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**Regulation of Blood-Brain Barrier Transporters by Transforming Growth Factor- $\beta$ /Activin Receptor-Like Kinase 1 (TGF- $\beta$ /ALK1) Signaling: Relevance to the Brain Disposition of 3-Hydroxy-3-Methylglutaryl Coenzyme A (HMG-CoA) Reductase Inhibitors (i.e., Statins)**

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## Running Title Page

**Running Title:** Transport Properties of Statins at the Blood-Brain Barrier

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**Abbreviations:** Activin Receptor-Like Kinase 1, ALK1; ATP-binding cassette, ABC; Blood-Brain Barrier, BBB; Bone Morphogenetic Protein 9 (BMP-9); Breast Cancer Resistance Protein, Bcrp; Central Nervous System, CNS; Fexofenadine, FEX; Fumitrimorgin C, FTC; 3-hydroxy-3-methylglutaryl coenzyme A (HMG CoA); Horseradish Peroxidase, HRP; Human Umbilical Vein Endothelial Cells, HUVECs; Organic Anion Transporting Polypeptide, Oatp; P-glycoprotein, P-gp; Solute Carrier, SLC; Transforming Growth Factor- $\beta$  (TGF- $\beta$ )

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## Abstract

Our laboratory has shown that activation of transforming growth factor- $\beta$  (TGF- $\beta$ )/Activin receptor-like kinase 1 (ALK1) signaling can increase protein expression and transport activity of organic anion transporting polypeptide 1a4 (Oatp1a4) at the blood-brain barrier (BBB). These results are relevant to treatment of ischemic stroke because Oatp transport substrates such as 3-hydroxy-3-methylglutaryl coenzyme A (HMG CoA) reductase inhibitors (i.e., statins) improve functional neurological outcomes in patients. Advancement of our work requires determination if TGF- $\beta$ /ALK1 signaling alters Oatp1a4 functional expression differently across brain regions and if such disparities affect CNS statin disposition. Therefore, we studied regulation of Oatp1a4 by the TGF- $\beta$ /ALK1 pathway, *in vivo*, in rat brain microvessels isolated from cerebral cortex, hippocampus, and cerebellum using the ALK1 agonist bone morphogenetic protein 9 (BMP-9) and the ALK1 inhibitor LDN193189. We showed that Oatp1a4 protein expression and brain distribution of three currently marketed statin drugs (i.e., atorvastatin, pravastatin, rosuvastatin) were increased in cortex relative to hippocampus and cerebellum. Additionally, BMP-9 treatment enhanced Oatp-mediated statin transport in cortical tissue but not in hippocampus or cerebellum. While brain drug delivery is also dependent upon efflux transporters such as P-glycoprotein (P-gp) and/or Breast Cancer Resistance Protein (Bcrp), our data showed that administration of BMP-9 did not alter the relative contribution of these transporters to CNS disposition of statins. Overall, this study provides evidence for differential regulation of Oatp1a4 by TGF- $\beta$ /ALK1 signaling across brain regions, knowledge that is critical for development of therapeutic strategies to target Oatps at the BBB for CNS drug delivery.

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## Significance Statement

Organic anion transporting polypeptides (Oatps) represent transporter targets for CNS delivery of drugs. We have shown that brain statin uptake via Oatp1a4 is higher in cortex versus hippocampus and cerebellum. Additionally, we have shown that the TGF- $\beta$ /ALK1 agonist BMP-9 increases Oatp1a4 functional expression, but not that of efflux transporters P-gp and Bcrp, in brain microvessels isolated from cortical tissue. Our *in vivo* approach provides critical data that will advance therapeutic strategies for neurological diseases where drug development has been challenging.

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## Introduction

Blood-brain barrier (BBB) transporters are critical determinants of brain drug disposition. This principle emphasizes the need to rigorously study regulation, expression, and activity of these transport proteins so that optimized treatments for neurological diseases can be developed. Our laboratory has shown that organic anion transporting polypeptides (OATPs in humans; Oatps in rodents) are expressed at the brain microvascular endothelium and can be targeted to facilitate uptake of currently marketed drugs (Abdullahi et al., 2018; Brzica et al., 2018a; Ronaldson et al., 2011; Thompson et al., 2014). In these studies, we have focused our attention on Oatp1a4, the primary drug transporting Oatp isoform expressed at the rat BBB (Ronaldson and Davis, 2013; Ronaldson et al., 2011). Specifically, our experimental work has described the Oatp-mediated transport of 3-hydroxy-3-methylglutaryl coenzyme A (HMG-CoA) reductase inhibitors (i.e., statins) at the BBB (Abdullahi et al., 2018; Brzica et al., 2018a; Thompson et al., 2014). We conducted detailed transport studies in human umbilical vein endothelial cells (HUVECs) and reported that molecular regulation and statin transport properties of OATP1A2 are comparable with those of its rodent orthologue Oatp1a4 (Ronaldson et al., 2021). Indeed, others have corroborated our observations by demonstrating Oatp-mediated drug disposition in brain microvascular endothelial cells (Albekairi et al., 2019; Ose et al., 2010). Blood-to-brain uptake of statins is relevant to pharmacotherapy of neurological diseases such as ischemic stroke. Indeed, clinical studies have demonstrated that statins are unique in their ability to reduce physical disability in stroke patients (Ishikawa et al., 2016; Malhotra et al., 2019) as well as to decrease risk of recurrent stroke (Lee et al., 2017). This proven efficacy of statins is in direct contrast to many other compounds developed for ischemic stroke treatment where clinical success in Phase III clinical trials has not been achieved (Shi et al., 2018).

P-glycoprotein (P-gp) and Breast Cancer Resistance Protein (BCRP in humans; Bcrp in rodents) also are critical determinants of brain statin disposition. P-gp is believed to be the most critical BBB transporter due to its role in restricting brain permeability of many structurally diverse drugs. The substrate profile for BCRP/Bcrp greatly overlaps with that of P-gp, thereby enabling these two transporters to function synergistically to limit CNS drug uptake (Polli et al., 2009; Williams et al., 2020). Many currently marketed statins are transport substrates for these two critical efflux transporters. For example, atorvastatin and rosuvastatin are known P-gp substrates (Hochman et al., 2004; Li et al., 2011; Ronaldson et al., 2021).

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BCRP/Bcrp is known to efflux both pravastatin and rosuvastatin (Hirano et al., 2005; Ronaldson et al., 2021; Safar et al., 2019). Therefore, a detailed assessment of Oatp-mediated statin uptake at the BBB must consider the concept of the multi-transporter environment, particularly the contributions of those transporters that restrict CNS drug distribution such as P-gp and BCRP/Bcrp.

Another critical consideration in understanding the role of Oatp1a4 in blood-to-brain transport of statins is the potential for brain regional differences in transporter expression. This possibility is exemplified by two proteomics studies conducted in brain microvasculature. Al-Majdoub and colleagues reported that OATP1A2 was the most abundant OATP isoform present in frontal cortex microvessels (Al-Majdoub et al., 2019). In contrast, Billington and colleagues reported that OATP1A2 protein expression at the BBB in Brodmann Areas 17 and 39 (Billington et al., 2019) was below the limit of detection in their proteomic analysis. In rodent tissue, brain regional differences in Oatp1a4 regulation or functional expression have not been evaluated in detail. Using a preplanned study design, we addressed this critical issue in female Sprague-Dawley rats by testing the hypothesis that Oatp1a4 regulation and functional expression is different between cerebral cortex, hippocampus, and cerebellum. These brain regions were selected due to their susceptibility to injury following acute ischemic stroke or cerebellar stroke (Higashi et al., 2021; Ramos-Cabrer et al., 2011; Sarikaya and Steinlin, 2018). Furthermore, we postulate that such variability will affect CNS disposition of atorvastatin, pravastatin, and rosuvastatin. Our data showed that brain uptake of all three statins is highest in cerebral cortex. We also report, for the first time, that activation of TGF- $\beta$ /activin receptor-like kinase 1 (ALK1) signaling using a specific agonist (bone morphogenetic protein-9 (BMP-9)) increased Oatp1a4 functional expression and CNS statin delivery in cortex but not in hippocampus or cerebellum. This effect likely results from regional differences in BBB expression of endoglin (ENG), a TGF- $\beta$ /ALK1 co-receptor that binds ALK1 ligands (i.e., BMP-9) to form a protein complex that is then recruited to ALK1 receptors (Lawera et al., 2019; Lee et al., 2008; van Meeteren et al., 2012).

## **Materials and Methods**

### **Animals and Drug Treatments**

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Animal experiments were approved by the University of Arizona Institutional Animal Care and Use Committee (IACUC) and were designed to comply with the Animal Research: Reporting In Vivo Experiments (ARRIVE) guidelines. Female Sprague-Dawley rats (200-250g; 3 months old; Envigo, Denver, CO) were intentionally selected for these studies to enable comparison with our past studies on Oatp1a4 regulation and functional expression at the BBB (Abdullahi et al., 2018; Abdullahi et al., 2017; Ronaldson et al., 2011; Thompson et al., 2014). Additionally, our previous work on transporter sex differences in rat brain microvessels showed, for the first time, that Oatp1a4 protein expression and transport activity were significantly higher in female Sprague-Dawley rats as compared to age-matched males (Brzica et al., 2018a). Observations from this study suggested that testosterone signaling may be involved in repression of Oatp1a4 functional expression at the BBB in 3 month old male Sprague-Dawley rats (Brzica et al., 2018a). A detailed understanding of testosterone signaling and its regulation of BBB transporters is required before conducting analyses of Oatp1a4 expression/activity in male Sprague-Dawley rats. This is particularly relevant for studies involving other intracellular pathways such as TGF- $\beta$ /ALK1 signaling. Age-matched rats were randomized into treatment groups and injected with either BMP-9 (1.0  $\mu$ g/kg (1.0 mL/kg) in 0.9% saline, i.p.; R&D Systems, Minneapolis, MN) or vehicle (0.9% saline, i.p.) according to our previously defined dosing paradigm (Abdullahi et al., 2018). Inhibition experiments were performed using the established ALK1 receptor antagonist 4-[6-[4-(1-piperazinyl)phenyl]pyrazolo[1,5-a]pyrimidin-3-yl]quinoline dihydrochloride (LDN193189; 10 mg/kg (1.0 mL/kg)) in 0.9% saline i.p.; Sigma-Aldrich, St. Louis, MO), which was administered 1 h prior to injection with BMP-9. Following 6 h BMP-9 treatment or 1 h LDN193189/6 h BMP-9, animals were anesthetized (100 mg/kg Ketamine, 20 mg/kg Xylazine, i.p.) and prepared for brain microvessel isolation. These time points were selected to enable detailed comparison with our previous study (Abdullahi et al., 2018).

