr>
<tr>
<td>Rat</td>
<td>PEPT1</td>
<td>SI</td>
<td>SI and colon</td>
<td>Miyamoto et al. (1996); Shen et al. (2001); Hussain et al. (2002)</td>
</tr>
<tr>
<td>Dog</td>
<td>No transporter studies; amino acid absorption studies are available</td>
<td>AA absorption is high at birth and decreases with PN age</td>
<td>SI and colon</td>
<td>Buddington and Malo (2003)</td>
</tr>
<tr>
<td>Pig (Yucatan, Tibetan, LandraceXYorkshire; Huangjiang)</td>
<td>PEPT1; EAAC1</td>
<td>AA absorption, mRNA, and protein levels appear prenatally and peak in PN period, then decline to adult levels</td>
<td>SI and colon</td>
<td>Buddington and Malo (1996); Zhang et al. (1997); Buddington et al. (2001); Fu et al. (2013); Nosworthy et al. (2013); Wang et al. (2013)</td>
</tr>
</tbody>
</table>

AA, amino acid; EAAC1, excitatory amino acid carrier 1; PN, postnatal; SI, small intestine.

transporters, such as the apical sodium bile transporter in the ileum, is well described for humans and rats (Little and Lester, 1980; Staggers et al., 1982; Karpen and Karpen, 2017).

Gastrointestinal Metabolism

Metabolism in the intestinal tract refers to processes of breaking down ingested macromolecules (food) for effective absorption and utilization of macronutrients and micronutrients. This primary function of the GIT undergoes substantial postnatal development during the neonatal period, extending through the process of weaning. As detailed in the absorption section above, the maturation of these systems is typically driven by the diet and is initially suitable for milk in neonatal mammals. However, there are important differences in neonatal metabolic capacity between altricial neonates, such as mouse, rat, and dog pups, compared with human neonates. In specific reference to orally administered drugs, metabolism typically refers to the processes of biotransformation of drugs to facilitate excretion. This occurs via oxidative biotransformation and conjugation reactions to produce a more hydrophilic metabolite of the parent molecule or drug, which then often has decreased pharmacologic activity; sometimes the metabolite is more active or has a different activity, than the parent molecule. Chemically complex drugs may generate a number of metabolites through parallel or serial processes. In mammals, the liver is typically the primary site of such reactions (Kaasen and Aleksunes, 2010; Hines, 2013; Smits et al., 2013), but some biotransformation also occurs within the GIT. In addition to direct effects on biotransformation processes, orally administered drugs may impact pathways important to nutrient metabolism. Therefore, it is important to consider the normal neonatal physiology and the dietary requirements for both human neonates and species used for testing.

Biotransformation and Conjugation

Ontogeny of Cytochrome P450 Enzymes in Human GIT. Most reviews of cytochrome P450 (P450) enzyme ontogeny have focused on the liver. However, some intestinal biotransformation also occurs, with most of the information in the literature focusing on the CYP3A subfamily. Expression levels (mRNA and/or protein) and the activities of CYP3A subfamily enzymes in the GIT of fetuses, neonates, infants, and/or young children have been reported, and, in some cases, these levels were compared with adult levels. When the comparison is made across different data sources, caution should be exercised since organ procurement (e.g., “small intestine” or “duodenum”), the sample preparation (e.g., microsome preparation, membrane preparation, or homogenate), and the normalization method used to calculate relevant mRNA or protein expression levels can often vary. In this review, summarized in Table 4, we will simply discuss the presence or absence of enzymes and the relative levels (e.g., high or low) of expression compared with those in older children or adults or other enzymes at specific ranges of developmental or postnatal age. It should be also noted that for some drug-metabolizing enzymes, mRNA may be a reliable surrogate for protein expression levels, but mRNA expression levels may not necessarily correlate with protein expression levels. Also, many of the antibodies used to detect protein (e.g., via Western blot or immunoblotting) in older studies can be unreliable and have been shown to detect numerous P450 isoforms.

In human fetuses, CYP3A4 and CYP3A7 mRNA expression levels are detectable in intestinal tissues as early as the first trimester (Miki et al., 2005; Betts et al., 2015). It is well known that CYP3A7 mRNA expression is significantly higher than CYP3A4 expression in fetal liver. In contrast, in fetal small intestine, CYP3A4 and CYP3A7 mRNA levels were comparable (Miki et al., 2005; Betts et al., 2015). CYP3A5 mRNA expression was also present in fetal duodenum samples and increased from the first trimester until birth (Fakhoury et al., 2009). In infants, including neonates, CYP3A4 and CYP3A5 mRNA expression levels were similar but much higher than those of CYP3A7 in duodenum (Fakhoury et al., 2005). CYP3A4 and CYP3A5 mRNA expression levels were relatively high in the first year of life compared with those in older children (>6 years old) or adults (>18 years old) but decreased with age to reach levels similar to those observed in adults (Fakhoury et al., 2005; Chen et al., 2015).