### **Brain Microvessel Isolation**

Brain microvessels were isolated from rat brain tissue using our previously published protocol (Brzica et al., 2018b). All steps were performed on ice at 4°C to minimize degradation proteins during tissue processing. Following euthanasia by decapitation, brains were isolated from the skull and meninges and choroid plexus were removed. Cerebral hemispheres were homogenized at 3,700 x g in 5 ml brain microvessel buffer (300

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mM mannitol, 5 mM EGTA, 12 mM Tris HCl, pH 7.4) containing 0.1% protease inhibitor cocktail (Sigma-Aldrich). For brain regional studies, brain tissue was separated into cerebral cortices, hippocampus, and cerebellum prior to homogenization. Following homogenization, 8 ml 26% (w/v) dextran (MW 75,000; Spectrum Chemical Manufacturing Corporation, Gardena, CA) solution was added to each sample. Samples were then vortexed and centrifuged at 5,000 x *g* for 15 min at 4°C. At this time, the supernatant was aspirated and capillary pellets were resuspended in 5 ml of brain microvessel buffer. Dextran homogenization and centrifugation steps were repeated an additional three times to ensure appropriate microvessel quality. Following completion of dextran homogenization and centrifugation, the supernatant was aspirated and the microvessel pellet was resuspended in 5 ml of brain microvessel buffer. Enriched whole microvessel samples were homogenized at 3,700 x *g*, placed into ultracentrifuge tubes, and centrifuged at 150,000 x *g* for 60 min at 4°C for isolation of cellular membranes. Crude membrane pellets were resuspended in 500 µl of storage buffer (50% brain microvessel isolation buffer; 50% diH<sub>2</sub>O, v/v) containing 0.1% protease inhibitor cocktail. Samples were stored at -80°C until further use. We have previously confirmed the purity of our microvessel preparations by demonstrating enrichment in platelet endothelial cell adhesion molecule-1 (PECAM-1) as compared to expression of astrocyte marker proteins (i.e., glial fibrillary acidic protein) or neuronal marker proteins (i.e., synaptophysin) (Abdullahi et al., 2017; Brzica et al., 2018a; b).

### Western Blot Analysis

Western blotting was performed as previously described (Abdullahi et al., 2018) with a few modifications. Crude membrane samples from isolated microvessels were quantified for total protein using the Pierce BCA Protein Assay (ThermoFisher Scientific, Waltham, MA), normalized across samples, and heated at 95°C for 5 min or 70°C for 10 min under reducing conditions (i.e., 2.5% (v/v) 2-mercaptoethanol (Sigma-Aldrich) in 1X Laemmli sample buffer (Bio-Rad, Hercules, CA)). Following SDS-PAGE and transfer, PVDF membranes were incubated overnight at 4°C with primary antibodies against Oatp1a4 (anti-Oatp1, Invitrogen #PA5-42445; 0.5 mg/ml at 1:500 dilution), P-gp (anti-P-gp C219, Invitrogen #MA1-26528; 0.72 mg/ml at 1:500 dilution), Bcrp (anti-BCRP, Abcam #ab191812; 50 µg/mL at 1:500 dilution; Abcam #ab207732 50 µg/mL at 1:1000 dilution), claudin-5 (anti-claudin-5 4C3C2, ThermoFisher Scientific #35-2500; 0.5 mg/mL at 1:2,000

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dilution), occludin (anti-occludin, ThermoFisher Scientific #40-6100; 0.25 mg/mL at 1:500), ALK1 (anti-ACVRL1, Invitrogen # MA5-38212, 1.4 mg/mL at 1:1000 dilution), ENG (anti-endoglin/CD105, Proteintech #1082-1-AP; 50 µg/mL at 1:1000 dilution), Na<sup>+</sup>/K<sup>+</sup> ATPase (anti-Na<sup>+</sup>/K<sup>+</sup> ATPase EP1845Y, Abcam #ab76020; 0.563 mg/ml at 1:20,000 dilution), and tubulin (anti-α tubulin, Abcam #ab7291, 1 mg/mL at 1:20,000 dilution). Membranes were washed using Tris-buffered saline containing 0.1% (v/v) Tween® 20 detergent (TBS-T) and incubated with horseradish peroxidase (HRP)-conjugated anti-rabbit IgG (Jackson ImmunoResearch Laboratories, Inc., West Grove, PA; 1:40,000 dilution) or anti-mouse IgG (Jackson ImmunoResearch, 1:50,000 dilution) for 60 min at room temperature. Control western blot experiments to confirm antibody specificity were performed in the absence of primary antibody (i.e., utilized secondary antibody only). Protein bands were visualized using enhanced chemiluminescence (Super Signal West Pico, ThermoFisher Scientific, Waltham, MA). Bands were quantitated using ImageJ software (Wayne Rasband, Research Services Branch, National Institute of Mental Health, Bethesda, MD), normalized to tubulin, and reported as relative values.

### **Measurement of Vascular Density in Brain Tissue**

Rats were anesthetized with ketamine/xylazine, decapitated, and the brains were immediately snap-frozen in isopentane on dry ice, and stored at -80°C. Cryosections (20 µm) were mounted onto glass slides, fixed in ice-cold methanol, and immunostained for the vascular marker rat endothelial cell antigen-1 (RECA-1; abcam #ab9774). Briefly, sections were blocked for 1 h in PBS w/0.3% Tx-100 + 5% goat serum and then incubated overnight with RECA-1 (1:500). Sections were washed in PBS then incubated in Alexa 488 goat anti-mouse (Thermo Fisher # A11029; 1:500) for 1 h. After washing in PBS and incubating in 4',6-diamidino-2-phenylindole (DAPI), the sections were mounted on coverslips in ProLong Diamond and imaged on a Leica SP8 scanning confocal microscope. Ten randomly chosen regions of interest in the hippocampus, cortex, and cerebellum from four different rats were imaged and the number of capillaries (vessels < 10 µm diameter) per region were quantified.

### **In Situ Perfusion**

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In situ brain perfusion was performed using the approach described by our laboratory (Abdullahi et al., 2018; Brzica et al., 2018a). Following treatment with BMP-9 (1.0  $\mu\text{g}/\text{kg}$ ) or LDN193189 (10  $\text{mg}/\text{kg}$ )/BMP-9 (1.0  $\mu\text{g}/\text{kg}$ ), animals were anesthetized and heparinized (10,000 U/kg i.p.). Common carotid arteries were cannulated with silicone tubing connected to a perfusion circuit. Perfusion pressure and flow rate were tightly maintained between 95 to 105 mmHg and 3.1 ml/min, respectively. Both jugular veins were severed to allow for perfusate drainage. The perfusate used in these experiments was an erythrocyte-free modified mammalian Ringer's solution consisting of 117 mM NaCl, 4.7 mM KCl, 0.8 mM  $\text{MgSO}_4$ , 1.2 mM  $\text{KH}_2\text{PO}_4$ , 2.5 mM  $\text{CaCl}_2$ , 10 mM d-glucose, 3.9% (w/v) dextran (molecular weight 60,000), and 1.0 g/liter bovine serum albumin (type IV), pH 7.4, warmed to 37°C and continuously oxygenated with 95%  $\text{O}_2$ /5%  $\text{CO}_2$ . Evan's blue dye (55 mg/liter) was added to the perfusate as a marker of BBB integrity. Using a slow-drive dual syringe pump (Harvard Apparatus Inc., Holliston, MA), [ $^3\text{H}$ ]atorvastatin (0.5  $\mu\text{Ci}/\text{ml}$ ; 0.013  $\mu\text{M}$  total concentration; American Radiolabeled Chemicals, Inc., St. Louis, MO), [ $^3\text{H}$ ]pravastatin (0.2  $\mu\text{Ci}/\text{ml}$ ; 0.013  $\mu\text{M}$  total concentration; American Radiolabeled Chemicals, Inc.), [ $^3\text{H}$ ]rosuvastatin (0.5  $\mu\text{Ci}/\text{ml}$ ; 0.013  $\mu\text{M}$  total concentration; PerkinElmer) or [ $^{14}\text{C}$ ]sucrose (0.5  $\mu\text{Ci}/\text{ml}$ ; 0.92  $\mu\text{M}$  total concentration; PerkinElmer) was added to the inflowing perfusion solution at a rate of 0.5 ml/min per cerebral hemisphere, which resulted in a total flow rate of 3.6 ml/min. For inhibition studies, animals were perfused with Ringer's solution containing transport inhibitor (i.e., 100  $\mu\text{M}$  fexofenadine (FEX), 5  $\mu\text{M}$  PSC 833 (PSC), 10  $\mu\text{M}$  fumitremorgin C (FTC), or 10  $\mu\text{M}$  GF120918) for 10 min prior to perfusion with [ $^3\text{H}$ ]atorvastatin, [ $^3\text{H}$ ]pravastatin, or [ $^3\text{H}$ ]rosuvastatin. We have previously confirmed the stability of Oatp transport substrates and sucrose in both perfusion medium and in jugular vein venous outflow and have shown that they remain intact in our *in situ* perfusion experiments (Ronaldson et al., 2009; Ronaldson et al., 2011; Thompson et al., 2014). Additionally, we have monitored EKG and respiratory waveforms in animals subjected to in situ brain perfusion for up to 30 min. In all animals, these physiological parameters remained within normal limits, which implies that our in situ brain perfusion method allows for the evaluation of BBB transport mechanisms in a stable, well-controlled environment that maintains viability of experimental animals for the entire duration of the perfusion.

Immediately following perfusion for the desired time (i.e., 2.5, 5, 10, or 20 min), brain tissue was removed from the skull of an experimental animal. Meninges and choroid plexus were excised and cerebral

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hemispheres were sectioned. TS2 tissue solubilizer (1.0 ml; Research Products International, Mt. Prospect, IL) was added to each sample and these were permitted to solubilize for 2 days at room temperature. To eliminate chemiluminescence, 30% glacial acetic acid (100  $\mu$ l) was added, along with 2.0 ml of Optiphase SuperMix liquid scintillation cocktail (PerkinElmer Life and Analytical Sciences, Boston, MA). Radioactivity was measured using a model 1450 Liquid Scintillation and Luminescence Counter (PerkinElmer Life and Analytical Sciences). Results were reported as picomoles of radiolabeled transport substrate (i.e., atorvastatin, pravastatin, rosuvastatin, or sucrose) per milligram of brain tissue (C; pmol/mg tissue), which is equal to the total amount of radioisotope in the brain [ $C_{\text{Brain}}$ ; dpm/mg tissue] divided by the amount of radioisotope in the perfusate [ $C_{\text{Perfusate}}$ ; dpm/pmol]:

$$C = C_{\text{Brain}}/C_{\text{Perfusate}} \text{ (Equation 1)}$$

The brain vascular volume in rats has been previously shown to range between 6 and 9  $\mu$ l/g brain tissue in perfusion studies utilizing a saline-based bicarbonate buffer (Takasato et al., 1984). Since brain tissue was processed immediately after perfusion with radiolabeled substrate, all whole-brain uptake values for radiolabeled statins or sucrose require correction for brain vascular volume (i.e., 8.0  $\mu$ l/g brain tissue as calculated from data reported by Takasato and colleagues).

Multiple time uptake data were best fit to a nonlinear least-squares regression model, using the following equation, as described previously (Ronaldson et al., 2011):

$$C = (K_{\text{IN}}/k_{\text{out}}) \times (1 - e^{-k_{\text{(out)}}t}) \text{ (Equation 2)}$$

where C is the concentration of drug per gram of brain tissue, t is time in min,  $K_{\text{IN}}$  is the calculated uptake transfer constant, and  $k_{\text{out}}$  is the brain efflux rate coefficient estimated.  $K_{\text{IN}}$  was determined from the slope of the linear portion of the uptake curve according to:

$$C = K_{\text{IN}} * t \text{ (Equation 3)}$$

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The estimated brain volume of distribution was calculated using the following equation as described previously (Ronaldson et al., 2011):

$$V_{Br} = K_{IN}/k_{out} \text{ (Equation 4)}$$

Using Equations 2-4, we can fit a nonlinear least-squares regression curve to our multiple-time uptake data. This results in the ability to determine both  $K_{IN}$  and  $V_{Br}$ . From these values, we can rearrange Equation 4 to solve for  $k_{out}$ :

$$k_{out} = K_{IN}/V_{Br} \text{ (Equation 5)}$$

Area under the curve (AUC) analysis was conducted on multiple time uptake data over the 0-20 minute perfusion interval (i.e.,  $AUC_{0-20}$ ) using the trapezoidal method as an indicator of CNS drug exposure. All kinetic parameters and  $AUC_{0-20}$  values were calculated using Prism 9.0.1 software (GraphPad Software, La Jolla, CA).

## Statistical Analysis

Western blot data are presented as mean  $\pm$  SD of three independent experiments where each treatment group consisted of 3-4 individual animals ( $n = 4-6$ ). *In situ* brain perfusion data are reported as mean  $\pm$  SD of six individual animals per treatment group ( $n = 6$ ). These sample sizes were based on the ability to detect a 35% difference between treatment with 20% variability. Statistical significance was determined using one-way ANOVA followed by post hoc Dunnett's Multiple Comparison test. A value of  $p < 0.05$  was accepted as statistically significant.

## Results

**BMP treatment modulates Brain Uptake and Exposure to Statins via Modulation of Oatp-Mediated Transport.**

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Previous work in our lab has demonstrated that commonly prescribed statins such as atorvastatin and pravastatin are Oatp transport substrates and that their blood-to-brain uptake is increased in response to BMP-9 treatment (Abdullahi et al., 2018; Abdullahi et al., 2017; Brzica et al., 2018a). Since these observations were obtained at a single time point, we conducted a time course (i.e., multiple-time uptake) study on whole brain tissue to assess the relationship between BMP-9 administration and changes in Oatp-mediated statin transport. In these multiple-time uptake experiments, female Sprague-Dawley rats were perfused with a radiolabeled statin drug (i.e., [<sup>3</sup>H]atorvastatin, [<sup>3</sup>H]pravastatin, or [<sup>3</sup>H]rosuvastatin) at various time points (i.e., 2.5, 5, 10, or 20 minutes). The time course of uptake for [<sup>3</sup>H]atorvastatin (0.013 μM), [<sup>3</sup>H]pravastatin (0.013 μM), or [<sup>3</sup>H]rosuvastatin (0.013 μM) in whole brain tissue isolated from control animals showed increasing statin accumulation over the entire 20-minute duration of the *in situ* brain perfusion experiment (Figure 1A-C). In animals administered BMP-9 (i.e., 1.0 μg/kg, i.p.; 6-hour treatment prior to perfusion), an increase ( $p < 0.01$ ) in uptake was observed for all three radiolabeled statin drugs. These increases were up to 61% greater than control for [<sup>3</sup>H]atorvastatin, 60% greater than control for [<sup>3</sup>H]pravastatin, and 67% greater than control for [<sup>3</sup>H]rosuvastatin. In contrast, treatment with the ALK1 inhibitor LDN193189 (10 mg/kg, i.p.) 1 hour before dosing with BMP-9 completely attenuated increases in whole brain [<sup>3</sup>H]atorvastatin, [<sup>3</sup>H]pravastatin, or [<sup>3</sup>H]rosuvastatin uptake observed in animals treated with BMP-9 only (Figure 1A-C). The linear phase of uptake for [<sup>3</sup>H]atorvastatin, [<sup>3</sup>H]pravastatin, or [<sup>3</sup>H]rosuvastatin is shown in Figure 1D-F. These data clearly show that BMP-9 increase the rate of uptake for all three statin drugs while LDN193189 attenuated BMP-9 effects on drug uptake transport.

Changes in whole brain exposure to statin drugs that resulted from altered Oatp-mediated transport at the BBB were measured by AUC<sub>0-20</sub> analysis of our multiple-time uptake data (Figure 2A-C). Following BMP-9 treatment, calculated AUC<sub>0-20</sub> values were increased by 60% for [<sup>3</sup>H]atorvastatin [987.9 ± 53.41 pmol x min/mg brain tissue for control (95% CI: 931.8, 1044.0), 1581.0 ± 52.26 pmol x min/mg brain tissue for BMP-9 (95% CI: 1526.0, 1636.0;  $p < 0.01$ )] compared with saline controls, which suggests that activation of the ALK1 receptor leads to a significant increase in brain atorvastatin exposure. Similarly, calculated AUC<sub>0-20</sub> values were increased by 69% for [<sup>3</sup>H]pravastatin [800.0 ± 47.41 pmol x min/mg brain tissue for control (95% CI: 750.2, 849.8), 1349.0 ± 48.00 pmol x min/mg brain tissue for BMP-9 (95% CI: 1299.0, 1399.0;  $p < 0.01$ )] and by 74%

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for [<sup>3</sup>H]rosuvastatin [836.8 ± 50.53 pmol x min/mg brain tissue for control (95% CI: 783.8, 889.8), 1459.0 ± 53.51 pmol x min/mg brain tissue for BMP-9 (95% CI: 1403.0, 1515.0; *p* < 0.01)]. Pharmacological inhibition of the ALK1 receptor with LDN193189 resulted in attenuation of the increased brain exposure observed in the presence of BMP-9 only for all three statin drugs. Kinetic analysis of multiple-time uptake data showed increases in both *K<sub>IN</sub>* and *V<sub>Br</sub>* for [<sup>3</sup>H]atorvastatin, [<sup>3</sup>H]pravastatin, and [<sup>3</sup>H]rosuvastatin following BMP-9 treatment (Table 1), results that reflect enhanced distribution of statin drugs to the brain following BMP-9 administration.

To confirm specificity of Oatp-mediated transport, we performed *in situ* brain perfusion studies in control rats, BMP-9-treated animals, and animals administered LDN193189 and BMP-9 in the presence and absence of the established Oatp transport inhibitor fexofenadine (FEX). Statistical analysis of this experiment was conducted using three separate one-way ANOVA tests where control, BMP-9, and LDN193189/BMP-9 treatments were compared independently. As shown in Figure 3A, whole brain uptake of [<sup>3</sup>H]atorvastatin in control animals (63.72 ± 9.78 pmol/mg brain tissue; 95% CI: 44.16, 83.28) was reduced by 39% (*p* < 0.01) in the presence of 100 μM FEX (24.89 ± 7.55 pmol/mg brain tissue; 95% CI: 9.79, 39.99). Comparable responses were observed in BMP-9 treated animals and in animals administered LDN193189/BMP-9. Similarly, whole brain uptake of [<sup>3</sup>H]pravastatin in control animals [54.98 ± 6.37 pmol/mg brain tissue for control (95% CI: 42.24, 67.72), 12.39 ± 4.81 pmol/mg brain tissue for control animals receiving FEX (95% CI: 2.77, 22.01; *p* < 0.01), Figure 3B] and whole brain uptake of [<sup>3</sup>H]rosuvastatin in control animals [55.83 ± 7.84 pmol/mg brain tissue for control (95% CI: 40.15, 71.51) 10.54 ± 3.65 pmol/mg brain tissue for control animals receiving FEX (95% CI: 3.24, 17.84; *p* < 0.01), Figure 3C] were reduced in the presence of FEX, suggesting an Oatp-dependent transport mechanism. As with [<sup>3</sup>H]atorvastatin, comparable responses for [<sup>3</sup>H]pravastatin and [<sup>3</sup>H]rosuvastatin were observed in BMP-9 treated animals and in animals administered LDN193189/BMP-9.

### **Vascular Density is Highest in Cerebellum, Followed by Cerebral Cortex and Hippocampus**

We performed immunofluorescence analysis on brain sections stained with an antibody to the vascular marker RECA-1. Ten randomly chosen regions of the cortex, hippocampus, and cerebellum were imaged from four different rats and the capillary density was quantified. We observed statistically significant differences in

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capillary density in the cortex ( $129.1 \pm 30.5$  capillaries/mm<sup>2</sup>), hippocampus ( $84.6 \pm 15.5$  capillaries/mm<sup>2</sup>), and the cerebellum ( $167.6 \pm 36.3$  capillaries/mm<sup>2</sup>) (Figure 4;  $p < 0.0001$ ). These numbers are in general agreement with previous observations of vascular density in different brain regions (Cavaglia et al., 2001).