The ontogeny of CYP3A protein expression in intestinal tissues does not necessarily correlate with the mRNA expression pattern of CYP3A enzymes in fetuses and infants. For example, Chen et al. (2015) indicated a potential increase in translational efficiency in CYP3A4 mRNA with age. The ontogeny CYP3A protein expression has been described using Western blot and immunohistochemistry (Johnson et al., 2001; Fakhoury et al., 2005; Chen et al., 2015). CYP3A4 protein was practically absent in fetal duodenum (mean GW, 13 weeks; GW range, 9–15) (Johnson et al., 2001), and it increased during the first 3 months after birth (Johnson et al., 2001; Fakhoury et al., 2005; Chen et al., 2015). There are inconsistent results reported for duodenum CYP3A4 content (S9 fraction) in infants at ≥3 months postnatal age. Johnson et al. (2001) demonstrated that CYP3A4 protein expression in infants (≥3 months postnatal age) already achieved the range of that observed in older children (>12 years old). However, Chen et al. (2015) reported increases in age in CYP3A4 protein up to approximately 11 months postnatal age.
Ontogeny of CYP3A, CYP2C, and CYP2J subfamilies in human GIT

### Expression/Activity

<table>
<thead>
<tr>
<th>Enzymes</th>
<th>Notes</th>
<th>Pediatric Population</th>
<th>Neonates</th>
<th>Fetus</th>
</tr>
</thead>
<tbody>
<tr>
<td>CYP3A5</td>
<td>mRNA [Chen et al., 2015] &amp; [Betts et al., 2015]: Higher expression levels in fetus compared with adults</td>
<td>+ (Chen et al., 2015)</td>
<td>NA</td>
<td>NA</td>
</tr>
<tr>
<td>CYP3A7</td>
<td>mRNA [Betts et al., 2015]: Lower expression levels compared with CYP3A4 and CYP3A5</td>
<td>+ (Betts et al., 2015)</td>
<td>+ (Chen et al., 2015)</td>
<td>NA</td>
</tr>
<tr>
<td>CYP2C8</td>
<td>Protein [Chen et al., 2015]: Increase with gestational age</td>
<td>+ (Chen et al., 2015)</td>
<td>+ (Chen et al., 2015)</td>
<td>NA</td>
</tr>
<tr>
<td>CYP2C9</td>
<td>Activity [Chen et al., 2015]: Increase with age to reach lower levels in older children</td>
<td>+ (Chen et al., 2015)</td>
<td>+ (Chen et al., 2015)</td>
<td>NA</td>
</tr>
<tr>
<td>CYP2C19</td>
<td>Protein [Chen et al., 2015]: Increase with gestational age</td>
<td>+ (Chen et al., 2015)</td>
<td>+ (Chen et al., 2015)</td>
<td>NA</td>
</tr>
</tbody>
</table>

### Notes

- NA, not assessed
- +, present

**Differences of CYP3A, CYP2C, and CYP2D in isoforms, expression, substrate selectivity, and catalytic activity across species were nicely summarized previously (Martignoni et al., 2006; Komura and Iwaki, 2011; Emoto et al., 2013).**

**Differences**

- **CYP3A:** mRNA and protein expression levels and catalytic activity of intestinal CYP2C and CYP2J enzymes for neonates have not been specifically evaluated.
- **CYP2C:** mRNA and protein expression levels in enterocytes were already observed at GW 7. CYP2C8 and CYP2C9 protein reached levels comparable to adult expression levels at GWs 16 and 14, respectively. Adult levels of CYP2C9 protein were already observed at GW 7, although they were lower than those of CYP2C8 and CYP2C9 during fetal development. The protein expression of CYP2J2 in embryonic and fetal intestine was higher than in adult intestine and remained unchanged during all tested prenatal periods.

**Ontogeny of P450 Enzymes in Animals**

Although some P450 enzymes possess relatively high-sequence homology across species, the profile of P450 enzyme expression can be quite different in animals compared with humans. As has been reviewed, none of the nonclinical species, including monkeys, are completely similar to humans with respect to P450 enzyme activities, substrate specificity, or inhibitor selectivity (Martignoni et al., 2006; Komura and Iwaki, 2011; Emoto et al., 2013).

**Differences**

- **CYP3A:** mRNA and protein expression levels in enterocytes were already observed at GW 7. CYP2C8 and CYP2C9 protein reached levels comparable to adult expression levels at GWs 16 and 14, respectively. Adult levels of CYP2C9 protein were already observed at GW 7, although they were lower than those of CYP2C8 and CYP2C9 during fetal development. The protein expression of CYP2J2 in embryonic and fetal intestine was higher than in adult intestine and remained unchanged during all tested prenatal periods.

**Ontogeny of Intestinal P450 Enzymes in Humans**

Similar to protein expression, CYP3A4 activity, determined by duodenum S9 testosterone 6β-hydroxylation activity, also showed an increase with age (Johnson et al., 2001). The activity was undetectable in fetal samples (GW mean, 13; GW range, 9–15). Although it was detectable during the first 3 months after birth, the activity level was significantly lower compared with older children (> 12 years old).