### **BMP-9 Treatment Alters Oatp1a4 Protein Expression in Cerebral Microvessels Isolated from Cortex but not in Hippocampus or Cerebellum**

Previously, we demonstrated that BMP-9 treatment increases Oatp1a4 protein expression in cerebral microvessels prepared from whole brain tissue collected from female Sprague-Dawley rats (Abdullahi et al., 2018; Abdullahi et al., 2017). Therefore, we sought to determine if BMP-9-induced changes in BBB Oatp1a4 expression varied across brain regions. To assess such regional differences, we isolated brain microvessels from cortical, hippocampal, and cerebellar tissue and used western blot analysis to evaluate Oatp1a4 expression in rats administered BMP-9 or LDN193189/BMP-9 (Figure 5A). Densitometric analysis of our western blot data showed increased Oatp1a4 protein expression (normalized to tubulin) in cortical microvessels from female Sprague-Dawley rats administered a single dose of BMP-9 (1.0 µg/kg, i.p.; 6 h time point) (Figure 5B). This increase was attenuated in animals administered both LDN193189 and BMP-9, further supporting involvement of TGF-β/ALK1 signaling in mediating this response. Interestingly, neither hippocampal microvessels nor cerebellar microvessels showed an increase in Oatp1a4 protein expression in response to treatment with BMP-9 (Figure 5B). The relative magnitude of Oatp1a4 protein changes induced by BMP-9 are presented in Supplemental Data Table S1. Since we have previously demonstrated that BMP-9 can directly induce mRNA and functional protein expression via activation of the TGF-β/ALK1 canonical Smad1/5/8 signaling pathway with minimal off-target effects (Abdullahi et al., 2018; Abdullahi et al., 2017), we also evaluated protein expression of the ALK1 receptor in cortical, hippocampal, and cerebellar microvessels. Interestingly, ALK1 expression was comparable in microvessels derived from these three brain regions and did not change in response to either BMP-9 or LDN193189/BMP-9 treatment (Figure 6A-B). The magnitude of ALK1 protein changes induced by BMP-9 relative to control are presented in Supplemental Data Table S2. With growing evidence elucidating the importance of ENG in downstream ALK1 signaling (Lawera et al., 2019; Lee et al., 2008), we measured ENG expression in rat brain microvessels isolated from cerebral cortex,

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hippocampus, and cerebellum. We observed elevated ENG protein expression in cortical microvessels that was further increased following BMP-9 treatment (Figure 6C-D). In contrast, ENG expression was lower in microvessels from hippocampus and cerebellum as compared to microvessels isolated from cerebral cortex. Furthermore, BMP-9 treatment did not alter ENG expression in either hippocampal or cerebellar microvessels (Figure 6D).

### **Increased Cortical Microvascular Oatp1a4 Protein Expression Following BMP-9 Treatment Correlates with Enhanced Drug Uptake of Currently Marketed Statins**

To determine whether increased cortical microvascular Oatp1a4 expression following BMP-9 treatment correlates with enhanced blood-to-brain transport of statins, we measured brain uptake of equimolar concentrations of [<sup>3</sup>H]atorvastatin, [<sup>3</sup>H]pravastatin, and [<sup>3</sup>H]rosuvastatin by *in situ* brain perfusion. Brain accumulation of these three statin drugs was studied in control rats, BMP-9-treated animals, and animals administered LDN193189 and BMP-9 in the presence and absence of the established Oatp inhibitor FEX. Cortical brain uptake of [<sup>3</sup>H]atorvastatin in control animals was  $87.06 \pm 8.66$  pmol/mg brain tissue (95% CI: 69.74, 104.38) following a 10 minute perfusion (Figure 7A). Cortical accumulation of [<sup>3</sup>H]pravastatin in control animals  $69.21 \pm 7.23$  pmol/mg brain tissue (95% CI: 54.75, 83.67; Figure 7B) while cortical uptake of [<sup>3</sup>H]rosuvastatin was  $75.79 \pm 8.14$  pmol/mg brain tissue (95% CI: 59.51, 92.07; Figure 7C), levels that were comparable with [<sup>3</sup>H]atorvastatin. BMP-9 treatment increased cortical brain uptake of [<sup>3</sup>H]atorvastatin ( $132.56 \pm 8.88$  pmol/mg brain tissue; 95% CI: 114.80, 150.32;  $p < 0.01$ ), [<sup>3</sup>H]pravastatin ( $99.05 \pm 8.52$  pmol/mg brain tissue; 95% CI: 82.01, 116.09;  $p < 0.01$ ), and [<sup>3</sup>H]rosuvastatin ( $100.79 \pm 7.23$  pmol/mg brain tissue; 95% CI: 86.33, 115.25;  $p < 0.01$ ). Pretreatment with LDN193189 in BMP-9 treated animals attenuated cortical brain uptake for [<sup>3</sup>H]atorvastatin ( $84.43 \pm 9.40$  pmol/mg brain tissue; 95% CI: 65.63, 103.23), [<sup>3</sup>H]pravastatin ( $66.44 \pm 8.22$  pmol/mg brain tissue; 95% CI: 50.00, 82.88), and [<sup>3</sup>H]rosuvastatin ( $70.94 \pm 8.62$  pmol/mg brain tissue; 95% CI: 53.70, 88.18). In the presence of FEX, cortical brain uptake of [<sup>3</sup>H]atorvastatin was reduced in control rats ( $22.78 \pm 8.05$  pmol/mg brain tissue; 95% CI: 6.68, 38.88;  $p < 0.01$ ), BMP-9-treated animals ( $26.66 \pm 8.78$  pmol/mg brain tissue; 95% CI: 9.10, 44.22;  $p < 0.01$ ), and in rats pretreated with LDN193189 prior to BMP-9 administration ( $23.67 \pm 9.01$  pmol/mg brain tissue; 95% CI: 5.65, 41.69;  $p < 0.01$ ). FEX inhibited cortical

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accumulation of [<sup>3</sup>H]pravastatin in control animals ( $17.04 \pm 5.00$  pmol/mg brain tissue; 95% CI: 7.04, 27.04;  $p < 0.01$ ), rats administered BMP-9 ( $17.43 \pm 5.79$  pmol/mg brain tissue; 95% CI: 5.85, 29.01;  $p < 0.01$ ), and in LDN193189/BMP-9 treated animals ( $16.42 \pm 4.70$  pmol/mg brain tissue; 95% CI: 7.02, 25.82;  $p < 0.01$ ). Similar results were also obtained for [<sup>3</sup>H]rosuvastatin where cortical drug accumulation was decreased by FEX in control rats ( $9.77 \pm 3.21$  pmol/mg brain tissue; 95% CI: 3.35, 16.19;  $p < 0.01$ ), BMP-9 treated animals ( $12.68 \pm 3.97$  pmol/mg brain tissue; 95% CI: 4.74, 20.62;  $p < 0.01$ ), and in rats administered LDN193189 and BMP-9 ( $10.45 \pm 3.00$  pmol/mg brain tissue; 95% CI: 4.45, 16.45;  $p < 0.01$ ). Interestingly, BMP-9 treatment did not change brain uptake of either [<sup>3</sup>H]atorvastatin, [<sup>3</sup>H]pravastatin, or [<sup>3</sup>H]rosuvastatin in hippocampus or in cerebellum (Figure 7B-C). Statin accumulation was significantly reduced ( $p < 0.01$ ) by FEX in hippocampal and cerebellar tissue, suggesting a role for Oatp1a4 in determining drug distribution to these important brain regions.

### **CNS Disposition of Statins is also Dependent upon Functional Expression of P-gp and Bcrp at the BBB**

Interpretation of blood-to-brain transport data for statins requires the appreciation that multiple transporters play a critical role in determining their brain disposition. Indeed, our previous work in HUVECs has shown that atorvastatin, pravastatin, and rosuvastatin are transport substrates for P-gp and/or BCRP (Ronaldson et al., 2021). Therefore, we wanted to determine if these critical efflux transporters played a role in the CNS disposition of statins in our rodent model. To demonstrate specificity of transport for P-gp and/or Bcrp, we utilized an established P-gp inhibitor (i.e., PSC833), a known Bcrp inhibitor (i.e., FTC), and a dual P-gp/Bcrp inhibitory drug (i.e., GF120918). Whole brain uptake of [<sup>3</sup>H]atorvastatin in control animals ( $60.04 \pm 8.55$  pmol/mg brain tissue; 95% CI: 42.94, 77.14) was significantly increased ( $p < 0.01$ ) by 44% in the presence of PSC833 ( $86.35 \pm 7.56$  pmol/mg brain tissue; 95% CI: 71.23, 101.47), by 33% in the presence of FTC ( $79.56 \pm 7.21$  pmol/mg brain tissue; 95% CI: 65.14, 93.98), and by 79% in the presence of GF120918 ( $107.45 \pm 8.40$  pmol/mg brain tissue; 95% CI: 90.65, 124.25; Figure 8A). In animals treated with BMP-9, the magnitude of increase in CNS atorvastatin drug delivery caused by P-gp and/or Bcrp transport inhibitors was less than that measured in control animals. Specifically, [<sup>3</sup>H]atorvastatin in rats administered BMP-9 was enhanced ( $p < 0.01$ ) by 23% in the presence of PSC833 ( $116.39 \pm 11.57$  pmol/mg brain tissue; 95% CI: 93.25,

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139.53), by 21% in the presence of FTC ( $114.28 \pm 5.85$  pmol/mg brain tissue; 95% CI: 102.58, 125.98), and by 40% in the presence of GF120918 ( $132.78 \pm 8.92$  pmol/mg brain tissue; 95% CI: 114.94, 150.62) as compared to [ $^3$ H]atorvastatin alone ( $94.54 \pm 10.57$  pmol/mg brain tissue; 95% CI: 73.40, 115.68). These data suggest that efflux transporters play a reduced role in the CNS disposition of [ $^3$ H]atorvastatin in the setting of BMP-9 treatment. This was supported by our observation that the magnitude of inhibition caused by PSC833, FTC, and GF120918 was restored to 38% ( $85.00 \pm 8.78$  pmol/mg brain tissue; 95% CI: 67.44, 102.56), 33% ( $81.39 \pm 7.83$  pmol/mg brain tissue; 95% CI: 65.73, 97.05), and 70% ( $103.10 \pm 6.52$  pmol/mg brain tissue; 95% CI: 90.06, 116.14) respectively in animals pretreated with LDN193189 prior to BMP-9 administration (Figure 8A). These values were determined based upon the uptake of [ $^3$ H]atorvastatin in the absence of P-gp/Bcrp inhibitors in rats administered LDN193189 and BMP-9 ( $64.38 \pm 9.67$  pmol/mg brain tissue; 95% CI: 45.04, 83.72).