It should be noted that underlying disease and/or conditions may affect intestinal CYP3A4 protein expression and activity in the pediatric population. Pediatric populations with active (untreated) celiac disease showed markedly decreased CYP3A4 protein expression and activity (Johnson et al., 2001). They returned to normal ranges after treatment with a gluten-free diet. In contrast, pediatric patients with cystic fibrosis exhibited no significant differences in duodenal CYP3A protein expression or activities compared with control subjects without disease.

In summary, human fetal protein expression as well as metabolic activity of CYP3A is undetectable, although mRNA is present in intestinal tissues of fetuses as early as the first trimester. Postnatally, CYP3A4 activity is low in neonates but increases with age (Table 4). The specific timing of CYP3A metabolic maturity is not fully understood. As noted above, underlying disease and/or conditions that can affect intestinal CYP3A enzyme expression and activity should also be considered in understanding drug disposition.

There is only limited information about the ontogeny of the CYP2C and CYP2J epoxygenase subfamilies in the human GIT. The expression pattern of CYP2C8, CYP2C9, CYP2C19, and CYP2J2 in human embryonic/fetal intestines at GWs 7–20 has been studied by immunohistochemistry (Cizkova et al., 2014). Cytoplasmic staining of these enzymes in enterocytes was detected as early as GW 7. CYP2C8 and CYP2C9 protein reached levels comparable to adult expression levels at GWs 16 and 14, respectively. Adult levels of CYP2C19 protein were already observed at GW 7, although they were lower than those of CYP2C8 and CYP2C9 during fetal development. The protein expression of CYP2J2 in embryonic and fetal intestine was higher than in adult intestine and remained unchanged during all tested prenatal periods.
species remains to be established. (Chen et al., 2015). Ontogenic expression of intestinal CES2 in preclinical
163
\(1\) either CES1 or CES2.

of humans, rodents, and monkeys, the dog intestine does not contain
small intestine, CES1 is expressed in addition to CES2. Contrary to those
species (Hosokawa, 2008); rodents (mouse, rat, and hamster, but not
guinea pig) show a pattern consistent with that of humans. In monkey
studies where CYP3A2 was not detected or was only weakly detected
(Patel et al., 1998; Matsubara et al., 2004; Aiba et al., 2005).

A recent study (Hersman and Bumpus, 2014) reported mouse intestinal
P450 enzyme protein expression at approximately 1, 2.5, and 8–10 months
of age using a mass spectrometry–based proteomics approach. CYP2C29,
CYP3A25, and CYP4A12 were detected at all ages at relatively constant
levels. CYP4B1 was detected at low levels at up to 2.5 months of age but
was not detected at 8–10 months of age. CYP3A13 was detected at
1 month of age but not at older ages, except in males at 2.5 months of age.
CYP2C37 was detected in females at 1 month of age and in males
at 2.5 months of age. Additionally, CYP3A5 expression was identified
by immunoblotting in mouse small intestine even at 17 days after birth
(Zhu et al., 2014).

The presence of CYP3A in the small intestine of fetal, neonatal, juvenile,
and adult Göttingen minipigs was investigated by immunohis-
tochemistry (Van Peer et al., 2014). In contrast to human fetal duodenum
(Johnson et al., 2001), CYP3A protein was detected at low levels in the
villous enterocytes from 86 days of gestation onward in minipig. Low
levels of CYP3A expression were observed after birth, but it was
increased with age in small intestine during postnatal development,
similar to the postnatal expression pattern of CYP3A4 in human intestine
(Johnson et al., 2001; Fakhoury et al., 2005; Chen et al., 2015).
The majority of 28-day-old animals showed intense cytoplasmic staining,
but the staining was still less intense compared with adult minipigs. Ontogeny
of other intestinal P450 enzymes in minipig has not been extensively investigated.

In summary, intestinal P450 enzymes in mammals are detectable during
gestation and undergo at least some maturation postnatally with regard
to mRNA/protein expression levels and activity (Table 4). However,
it is difficult to extrapolate a clear pattern of ontogeny and translation
because of the sometimes conflicting and different types of data available.
There are also some enzymes, such as CYP2D6, which have not been
studied in human fetuses or neonates. Likewise, for most nonclinical
species only limited information has been generated.

### Intestinal Carboxylesterases

As has been reviewed for adults, among two major carboxylesterases (CESs; CES1 and CES2), the
human liver predominantly contains CES1 with smaller quantities of
CES2, whereas the small intestine contains CES2 with virtually no
CES1 (Laizure et al., 2013). Hosokawa (2008) nicely summarized
adult intestinal CES1 and CES2 mRNA expressions qualified in preclinical
species (Hosokawa, 2008); rodents (mouse, rat, and hamster, but not
guinea pig) show a pattern consistent with that of humans. In monkey
small intestine, CES1 is expressed in addition to CES2. Contrary to those
of humans, rodents, and monkeys, the dog intestine does not contain
either CES1 or CES2.

In humans, CES2 mRNA and protein exhibited a postnatal increase
(1–332 days of age), and CES2 mRNA expression levels in infants
163–332 days of age were comparable to those of adults (≥18 years old)
(Chen et al., 2015). Ontogenic expression of intestinal CES2 in preclinical
species remains to be established.

### Conjugation Reactions in the Neonate

As with P450 enzymes, there has been a focus on the liver for the development of metabolic
conjugation reactions, with more limited contributions by the GIT. There
have been some review articles describing expressions of glutathione
S-transferases, N-acetyltransferases, epoxide hydrolases, and sulfotrans-
ferases in GIT during human fetal development (McCarver and Hines,
2002; Blake et al., 2005; Coughtrie, 2015). However, it appears that
little is known about the timing when these enzymes reach adult
activity levels. Additionally, only limited information (e.g., ontogeny
of sulfotransferases in mouse intestine) (Alnouti and Klaassen, 2006)
is available for preclinical species.

In neonates, the conjugation of bilirubin by uridine diphosphate-
glucuronosyltransferase 1A1 (UGT1A1) is of particular importance,
as there is a risk of kernicterus when unconjugated bilirubin accumulates
in brain tissue. Neonatal jaundice is common in humans, but not in other
mammals studied, and has been linked to both genetic and environ-
mental risk factors. Genetic factors include loss of the gene, mutations
leading to loss of function of the UGT1A1 gene, as well as numerous
polymorphisms of the UGT1A1 gene (Fujiwara et al., 2015; Cashore,

Extrahepatic expression and activity of UGT1A1 in neonates have
been reviewed recently and highlight the importance of GI UGT1A1
activity in understanding the neonatal jaundice linked to breastfeed-
ing (Fujiwara et al., 2015). A humanized UGT1A mouse model has been
developed to further elucidate the role of GIT UGT1A1 in neonatal
humans (Fujiwara et al., 2012, 2015). Further understanding the
pathogenesis and drivers of neonatal jaundice in humans remains an
area of active study with the limited models available, but also highlights
a key difference between neonatal humans and other mammals. Because
of these special considerations regarding UGT1A1 activity and the
potential for neonatal jaundice, any new drug development for neonatal
use must take into account the potential adverse effects on bilirubin
metabolism.

### Ontogeny of Digestive Enzymes

Across species the ontogeny of digestive enzymes is highly dependent
on the nutrient source and metabolic needs of the species, as has already
been reviewed (Walthall et al., 2005; Drozdowski et al., 2010; Downes,
2018). Overall, digestive processes may be less likely to be directly
relevant to ADME processes of pharmaceuticals, but they are reviewed
at a high level, especially with regard to species differences. Species
differences for selected digestive enzymes are summarized by anatomic
region in Table 5 (orogastric), Table 6 (pancreatic), and Table 7 (intestine).

In rodents, there is high lipase activity in saliva at birth (lingual
lipase), whereas there are negligible contributions from gastric and
pancreatic lipase activity at birth (Henning, 1981; Liao et al., 1983;
DeNigris et al., 1988). In contrast, gastric lipase is the primary initial
contributor to milk lipid digestion at birth in most other species evaluated,
including human (Fredrickson and Hernell, 1977; DeNigris et al., 1988;
Iverson et al., 1991).

In the rat, most digestive enzyme activities (parotid amylase, gastric
pepsin, and pancreatic chymotrypsin, trypsin, and lipase) are
minimal through PND 14, then undergo a sharp increase around the
time of weaning (PND 21) (Henning, 1981; Johnson, 1985). This is
in contrast with the human, pig, and dog, which have more mature
gastric and pancreatic digestive function shortly after birth. The ontogeny
of digestive enzymes of the GIT is reviewed in Tables 5 and 6.

The expression and activity of most apical brush border hydrolases
and esterases are regulated by both developmental milestones and by
diet. For neonates, both the initiation of suckling and milk or formula
content contribute, and the enzymes reflect the nutrient content.
Intestinal hydrolase activity has been extensively studied in feeding