Our ABC transporter inhibitor data with [ $^3$ H]pravastatin suggests involvement of Bcrp, but not P-gp, in the CNS disposition of this statin drug (Figure 8B). Specifically, blood-to-brain uptake of [ $^3$ H]pravastatin was  $49.56 \pm 9.80$  pmol/mg brain tissue (95% CI: 29.96, 69.16), which was increased to  $69.28 \pm 8.56$  pmol/mg brain tissue (95% CI: 52.16, 86.40;  $p < 0.05$ ) in the presence of FTC and  $75.39 \pm 7.88$  pmol/mg brain tissue (95% CI: 59.63, 91.15;  $p < 0.01$ ) in response to GF120918 treatment. The P-gp inhibitor PSC833 had no significant effect on brain uptake of [ $^3$ H]pravastatin. In animals treated with BMP-9, a significant increase ( $p < 0.01$ ) in [ $^3$ H]pravastatin accumulation ( $87.65 \pm 9.42$  pmol/mg brain tissue; 95% CI: 68.81, 106.49) was achieved with both FTC ( $108.56 \pm 6.29$  pmol/mg brain tissue; 95% CI: 95.98, 121.14) and GF120918 ( $116.28 \pm 10.56$  pmol/mg brain tissue; 95% CI: 95.16, 137.40) but not with PSC833 ( $94.06 \pm 13.57$  pmol/mg brain tissue; 95% CI: 66.92, 121.20). In animals treated with LDN193189 and BMP-9, [ $^3$ H]pravastatin uptake values were comparable to those obtained in control rats ( $48.67 \pm 8.17$  pmol/mg brain tissue; 95% CI: 32.33, 65.01). In presence of FTC, brain accumulation was increased ( $p < 0.01$ ) by 45% to  $70.56 \pm 7.83$  pmol/mg brain tissue (95% CI: 54.90, 86.22) in the presence of FTC and by 47% to  $71.29 \pm 10.01$  pmol/mg brain tissue (95% CI: 51.27, 91.31) in the presence of GF120918. Similar to control rats and animals administered BMP-9, PSC833 had no effect on [ $^3$ H]pravastatin uptake ( $59.26 \pm 11.56$  pmol/mg brain tissue; 95% CI: 36.14, 82.38).

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In contrast to [<sup>3</sup>H]pravastatin, brain disposition of [<sup>3</sup>H]rosuvastatin is dependent upon transport processes mediated by both P-gp and Bcrp (Figure 8C). In control rats, [<sup>3</sup>H]rosuvastatin uptake was  $58.29 \pm 9.01$  pmol/mg brain tissue (95% CI: 40.27, 76.31); this value was increased by 73% in the presence of PSC833 ( $100.70 \pm 10.43$  pmol/mg brain tissue; 95% CI: 79.84, 121.56;  $p < 0.01$ ), by 59% in the presence of FTC ( $92.67 \pm 11.56$  pmol/mg brain tissue; 95% CI: 69.55, 115.79;  $p < 0.01$ ), and by 114% in the presence of GF120918 ( $124.54 \pm 11.14$  pmol/mg brain tissue; 95% CI: 102.26, 146.82;  $p < 0.01$ ). Pharmacological inhibition of P-gp and/or Bcrp caused a substantial enhancement in [<sup>3</sup>H]rosuvastatin uptake in animals subjected to BMP-9 treatment. While [<sup>3</sup>H]rosuvastatin accumulation was  $96.43 \pm 9.04$  pmol/mg brain tissue (95% CI: 78.35, 114.51) in the absence of inhibitors, uptake values were increased by 100% in the presence of PSC833 ( $193.08 \pm 11.00$  pmol/mg brain tissue; 95% CI: 171.08, 215.08;  $p < 0.01$ ), by 92% in the presence of FTC ( $185.29 \pm 15.97$  pmol/mg brain tissue; 95% CI: 153.35, 217.23;  $p < 0.01$ ), and by 116% in the presence of GF120918 ( $207.85 \pm 14.56$  pmol/mg brain tissue; 95% CI: 178.73, 236.97;  $p < 0.01$ ). The magnitude of enhancement of [<sup>3</sup>H]rosuvastatin accumulation in rats treated with LDN193189 and BMP-9 was comparable to those obtained in control animals. Specifically, blood-to-brain uptake of [<sup>3</sup>H]rosuvastatin by itself was  $59.22 \pm 7.52$  pmol/mg brain tissue (95% CI: 44.18, 74.26). ABC transporter inhibitors increased this uptake as evidenced by a 77% enhancement in the presence of PSC833 ( $104.78 \pm 13.78$  pmol/mg brain tissue; 95% CI: 77.22, 132.34;  $p < 0.01$ ), by a 67% increase in the presence of FTC ( $98.78 \pm 9.80$  pmol/mg brain tissue; 95% CI: 79.18, 11.38;  $p < 0.01$ ), and by a 102% enhancement in the presence of GF120918 ( $119.68 \pm 14.79$  pmol/mg brain tissue; 95% CI: 90.12, 149.24;  $p < 0.01$ ).

### **BMP-9 Administration Does Not Alter Protein Expression of P-gp or Bcrp in Rat Brain Microvessels**

A critical consideration in the interpretation of our transport data is whether BMP-9 treatment could modulate expression of ABC transporters at the BBB. Therefore, we conducted a time course study to assess the temporal relationship between BMP-9 administration and changes in microvascular expression of P-gp and/or Bcrp. The time points evaluated in these experiments were 0, 2, 4, and 6 h, which were the same time points that we utilized to study the effect of BMP-9 treatment on Oatp1a4 in our previous publication (Abdullahi et al., 2018). Densitometric analysis of our western blot data showed no change in either P-gp or Bcrp protein

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expression at any of the time points that were studied (Figure 9A-B). We confirmed this response at the 6 h time point using the pharmacological ALK1 inhibitor LDN193189 (Figure 9C-D). In these experiments, we observed via densitometric analysis that protein expression of P-gp and Bcrp were the same in brain microvessels isolated from control animals, BMP-9 treated rats, and from animals administered both LDN193189 and BMP-9. Since these data were derived from microvessels isolated from whole brain tissue, we also sought to determine if BMP-9 could alter P-gp and/or Bcrp protein expression in different brain regions. In these experiments, we evaluated protein expression of these critical ABC transporters in cerebral cortex, hippocampus, and cerebellum. In animals treated with BMP-9, we did not observe any change in P-gp or Bcrp expression in microvessels isolated from cortex, hippocampus, or cerebellum as compared to untreated controls (Figure 10A-B). Additionally, LDN193189 administration prior to BMP-9 treatment did not affect protein expression of either efflux transporter. All western blot expression data for P-gp and Bcrp were normalized to tubulin (i.e., the loading control). Taken together, these data suggest that activation of TGF- $\beta$ /ALK1 signaling via a selective agonist such as BMP-9 does not affect BBB protein expression of ABC transporters such as P-gp and Bcrp. The relative magnitude of P-gp and Bcrp protein changes induced by BMP-9 across a 0-6 h time course or in cortex, hippocampus, and cerebellum are presented in Supplemental Data Tables S3-S6.

### **Brain Regional Uptake of Statin Drugs is Increased by GF120918 at the Same Magnitude in Control, BMP-9 Treated, and LDN193189/BMP-9 Treated Animals**

To complement our protein expression results, we conducted *in situ* perfusion experiments using the dual P-gp/Bcrp transport inhibitor GF120918. This strategy enabled us to evaluate the effect of blocking P-gp/Bcrp transport activity on CNS statin uptake in control, BMP-9 treated, and LDN193189/BMP-9 treated rats. For [ $^3$ H]atorvastatin (Figure 11A), brain accumulation in cortical tissue from control rats ( $87.06 \pm 8.68$  pmol/mg brain tissue; 95% CI: 69.70, 104.42) was increased by 37% ( $119.46 \pm 7.96$  pmol/mg brain tissue; 95% CI: 103.54, 135.38;  $p < 0.01$ ). Similarly, brain [ $^3$ H]atorvastatin uptake was increased by 50% ( $p < 0.01$ ) in hippocampus ( $54.28 \pm 9.00$  pmol/mg brain tissue (95% CI: 36.28, 72.28) for control versus  $81.34 \pm 8.05$  pmol/mg protein (95% CI: 65.24, 97.44) for animals perfused with GF120918) and by 41% ( $p < 0.01$ ) in cerebellum ( $30.93 \pm 6.54$  pmol/mg brain tissue (95% CI: 17.85, 44.01) for control versus  $43.60 \pm 6.85$  pmol/mg

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brain tissue (95% CI: 29.90, 57.30) for animals perfused with GF120918) (Figure 11A). Although there was an increase in cortical [<sup>3</sup>H]atorvastatin uptake in response to BMP-9 treatment relative to control ( $132.56 \pm 8.88$  pmol/mg brain tissue; 95% CI: 114.80, 150.32;  $p < 0.01$ ), the magnitude of effect of GF120918 was 32% ( $175.29 \pm 9.01$  pmol/mg brain tissue; 95% CI: 157.27, 193.31;  $p < 0.01$ ), which is comparable to that measured in control animals. In hippocampal and cerebellar brain tissue, BMP-9 administration caused neither a change in [<sup>3</sup>H]atorvastatin uptake nor an alteration in the magnitude of GF120918 effect. In animals administered both LDN193189 and BMP-9, the magnitude of effect of GF120918 on [<sup>3</sup>H]atorvastatin accumulation was restored to levels observed in control (i.e., untreated) rats.