Ontogeny of oral cavity and gastric digestive enzymes

<table>
<thead>
<tr>
<th>Enzyme</th>
<th>Human</th>
<th>Rat</th>
<th>Pig</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lingual lipase</td>
<td>Detected by GW 26 and detected at birth with subsequent decline (Hamosh et al., 1981; Fredrikzon et al., 1982; Smith et al., 1986; Lee et al., 1993)</td>
<td>Primary source of lipase activity at birth; mouse is similar (Henning, 1981; DeNigris et al., 1988)</td>
<td>Not specifically described</td>
<td>For neonatal guinea pigs, rabbits and baboons, low or no activity detected (DeNigris et al., 1988)</td>
</tr>
<tr>
<td>Salivary amylase</td>
<td>Low levels present prenatally and at birth; adult levels achieved by 3 mo of age (Sevenhuyzen et al., 1984; Shibata et al., 2013; García-Blanco et al., 2016)</td>
<td>Not detected until second postnatal week, with substantial increase at weaning (Redman and Sreebny, 1971)</td>
<td>Not specifically described</td>
<td>Not specifically described for other species used for nonclinical drug development</td>
</tr>
<tr>
<td>Gastric lipase</td>
<td>Detected by GW 26 and primary source of lipase activity at birth for term and preterm infants; subsequent decline postnatally (Sarles et al., 1992; Ménard et al., 1995; Armand et al., 1996)</td>
<td>Negligible activity at birth for both rats and mice (DeNigris et al., 1988)</td>
<td>Highest in neonatal period then declines after weaning (Li et al., 2001)</td>
<td>Primary source of lipase activity in neonates of most nonrodent species (pig, dog, rabbit, guinea pig, baboon) (DeNigris et al., 1988; Iverson et al., 1991; Carrière et al., 1992)</td>
</tr>
<tr>
<td>Gastric pepsin</td>
<td>Present and active at birth; but lower expression and activity than adults; activity increases after initiation of oral feeding (Wagner, 1961; Agnud et al., 1969; DiPalma et al., 1991; Armand et al., 1996)</td>
<td>Not detected until second postnatal week, then activity increases through weaning (Deren et al., 1971; Furhata et al., 1972; Henning, 1981)</td>
<td>Low at birth but gradual increase after 1st week postnatal (Cranwell, 1985), and substantial increase at 3 wk (Cranwell, 1985; Smith, 1988)</td>
<td>In ferrets, low at birth with gradual rise during first 3 wk postnatal (Hamosh et al., 1998); in rabbits and dogs, low or no activity until ~3 wk (Buddington et al., 2003)</td>
</tr>
</tbody>
</table>

Ontogeny of pancreatic digestive enzymes

<table>
<thead>
<tr>
<th>Enzyme</th>
<th>Human</th>
<th>Rat</th>
<th>Pig</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pancreatic amylase</td>
<td>Negligible activity prenatally and at birth but detected by 1 mo postnatally and reaches adult levels by weaning (Lebenthal and Lee, 1980)</td>
<td>Present at birth for first feeding, but then steep decline (Robberecht et al., 1971; Muburu and Xu, 1998)</td>
<td>Rapid increase postnatally in pigs (Muburu and Xu, 1998)</td>
<td>In dogs, first detected after 3 wk, but relatively low through weaning (Buddington et al., 2003)</td>
</tr>
<tr>
<td>Pancreatic lipase</td>
<td>Negligible at birth (Lebenthal and Lee, 1980)</td>
<td>Present at birth for first feeding, but then steep decline until weaning (Robberecht et al., 1971; Muburu and Xu, 1998)</td>
<td>Present and active at birth in pigs with steep increase in activity at 3–4 wk; primary source of lipase activity (Pierzynowski et al., 1995; Jensen et al., 1997; Muburu and Xu, 1998; Li et al., 2001)</td>
<td>Not detected until 6 wk, but reaches adult levels by 9 wk postnatal (Buddington et al., 2003)</td>
</tr>
<tr>
<td>Carboxypeptidase B</td>
<td>Relatively low prenatally and at birth (Lebenthal and Lee, 1980)</td>
<td>Present and presumed active at birth for first feeding, but then steep decline until weaning (Robberecht et al., 1971; Muburu and Xu, 1998)</td>
<td>Not specifically reported</td>
<td>In dogs, relatively high activity during suckling (Buddington et al., 2003)</td>
</tr>
<tr>
<td>Trypsin</td>
<td>Present and active from birth (Lebenthal and Lee, 1980)</td>
<td>Present and presumed active at birth for first feeding, but then steep decline until weaning (Robberecht et al., 1971; Muburu and Xu, 1998)</td>
<td>Present and active at birth, with increased activity postnatally (Harada et al., 1988; Jensen et al., 1997; Muburu and Xu, 1998)</td>
<td>In dogs, relatively high activity during suckling (Buddington et al., 2003)</td>
</tr>
<tr>
<td>Chymotrypsin</td>
<td>Present and active from birth (Lebenthal and Lee, 1980)</td>
<td>Present at birth for first feeding, but then steep decline until weaning (Robberecht et al., 1971; Muburu and Xu, 1998)</td>
<td>Present and active at birth with increased activity postnatally (Harada et al., 1988; Jensen et al., 1997; Muburu and Xu, 1998)</td>
<td>Low at birth in dogs, with increase through 3 wk postnatal, and secondary increase after weaning (Buddington et al., 2003)</td>
</tr>
</tbody>
</table>
during the suckling period (Leeper and Henning, 1990). Across species, with introduction of solid foods and eventual weaning, the distribution and activity of intestinal enzymes largely mimic that of adults.

**Neonatal Microbiome**

There have been several recent publications that have explored the timing and impact of perinatal microbial colonization, including relationships to disease risk both in infancy and later in life (Arrieta et al., 2014; Sherman et al., 2015; Miller, 2016; Macpherson et al., 2017). With the advent of genomic, rather than culture-based, investigations, the dogma of a sterile uterine environment during pregnancy has been challenged. It is widely accepted that there is a placental microbiome, amniotic fluid is not always sterile, and that there are also differences in the initial infant microbiome between vaginal and cesarean section birth (Arrieta et al., 2014; Gritz and Bhandari, 2015a,b). The role of the microbiome can be especially critical for preterm neonates, as they are more prone to develop postnatal infections requiring antibiotic use, and also likely to acquire atypical, pathogenic, or inadequate intestinal flora in the perinatal period (Arrieta et al., 2014; Gritz and Bhandari, 2015a,b; DiBartolomeo and Claud, 2016; Vinturache et al., 2016; Stewart et al., 2017).

A recent review (Lim et al., 2016) demonstrates that, relative to adults, the neonatal microbiome and virome have relatively low diversity and stability, but high interindividual variability. Although the establishment of the gut microbiome is an important feature of postnatal development, it is likely that the establishment of a robust mixture of commensal organisms is more critical than colonization by any specific bacterial species. There is a complex relationship among the maternal, nutritional, and developmental events that surround the seeding of the neonatal microbiome (Miller, 2016; Galan-Vonarburg et al., 2017). These events have also been evaluated to some extent in neonates of nonclinical species, including the rat (Kennedy et al., 2016; Galan-Vonarburg et al., 2017), dog (Guard et al., 2017), pig (Saraf et al., 2017), and rhesus macaque (Ardeshr et al., 2014).

When considering the ADME characteristics of the neonatal gastrointestinal system, it is important to also consider the potential role of the neonatal microbiome. This is especially important given the relatively high risk of infection in neonates, the current use of peripartum antimicrobial agents in mothers and neonates, and the potential role of microbiota in the metabolism of both nutrients and medicines (Sherman et al., 2014; Kennedy et al., 2016; Macpherson et al., 2017; Nogacka et al., 2017).

### Excretion

The principal route of excretion via the GIT is directly through the feces, and requires adequate motility. In neonatal rats, maternal stimulation is needed for both urination and defecation (Henning, 1981). Many drivers similar to those discussed above for absorption also apply to excretion; that is, what is not absorbed is excreted in the feces. In addition, some drugs may be excreted in the feces via the bile after metabolic conjugation in the liver. Although bile conjugates are not readily resorbed, free bilirubin and bile salts may be passively absorbed in the small intestine, especially early in the postnatal period, in both altricial species, like the rat, and precocious species, like the guinea pig (Little and Lester, 1980; Heubi and Fondacaro, 1982). Active transport of bile salts in the ileum is contingent on the expression of the ASBT, which is active at birth in the guinea pig (Heubi and Fondacaro, 1982), but not until weaning in the rat and rabbit (Little and Lester, 1980; Barnard et al., 1985; Moyer et al., 1988; Schneider et al., 1997). A detailed review of enterohepatic bile circulation is beyond the scope of this review, but the development and activity of intestinal transporters in general, in addition to hepatic bile production and conjugation reactions, can contribute to drug and/or chemical disposition, as has been previously reviewed (Staggers et al., 1982; Pacha, 2000; Drozdowski et al., 2010; Brouwer et al., 2015; Karpen and Karpen, 2017). Thus, for lipophilic chemicals and drugs that are primarily excreted through the bile, the physiologic maturation of these pathways must be considered for both human neonates and species used for toxicity testing.

### Predicting Oral Absorption of Chemicals and Drugs

Predicting the rate and extent of oral absorption of drugs remains a challenge for adult laboratory animals and humans (Burton et al., 2002). The use of Caco-2 cells to characterize the intestinal permeability of drugs and nutrients has received much attention (Turco et al., 2011; Poquet and Wooster, 2016). This in vitro system has successes as well as shortcomings in predicting in vivo oral absorption rates (Larregieu and Benet, 2013). Caco-2 cells characterize the transcellular movement of lipophilic compounds, which diffuse across the mucus layer and enterocytes, and paracellular diffusion for low–molecular weight hydrophilic compounds, which cross intercellular tight junctions between the enterocytes. Paracellular transport is much less efficient than transcellular because of the limited surface area of intercellular tight junctions in vivo. Caco-2 cells also retain apical and basolateral transporters.
These transporters, in a complex interplay with both active and passive processes in the intestinal epithelium, act on hydrophilic compounds, resulting in a net flux of the majority of the drug back to the lumen of the GIT or into the portal blood supply.

Findings from the Caco-2 cells about intestinal permeability have been incorporated in advanced compartmental and transit (Gobert et al., 2016), or similar, algorithms, which are complex sets of equations describing key features of the GIT as a drug is emptied from the stomach (gastric emptying time) into the small intestine. Ultimately, the advanced compartmental and transit algorithms predict the concentration of drug that is transported across the intestinal epithelium by mechanisms previously described at a rate determined empirically by Caco-2 cells. Some of the quantitative parameters in these algorithms are intestinal transit time, pH gradient in the GIT to estimate dissolution rates, surface area, and intestinal permeability. More advanced computational features of the GIT may include metabolism in the mucosa and lumen and membrane transporters. Once the drug has crossed the intestinal enterocytes into the portal blood supply (or lymph system) other computational tools, such as compartmental or physiologic-based pharmacokinetic (PBPK) models, are used to describe the whole-body distribution of the drug.