With respect to [<sup>3</sup>H]pravastatin (Figure 11B) and [<sup>3</sup>H]rosuvastatin (Figure 11C), similar effects of GF120918 on statin uptake in cerebral cortex, hippocampus, and cerebellum were detected. In the absence of GF120918, cortical brain uptake of [<sup>3</sup>H]pravastatin was  $69.21 \pm 7.23$  pmol/mg brain tissue (95% CI: 54.75, 83.67) and cortical accumulation of [<sup>3</sup>H]rosuvastatin was  $75.79 \pm 8.14$  pmol/mg brain tissue (95% CI: 59.51, 92.07). In animals treated with BMP-9 but not perfused with GF120918, cortical uptake of both [<sup>3</sup>H]pravastatin and [<sup>3</sup>H]rosuvastatin was significantly increased ( $p < 0.01$ ) to  $99.05 \pm 8.52$  pmol/mg brain tissue (95% CI: 82.01, 116.09) and  $100.79 \pm 7.23$  pmol/mg brain tissue (95% CI: 86.33, 115.25) respectively. Inclusion of GF120918 in our perfusion experiments increased [<sup>3</sup>H]pravastatin uptake in cerebral cortex by 48% in control rats ( $102.78 \pm 8.00$  pmol/mg brain tissue; 95% CI: 86.78, 118.78;  $p < 0.01$ ) and by 31% in BMP-treated animals ( $129.67 \pm 6.79$  pmol/mg brain tissue; 95% CI: 116.09, 143.25;  $p < 0.01$ ) (Figure 11B). Co-administration of LDN193189 and BMP-9 did not lead to an increase in [<sup>3</sup>H]pravastatin uptake in the absence of GF120918 ( $66.44 \pm 8.22$  pmol/mg brain tissue; 95% CI: 50.00, 82.88). Although GF120918 increased [<sup>3</sup>H]pravastatin uptake by 50% ( $99.87 \pm 6.28$  pmol/mg brain tissue; 95% CI: 87.31, 112.43) in animals treated with BMP-9 and LDN193189, this value was not different from [<sup>3</sup>H]pravastatin brain accumulation in animals that were not treated with BMP-9. In the case of [<sup>3</sup>H]rosuvastatin, addition of GF120918 to the perfusate in our *in situ* perfusion system resulted in enhanced uptake in cerebral cortex by 93% in control rats ( $146.58 \pm 11.28$  pmol/mg brain tissue; 95% CI: 124.02, 169.14;  $p < 0.01$ ) and by 98% in BMP-treated animals ( $199.58 \pm 12.57$  pmol/mg brain tissue; 95% CI: 174.44, 224.72;  $p < 0.01$ ) (Figure 11B). Co-administration of LDN193189 and BMP-9 did not lead to an increase in [<sup>3</sup>H]rosuvastatin uptake in the absence of GF120918 ( $70.94 \pm 8.62$

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pmol/mg brain tissue; 95% CI: 53.70, 88.18). GF120918 did increase [<sup>3</sup>H]rosuvastatin uptake by 112% (150.63 ± 14.56 pmol/mg brain tissue; 95% CI: 121.51, 179.75) in animals treated with BMP-9 and LDN193189 but this value was not different from [<sup>3</sup>H]rosuvastatin brain accumulation in animals that were not treated with BMP-9. In both the hippocampus and cerebellum, the magnitude of GF120918 effect on statin drug uptake was not different between control animals, rats administered BMP-9, and animals subjected to LDN193189/BMP-9 treatment (Figure 11B-C).

### **BMP-9 Treatment Does Not Alter Expression of Transmembrane Tight Junction Proteins or Paracellular Permeability in Rat Brain Microvessels**

A thorough understanding of the effects of BMP-9 treatment on CNS disposition of statins requires an assessment of BBB integrity. Indeed, pathophysiological and pharmacological stressors can lead to alterations in tight junction protein expression, an effect that can lead to paracellular “leak” between adjacent endothelial cells and increase the ability of drugs, metabolites, and toxicants to access the brain. With respect to TGF-β/ALK1 signaling, the effect of pathway activation on BBB integrity is unknown. To address this critical issue, we measured protein expression of the transmembrane tight junction proteins claudin-5 and occludin, which perform a “sealing function” at the BBB. We observed that BMP-9 (1.0 μg/kg, i.p.) did not alter protein expression of claudin-5 or monomeric occludin at time points up to 6 h post-administration (Figure 12A). Furthermore, brain uptake of [<sup>14</sup>C]sucrose, a vascular marker that does not cross the intact BBB, was not changed at 2 h, 4 h, or 6 h following i.p. injection of BMP-9 (Figure 12B). Overall, these data indicate that activation of TGF-β/ALK1 signaling by a specific agonist (i.e., BMP-9) does not modulate tight junction protein expression or paracellular permeability (i.e., leak) at the cerebral microvasculature. Taken together with all data presented in this study, we have demonstrated that the effect of BMP-9 on BBB transport mechanisms is highly selective for Oatp1a4 mediated uptake of small molecules including drugs.

### **Discussion**

Brain delivery of therapeutics requires attainment of clinically relevant concentrations at specific molecular targets. Since this objective continues to be a challenge in treatment of neurological disorders, our

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laboratory's research is focused on evaluation of BBB transport mechanisms that can be exploited for this purpose. Much of our work has focused on Oatps, in part because their substrate profile includes statins, drugs that confer neuroprotection independent of their well-established effects on circulating cholesterol (Christophe et al., 2020; Fang et al., 2015; Prinz et al., 2008; Zhang et al., 2009). This is apparent in ischemic stroke treatment where statins are routinely administered during the post-stroke recovery period to promote neurocognitive improvement (Malhotra et al., 2019; Montaner et al., 2016; Ni Chroinin et al., 2013). Such a therapeutic goal requires the ability of statins to cross the BBB. In human endothelial cells, we have shown that luminal-to-abluminal transport of statins is facilitated by OATP1A2 (Ronaldson et al., 2021). Localization and expression of OATP1A2 at the human BBB is supported by immunohistochemical studies (Bronger et al., 2005; Lee et al., 2005) and proteomic analysis (Al-Majdoub et al., 2019).

One of the most critical considerations in the design of preclinical transport studies is the potential for differences in transporter substrate profiles between rodents and humans. An excellent example of this issue comes from a previous paper by Liu and colleagues who reported distinct differences in transport properties of anti-migraine triptan drugs (Liu et al., 2015). Specifically, this work showed no difference in uptake transport for almotriptan, naratriptan, sumatriptan, rizatriptan, and zolmitriptan between human embryonic kidney cells (HEK293) transfected with Oatp1a4 and/or wild-type mice and Oatp1a4(-/-) mice, suggesting that this class of therapeutics are not Oatp1a4 substrates (Liu et al., 2015). In contrast, zolmitriptan was demonstrated to be a transport substrate for OATP1A2, thereby showing a clear difference in triptan transport properties between rodents and humans (Liu et al., 2015). For statins (i.e., atorvastatin, pravastatin, rosuvastatin), both our current study and our previous work (Abdullahi et al., 2018; Brzica et al., 2018a; Ronaldson et al., 2021; Thompson et al., 2014) have demonstrated that these drugs are transport substrates for both OATP1A2 and Oatp1a4. An additional strength of our study is that we have compared Oatp transport properties of statins with different physicochemical properties. We purposely selected one lipophilic statin (i.e., atorvastatin) and two hydrophilic statins (i.e., pravastatin, rosuvastatin) for evaluation. Although our data showed that Oatp-mediated transport is required for brain distribution of all three statins, whole brain uptake and brain exposure was highest for atorvastatin, followed by rosuvastatin and pravastatin. These results likely reflect the fact that passive transcellular diffusion makes a greater contribution to CNS distribution of atorvastatin as compared to more

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hydrophilic molecules such as pravastatin and rosuvastatin. These data are also remarkably congruent with our *in vitro* transport experiments in HUVECs that showed that endothelial cell exposure was greatest for atorvastatin as compared to pravastatin or rosuvastatin (Ronaldson et al., 2021). Furthermore, the consistency between OATP1A2 transport data in human endothelial cells and Oatp1a4 uptake results in female Sprague-Dawley rats emphasizes that preclinical utility of utilizing *in vivo* rodent models to discover and characterize transport properties of statins at the BBB.

We also evaluated the effect of *in vivo* efflux transporter liability for atorvastatin, pravastatin, and rosuvastatin. ATP-binding cassette (ABC) transporters such as P-gp and Bcrp are critical determinants of CNS drug disposition (Dallas et al., 2016; Hernandez-Lozano et al., 2021; Kikuchi et al., 2013). Atorvastatin is an established transport substrate for both P-gp and Bcrp (Hochman et al., 2004; Wang et al., 2019; Yang et al., 2020). Similarly, rosuvastatin is known to be transported by P-gp and Bcrp (Elsby et al., 2016; Li et al., 2011; Safar et al., 2019). In contrast, efflux transporter liability for pravastatin includes Bcrp but not P-gp (Afrouzian et al., 2018; Hirano et al., 2005; Shirasaka et al., 2011). To evaluate the role of P-gp and Bcrp on transport and, by extension, CNS disposition of statins, we used specific pharmacological inhibitors. Accumulation of both atorvastatin and rosuvastatin were increased in the presence of a P-gp inhibitor (i.e., PSC833), a Bcrp inhibitor (i.e., FTC), and a dual P-gp/Bcrp inhibitor (i.e., GF120918). In contrast, blood-to-brain transport of pravastatin was enhanced by FTC and GF120918 only, further confirming that this drug is not a P-gp transport substrate. In a manner consistent with our recent *in vitro* data in HUVECs, P-gp/Bcrp inhibition had the largest effect on rosuvastatin brain uptake as compared to atorvastatin and pravastatin. Furthermore, we also observed that Bcrp is involved in limiting CNS uptake of pravastatin while both P-gp and Bcrp can determine CNS disposition of atorvastatin. Indeed, atorvastatin is known to have comparable efflux transporter liability as rosuvastatin (i.e., cellular uptake restricted by both P-gp and Bcrp) (Hochman et al., 2004; Wang et al., 2019; Yang et al., 2020).