These empirical and/or computational technologies, which were created to better understand and predict oral absorption, have been applied to adults, children, neonates (Parrott et al., 2011; Abdaljalil et al., 2014; Duan et al., 2017), and adult rats (Willmann et al., 2003; Pade et al., 2017). Models for oral drug absorption for neonates and infants represent an emerging field. Authors have reported a need to develop an in vitro dissolution test that reflects the pH of the gastric and intestinal fluids in the neonate (Villiger et al., 2016). Gastric emptying has been reported to be slower in neonates and infants than in adults, whereas for older children model predictions and observations were in adequate agreement without adjustment of gastric emptying (Khalil and Laer, 2014). There is a dearth of experimental data reported using immature Caco-2 cells, which represent immature stages of intestinal development. Ohrvik et al. (2013) reported on using immature Caco-2 cells to understand why newborns have a higher gastrointestinal uptake of cadmium than adults. They found upregulation of an efflux transporter MRP1 gene expression and increased activity of the protein MRP1, an efflux transporter. These results may explain why cadmium oral absorption is greater in the very young (Ohrvik et al., 2013). Several authors have used Caco-2 cells to study the translocation of fatty acids, which has been summarized by Poquet and Wooster (2016). However, the utility of Caco-2 cells or another cell line (IEC-6) to evaluate the transport of fatty acids in the enterocyte has been questioned (Poquet and Wooster, 2016, their Table 5).

For chemicals, PBPK models for nursing by newborn laboratory animals and humans have been constructed assuming that chemicals equilibrate with mammary gland milk and the chemical in milk either diffuses back into systemic maternal circulation or is ingested by the nursing infant or laboratory animal pup. Once ingested into the stomach, the oral bioavailability of the chemical may be assumed to be 100% or is adjusted to less than 100% based on experimental evidence. This approach has provided agreement between observations and predictions for laboratory animals (Corley et al., 2003; Clewell et al., 2008; Lin et al., 2013).

For direct oral dosing of rat pups, a few articles on PBPK modeling estimated oral absorption and expressed the rate of uptake as first-order rate constants. These estimates were based on fit to predict the systemic appearance of the administered chemical after bolus dosing. This is usually carried out using a compartment for the stomach (absorption and gastric emptying) and another compartment representing the small intestine (absorption, first-order rate constant, per hour). For bisphenol A, a well-metabolized chemical, the apparent PBPK model–fitted first-order oral uptake rate constant values decreased with pup age (PND 3, 10, and 21) into adulthood (Yang et al., 2013). This apparent decrease in oral uptake rate constant with age may simply reflect changes in the metabolic capacity of the GIT. In contrast, for ethanol, a nonlipophilic chemical with high water solubility, the apparent fitted oral uptake constant values remained the same until near weaning, at which time they increased slightly (Martin et al., 2015). This increase in first-order uptake rate constants near birth may be related to increases in paracellular transport in the intestinal epithelium. In another PBPK modeling study, a single oral absorption value was used to describe the kinetics of a very lipophilic chemical, deltamethrin, in rat pups of varying ages after oral bolus gavage (Tornero-Velez et al., 2010). However, the lymphatic system and fecal excretion may confound the evaluation for oral absorption of deltamethrin.

The successful application of neonatal physiologic parameters into PBPK models for orally administered drugs would be of great benefit, given the difficulty in studying neonatal pharmacokinetics empirically. A recent review (Johnson et al., 2018) describes the incorporation of age-specific considerations in the algorithms of the Simcyp, Ltd. (Sheffield, UK), Advanced Dissolution, Absorption and Metabolism model. Although this model has been applied only in the evaluation of paracetamol, theophylline, and ketoconazole kinetics in pediatrics, the expansion of such applications and continued validation will ultimately determine the relevance and breadth of utility for use in neonates.

Discussion

There is a need for the neonatology, nutrition, clinical pharmacology, toxicology, and PBPK modeling communities to combine their expertise to progress on research that will serve the newborn patient in the area of pharmacological management. In this review, the focus was on evaluating the ontogeny of the GIT with respect to factors that could impact ADME of drugs in neonates. Aspects of GIT development contributing to absorption in the neonatal period are consistently recognized as pharmacologically important in reviews of neonatal pharmacology. Predicting absorption, however, depends on other important and variable factors driving functional postnatal development of the GIT. This is highlighted by the literature surrounding the importance of the initiation of enteral feeding, the role of nutritional drivers of maturation, and the critical role of effective microbial colonization. Although the literature surveyed for this review is extensive, there are challenges in assembling a clear and consistent picture from the available data.

Much of our accumulated knowledge of the development and function of the neonatal GIT is derived from studies in laboratory animals. These studies may be necessary for the nonclinical evaluation of drugs intended for use in neonates. However, there are also challenges related to species differences, some of which are recognizable in both the structure and function of gastrointestinal tissues that can result in differences in both ADME and toxicity attributes of drugs. This is of importance when selecting a species to use for juvenile toxicity testing and is also critical for the evaluation of toxicokinetics in animal studies and potential relevance to human neonates.