Our laboratory has demonstrated, for the first time, that TGF- $\beta$ /ALK1 signaling is involved in regulation of Oatp1a4 in rat brain microvessels (Abdullahi et al., 2018; Abdullahi et al., 2017) and in human endothelial cells (Ronaldson et al., 2021). This mechanism was uncovered using the established ALK1 agonist BMP-9, which has an EC<sub>50</sub> of 50 pg/ml (David et al., 2008). In our present study, we used a pharmacological dose of

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BMP-9 (1.0  $\mu\text{g}/\text{kg}$ ) to induce Oatp1a4 protein expression in rat brain microvessels at 6 h post-injection so that we could study the effects of TGF- $\beta$ /ALK1 pathway activation on CNS delivery of statins. Additionally, we conducted these experiments in cortex, hippocampus, and cerebellum to determine if Oatp1a4 regulation via TGF- $\beta$ /ALK1 signaling varied across brain regions. Interestingly, activation of the TGF- $\beta$ /ALK1 pathway using BMP-9 resulted in enhanced Oatp1a4 protein expression and Oatp-mediated statin delivery in cerebral cortex but not in hippocampus or cerebellum. Specificity for the TGF- $\beta$ /ALK1 pathway was established using the competitive ALK1 receptor inhibitor LDN193189, which attenuated changes in Oatp1a4 protein expression in cortical microvessels and blood-to-brain uptake of atorvastatin, pravastatin, and rosuvastatin. These findings are relevant to treatment of neurological diseases such as ischemic stroke because injury to the frontal cortex results in both cognitive and motor impairment. Indeed, targeting TGF- $\beta$ /ALK1 signaling for control of Oatp-mediated transport activity could prove to be a viable strategy for optimizing cortical distribution of neuroprotective drugs such as statins in ischemic stroke. Our results indicate that the molecular pharmacology of TGF- $\beta$ /ALK1 signaling in brain microvessels is complex and provides impetus to study this pathway in intricate detail. This concept requires appreciation that the BMP-9 interaction with ALK1 is dependent upon expression and activity of ENG (Luo et al., 2010; van Meeteren et al., 2012). Endoglin binds to ligands such as BMP-9, which forms a protein complex that is then recruited to the ALK1 receptor (Lee et al., 2008; van Meeteren et al., 2012). This mechanism facilitates phosphorylation of ALK1-associated second messengers (i.e., Smad1/5/8) and subsequent activation of TGF- $\beta$ /ALK1 signaling in endothelial cells (Lee et al., 2008; Luo et al., 2010; van Meeteren et al., 2012). Our study provided the first evidence for elevated ENG expression in cortical microvessels as compared to hippocampal or cerebellar microvessels. This observation provides a mechanistic explanation for the BMP-9-mediated increase in Oatp1a4 functional expression in cortex but not the other brain regions that were evaluated. Previous immunodetection of ENG has shown both single and double bands (Lee et al., 2012). In our microvessel samples, the alterations in ENG molecular weight between brain regions may be explained by post-translational modifications. Changes in ENG glycosylation can lead to altered BMP-9 binding and could play a critical role in the observed differences in regional regulation of Oatp1a4 expression (Alt et al., 2012). Indeed, a more thorough understanding of TGF- $\beta$ /ALK1 signaling at the BBB can be achieved by evaluation of ENG expression/activity. Ongoing work in our laboratory is aimed at

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uncovering how ENG and the ALK1 receptor interact with each other to regulate drug transport mechanisms (i.e., Oatp1a4) in cerebral microvessels. With respect to ABC transporters, BMP-9 treatment had no effect on functional expression of either P-gp or Bcrp in cortex, hippocampus, or cerebellum. Additionally, activation of the ALK1 receptor did not alter expression of critical tight junction proteins (i.e., claudin-5, monomeric occludin) or paracellular “leak” at the BBB. These are critical data because they suggest that Oatp1a4 is a unique transporter target for the TGF- $\beta$ /ALK1 pathway.

Overall, this study describes increased functional expression of Oatp1a4 in cortical microvessels following dosing with the selective ALK1 agonist BMP-9. These data also demonstrate, for the first time, that direct activation of the TGF- $\beta$ /ALK1 signaling pathway does not affect protein expression or transport activity of efflux transporters (i.e., P-gp, Bcrp) or BBB tight junction integrity. These observations are critical because they provide support for our hypothesis that TGF- $\beta$ /ALK1 signaling can be exploited to control drug delivery to brain regions relevant to neurological diseases such as the cerebral cortex in ischemic stroke. Furthermore, data presented in this paper strengthen evidence primarily generated by our laboratory that Oatp1a4 can be targeted for delivery of therapeutic drugs used to treat several known neurological disease states.

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## Authorship Contributions

*Participated in research design:* Betterton, Abdullahi, Lochhead, Brzica, Davis, and Ronaldson.

*Conducted experiments:* Betterton, Abdullahi, Williams, Lochhead, Brzica, Stanton, Reddell, Ogbonnaya, and Ronaldson.

*Performed data analysis:* Betterton, Abdullahi, Lochhead, Brzica, and Ronaldson.

*Wrote or contributed to the writing of the manuscript:* Betterton, Davis, and Ronaldson.

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No author has an actual or perceived conflict of interest with the contents of this article.

## Keywords

Blood-Brain Barrier, Drug Delivery, Efflux Transporters, HMG CoA Reductase Inhibitors, Organic Anion Uptake/Efflux, Transforming Growth Factor- $\beta$  Signaling, Vascular Permeability.

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## **Footnotes**

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## Figure Legends

**Figure 1: Brain uptake of Currently Marketed Statins following Treatment with BMP-9.** Effect of BMP-9 treatment on Oatp-mediated uptake of [<sup>3</sup>H]atorvastatin (A), [<sup>3</sup>H]pravastatin (B), and [<sup>3</sup>H]rosuvastatin (C) was measured via a multiple-time uptake *in situ* brain perfusion study. Animals were treated with BMP-9 (1 µg/kg, i.p.; 6 h treatment) in the presence and absence of LDN193189 (10 mg/kg, i.p.; 1 h pre-treatment) and perfused with equal concentrations of radiolabeled statins (0.013 µM total concentration) for 2.5, 5, 10, and 20 minutes. Linear phases of uptake for [<sup>3</sup>H]atorvastatin (D), [<sup>3</sup>H]pravastatin (E), and [<sup>3</sup>H]rosuvastatin (F) are also shown. Results are expressed as mean ± S.D. of six animals per time point. Asterisks represent data points that were significantly different from control animals (\*  $p < 0.05$ ; \*\*  $p < 0.01$ ).

**Figure 2: Area under the Curve (AUC) Analysis of Multiple-Time Uptake Data for Currently Marketed Statin Drugs.** AUC analysis was conducted on multiple-time uptake data for atorvastatin (D), pravastatin (E), and rosuvastatin (F) using the trapezoidal method to obtain an indicator of CNS total drug exposure. Results are expressed as mean ± S.D. of six animals per time point. Asterisks represent data points that were significantly different from control animals (\*  $p < 0.05$ ; \*\*  $p < 0.01$ ).

**Figure 3: Accumulation of Currently Marketed Statins in Whole Brain Tissue is determined by OATP-mediated transport at the BBB.** Effect of an established Oatp transport inhibitor (i.e., FEX) on the uptake of [<sup>3</sup>H]atorvastatin (A), [<sup>3</sup>H]pravastatin (B), and [<sup>3</sup>H]rosuvastatin (C) was assessed by *in situ* brain perfusion studies. Accumulation of statin drugs was measured in animals injected with BMP-9 (1 µg/kg, i.p.; 6 h treatment) in the presence and absence of LDN193189 (10 mg/kg, i.p.; 1 h pre-treatment) where animals were perfused with FEX (100 µM) prior to perfusion with radiolabeled atorvastatin, pravastatin, or rosuvastatin. Results are expressed as mean ± S.D. of six animals per time point. Asterisks represent data points that were significantly different from control animals (\*  $p < 0.05$ ; \*\*  $p < 0.01$ ).

**Figure 4: Brain Vascular Density in Cerebral Cortex, Hippocampus, and Cerebellum.** Ten randomly chosen regions in the cortex, hippocampus, and cerebellum from four different rats (n = 40) were imaged and

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the number of RECA-1 positive capillaries were quantified (A). Representative images of the cortex (B), hippocampus (C), and cerebellum (D) showing RECA-1 immunofluorescence in green and DAPI in blue.

Results are expressed as mean  $\pm$  S.D. of ten vessels per brain region. Asterisks indicate data points that were significantly different from control animals (\*\*\*\*  $p < 0.0001$ ).

**Figure 5: BMP-9 Treatment Increases Oatp1a4 Protein Expression in Cerebral Cortex but not in**

**Hippocampus or Cerebellum. A:** Animals were administered a single dose of BMP-9 (1.0  $\mu\text{g/ml}$ ) or

LDN193189 (10 mg/kg) at 1 h prior to receiving a single dose of BMP-9 (1.0  $\mu\text{g/ml}$ ). After 6 h, animals were

euthanized and brain microvessels isolated and prepared for western blot analysis. Isolated microvessels (10

$\mu\text{g}$ ) were resolved on a 10% SDS-polyacrylamide gel, transferred to a polyvinylidene difluoride membrane and

analyzed for expression of Oatp1a4 or tubulin (i.e., the loading control). Each lane pair on the depicted western

blot corresponds to a microvessel sample obtained from a single experimental animal. **B:** Relative levels of

Oatp1a4 protein expression were determined by densitometric analysis and normalized to tubulin. Results are

expressed as mean  $\pm$  SD from at least two independent experiments, where each treatment group consisted of

normalized data from eight individual animals ( $n = 8$ ). Asterisks represent data points that were significantly

different from control (\*\*  $p < 0.01$ ).

**Figure 6: Expression of ALK1 Receptors and Endoglin (ENG) in Cerebral Cortex, Hippocampus, and**

**Cerebellum following Treatment with BMP-9. A:** Animals were administered a single dose of BMP-9 (1.0

$\mu\text{g/ml}$ ) or LDN193189 (10 mg/kg) at 1 h prior to receiving a single dose of BMP-9 (1.0  $\mu\text{g/ml}$ ). After 6 h,

animals were euthanized and brain microvessels isolated and prepared for western blot analysis. Isolated

microvessels (10  $\mu\text{g}$ ) were resolved on a 10% SDS-polyacrylamide gel, transferred to a polyvinylidene

difluoride membrane and analyzed for expression of ALK1 or tubulin (i.e., the loading control). Each lane pair

on the depicted western blot corresponds to a microvessel sample obtained from a single experimental animal.