In a recent review of gastrointestinal ontogeny across species (Downes, 2018), the pig was considered the most appropriate species to model the human term neonate for pharmacokinetics. The pig is an omnivorous species that is similar to humans in maturity of the GIT at birth; importantly, like humans, several digestive enzymes are active at birth and do not undergo large changes in activity during the suckling period (Shulman et al., 1988). Neonatal piglets have been extensively studied in areas of nutrition and gastrointestinal physiology, but to date
have not been widely used in nonclinical toxicology or pharmacokinetic studies. Strain or type of pig should also be considered, as much of the nutritional literature revolves around studies conducted in piglets from typical large white “farm pig” stock (e.g., Landrace crosses). There are a few examples in the smaller “minipigs” (e.g., Hanford), but only sparse data from the pigs that have been most frequently used in toxicology studies, such as the Göttingen minipig (Van Peer et al., 2016). Thus, although substantial data on neonatal piglet physiology is available, it is important to also consider potential strain differences.

In contrast to the piglet, the neonatal rat may be more representative of the premature infant, as it is relatively immature at birth, reaching GIT functional equivalence with neonatal humans only late in the suckling period. For example, compared with humans, the neonatal rat is atrilch at birth, grows very rapidly with high metabolic demand, and is heavily reliant on the efficient uptake of milk lipids and intact proteins for nutrition during suckling. As such, drugs that contain sugar or that increase blood sugar may not be well tolerated by neonatal rat pups. Likewise, exposure to orally administered lipophilic or protein-based drugs may exceed that of adult rats or neonatal humans because of relatively enhanced absorption and/or decreased elimination. Because of these differences, it can be difficult to interpret the translatability of toxicity signals from nonclinical studies to inform the appropriate evaluation of drugs in neonatal humans. In addition, collecting blood samples after the oral administration of chemicals or drugs in neonatal laboratory animals and humans remains a critical method to validate predictions of oral absorption models. However, in studies of rats and mice during the early postnatal period, terminal sampling is typically required to obtain sufficient blood volume for analysis. Therefore, serial sampling from individual animals is not feasible, and large numbers of animals per treatment group can be required to characterize pharmacokinetics on a population basis in studies with juvenile rodents.

With specific regard to the interpretation of ADME data, the physiologic and ontologic differences among the human, rat, and piglet neonatal GIT are particularly illustrative, and are therefore highlighted throughout our review. In summary, our review of the scientific literature regarding neonatal function of the GIT in humans and animals identified and provided information about the following major relevant areas of interest, and reached the following conclusions.

First, parameters such as gastric pH, emptying rates, intestinal transit time, and activity of transporters and enzymes affect the potential bioavailability and ADME of orally administered drugs. In our review, we found that there is extensive literature for both humans and nonclinical species regarding the development of the intestinal tract form and function. However, the maturation of the intestine is highly variable in the normal state, and dependent on factors such as fed or fasted state, type of food, hormones, and intestinal microbiome. The integrated assessment of data can be further hampered by the diversity of approaches used to characterize ontology, which makes comparisons of results from multiple investigators challenging.

Second, an understanding of species-specific changes that occur in the neonatal period is important for appropriate nonclinical safety assessment. For example, although well studied in the liver, we do not fully understand the development of intestinal biotransformation reactions and ontogeny of intestinal transporters in nonclinical species. Also, the neonates of some nonclinical species, such as the pig, have been well studied under nutritional and surgical protocols, but have not been routinely used to study drugs. Overall, we need to critically assess the value of nonclinical in vivo studies for translational understanding of the ADME properties that drive exposures in neonates, especially for orally administered drugs. It is important to recognize the differences between species in terms of their size, metabolic demands, evolutionary diet (e.g., herbivore, carnivore, or omnivore), timing of dietary changes (e.g., weaning), maturity at birth, and even the type of placenta. Because of these differences, direct or linear translation across species is not possible, especially in the neonatal period, but the composite data can be useful in understanding maturation events critical for ADME processes.

Third, the impact of the neonatal microbiome on ADME of orally administered drugs is emerging as potentially important, but the specific impact remains unclear. Assessment of the role microbiome has not historically been a key consideration in drug development, but it is now being considered by some. As the technology and utility of microbiome data increase more generally, it will be important to recognize that the microbiome of the neonate is distinct from that of the older child or adult.

Finally, although the principles have been established and reviewed, there are currently limited primary pharmacokinetic data from pharmaceuticals administered to neonates. Often the PBPK tools are reviewed based on relatively limited physiologic and kinetic data that has been collected in a controlled experimental setting. Although the development of in vitro systems and pharmacokinetic modeling may be able to fill gaps in our ability to predict neonatal pharmacokinetics, continued assessment of these tools is warranted.

Overall, a strategic approach is needed to use the extensive GIT ontogeny information already available, selectively address knowledge gaps, and appropriately interpret nonclinical data to better inform the appropriate use of medicines in neonates.

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Wrote or contributed to the writing of the manuscript: Neal-Kluever, Fisher, Grylack, Kakiuchi-Kiyota, Halpern.

References


References:


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