**B:** Relative levels of ALK1 protein expression were determined by densitometric analysis and normalized to

tubulin. Results are expressed as mean  $\pm$  SD from at least two independent experiments, where each

treatment group consisted of normalized data from eight individual animals ( $n = 8$ ). **C:** Isolated microvessels

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(10  $\mu\text{g}$ ) were resolved on a 7.5% SDS-polyacrylamide gel, transferred to a polyvinylidene difluoride membrane and analyzed for expression of ENG or tubulin (i.e., the loading control). Each lane pair on the depicted western blot corresponds to a microvessel sample obtained from a single experimental animal. **D:** Relative levels of ENG protein expression were determined by densitometric analysis and normalized to tubulin. Results are expressed as mean  $\pm$  SD where each treatment group consisted of normalized data from four individual animals ( $n = 4$ ). Asterisks represent data points that were significantly different from control (\*\*  $p < 0.01$ ).

**Figure 7: Oatp-mediated Statin Accumulation is Increased in Cerebral Cortex, but not in Hippocampus**

**or Cerebellum, following BMP-9 Treatment.** Blood-to-brain transport of [ $^3\text{H}$ ]atorvastatin (A), [ $^3\text{H}$ ]pravastatin (B), and [ $^3\text{H}$ ]rosuvastatin (C) were measured by the *in situ* brain perfusion approach. Animals were treated with BMP-9 (1  $\mu\text{g}/\text{kg}$ , i.p.; 6 h treatment) in the presence and absence of LDN193189 (10  $\text{mg}/\text{kg}$ , i.p.; 1 h pre-treatment) and perfused with equal concentrations of radiolabeled statins (0.013  $\mu\text{M}$  total concentration). Each experiment was conducted in the presence and absence of FEX (100  $\mu\text{M}$ ) to confirm specificity of Oatp-mediated transport. At the conclusion of the experiment, concentrations of each currently marketed statin were measured in cerebral cortex, hippocampus, and cerebellum. Results are expressed as mean  $\pm$  S.D. of six animals per time point. Asterisks represent data points that were significantly different from control animals (\*  $p < 0.05$ ; \*\*  $p < 0.01$ ).

**Figure 8: P-gp and Bcrp are Critical Determinants of BBB Permeability to Currently Marketed Statin**

**Drugs.** Brain uptake of [ $^3\text{H}$ ]atorvastatin (A), [ $^3\text{H}$ ]pravastatin (B), and [ $^3\text{H}$ ]rosuvastatin (C) were measured by the *in situ* brain perfusion approach. Animals were treated with BMP-9 (1  $\mu\text{g}/\text{kg}$ , i.p.; 6 h treatment) in the presence and absence of LDN193189 (10  $\text{mg}/\text{kg}$ , i.p.; 1 h pre-treatment) and perfused with equal concentrations of radiolabeled statins (0.013  $\mu\text{M}$  total concentration). Each experiment was conducted in the presence and absence of PSC833 (5  $\mu\text{M}$ ), FTC (10  $\mu\text{M}$ ), or GF120918 (10  $\mu\text{M}$ ) to limit the involvement of P-gp and/or Bcrp in the brain microvascular transport of statin drugs. Results are expressed as mean  $\pm$  S.D. of six animals per time point. Asterisks represent data points that were significantly different from control animals (\*  $p < 0.05$ ; \*\*  $p < 0.01$ ).

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**Figure 9: P-gp and Bcrp Expression in Rat Brain Microvessels is not altered in response to BMP-9**

**Treatment. A:** Animals were administered a single dose of BMP-9 (1.0 µg/ml). After 2 h, 4 h, or 6 h, animals were euthanized and brain microvessels isolated and prepared for western blot analysis. Isolated microvessels (10 µg) were resolved on a 10-% or 4-12% SDS-polyacrylamide gel, transferred to a polyvinylidene difluoride membrane and analyzed for expression of P-gp, Bcrp, or tubulin (i.e., the loading control). Each lane pair on the depicted western blot corresponds to a microvessel sample obtained from a single experimental animal. Relative levels of P-gp and Bcrp protein expression were determined by densitometric analysis and normalized to tubulin. Results are expressed as mean ± SD from at least two independent experiments, where each treatment group consisted of normalized data from six individual animals (n = 6). Asterisks represent data points that were significantly different from control (\*\*  $p < 0.01$ ). **B:** Animals were administered a single dose of BMP-9 (1.0 µg/ml) or LDN193189 (10 mg/kg) at 1 h prior to receiving a single dose of BMP-9 (1.0 µg/ml). After 6 h, animals were euthanized and brain microvessels isolated and prepared for western blot analysis. Isolated microvessels (10 µg) were resolved on a 10% or 4-12% SDS-polyacrylamide gel, transferred to a polyvinylidene difluoride membrane and analyzed for expression of P-gp, Bcrp, or tubulin (i.e., the loading control). Each lane pair on the depicted western blot corresponds to a microvessel sample obtained from a single experimental animal. Relative levels of P-gp and Bcrp protein expression were determined by densitometric analysis and normalized to tubulin. Results are expressed as mean ± SD from at least two independent experiments, where each treatment group consisted of normalized data from six individual animals (n = 6). Asterisks represent data points that were significantly different from control (\*\*  $p < 0.01$ ).

**Figure 10: P-gp and Bcrp Expression in Cerebral Cortex, Hippocampus, and Cerebellum following**

**BMP-9 Treatment.** Animals were administered a single dose of BMP-9 (1.0 µg/ml) or LDN193189 (10 mg/kg) at 1 h prior to receiving a single dose of BMP-9 (1.0 µg/ml). After 6 h, animals were euthanized and brain microvessels isolated and prepared for western blot analysis. Isolated microvessels (10 µg) were resolved on a 10% or 4-12% SDS-polyacrylamide gel, transferred to a polyvinylidene difluoride membrane and analyzed for expression of P-gp (**A**) or Bcrp (**B**) in cerebral cortex, hippocampus, or cerebellum (**C**). Each lane pair on the

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depicted western blot corresponds to a microvessel sample obtained from a single experimental animal.

Relative levels of P-gp or Bcrp protein expression were determined by densitometric analysis and normalized to tubulin (i.e., the loading control). Results are expressed as mean  $\pm$  SD from at least two independent experiments, where each treatment group consisted of normalized data from eight individual animals ( $n = 8$ ). Asterisks represent data points that were significantly different from control (\*\*  $p < 0.01$ ).

**Figure 11: P-gp and Bcrp have a Similar Effect on Statin Disposition in Cerebral Cortex, Hippocampus, and Cerebellum.** Brain uptake of [ $^3\text{H}$ ]atorvastatin (A), [ $^3\text{H}$ ]pravastatin (B), and [ $^3\text{H}$ ]rosuvastatin (C) were measured by the *in situ* brain perfusion approach. Animals were treated with BMP-9 (1  $\mu\text{g}/\text{kg}$ , i.p.; 6 h treatment) in the presence and absence of LDN193189 (10  $\text{mg}/\text{kg}$ , i.p.; 1 h pre-treatment) and perfused with a radiolabeled statins (0.013  $\mu\text{M}$  total concentration). Each experiment was conducted in the presence and absence of GF120918 (10  $\mu\text{M}$ ) to determine involvement of the critical BBB efflux transporters P-gp and Bcrp in the brain microvascular transport of statin drugs. At the conclusion of this experiment, drug concentrations were measured in cerebral cortex, hippocampus, and cerebellum to evaluate brain regional differences in statin transport. Results are expressed as mean  $\pm$  S.D. of six animals per time point. Asterisks represent data points that were significantly different from control animals (\*  $p < 0.05$ ; \*\*  $p < 0.01$ ).

**Figure 12: BMP-9 Treatment does not Alter BBB Expression of Critical Tight Junction Proteins or Paracellular Permeability in Rat Brain Microvessels. A:** Animals were administered a single dose of BMP-9 (1  $\mu\text{g}/\text{kg}$ , i.p.). Following the time course of 2-6 hours, animals were euthanized and brain microvessels were isolated for western blot analysis. Isolated microvessels (10  $\mu\text{g}$ ) were resolved on a 4-12% SDS-polyacrylamide gel, transferred to a PVDF membrane, and analyzed for expression of claudin-5 and occludin monomers. Relative levels of claudin-5 and occludin monomers were determined by densitometric analysis and normalized to  $\text{Na}^+/\text{K}^+$  ATPase. Western blot data are reported as mean  $\pm$  S.D. of three independent experiments where each treatment group consisted of three individual animals ( $n = 3$ ). Asterisks represent data points that were significantly different from control (\*  $p < 0.05$ ; \*\*  $p < 0.01$ ). **B:** Animals were administered a single dose of BMP-9 (1  $\mu\text{g}/\text{kg}$ , i.p.). After 2-6 hours BMP-9 exposure, paracellular permeability to

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[<sup>14</sup>C]sucrose, a vascular marker that does not typically cross the BBB, was measured by *in situ* brain perfusion.

Results are expressed as mean  $\pm$  S.D. of six animals per time point. Asterisks represent data points that were significantly different from control animals (\*  $p < 0.05$ ; \*\*  $p < 0.01$ ).

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**Table 1: Kinetic Analysis of Multiple-Times Uptake Data of Oatp-Mediated Statin Transport in Rat Brain**

	Atorvastatin			Pravastatin			Rosuvastatin		
	V <sub>Br</sub> (pmol/mg)	K <sub>IN</sub> (pmol/mg min)	k <sub>out</sub> (min <sup>-1</sup> )	V <sub>Br</sub> (pmol/mg)	K <sub>IN</sub> (pmol/mg min)	k <sub>out</sub> (min <sup>-1</sup> )	V <sub>Br</sub> (pmol/mg)	K <sub>IN</sub> (pmol/mg min)	k <sub>out</sub> (min <sup>-1</sup> )
<b>Control</b>	82.62 ± 5.55 (95% CI: 71.52, 93.72)	9.91 ± 0.22 (95% CI: 9.47, 10.35)	0.12 ± 0.04 (95% CI: 0.04, 0.20)	69.41 ± 4.50 (95% CI: 60.41, 78.41)	7.64 ± 0.18 (95% CI: 7.28, 8.00)	0.11 ± 0.04 (95% CI: 0.03, 0.19)	75.37 ± 5.12 (95% CI: 65.13, 85.61)	7.54 ± 0.10 (95% CI: 7.34, 7.74)	0.10 ± 0.02 (95% CI: 0.06, 0.14)
<b>BMP-9 (1.0 µg/kg)</b>	115.00 ± 6.52** (95% CI: 101.96, 128.04)	18.40 ± 0.26** (95% CI: 17.88, 18.92)	0.16 ± 0.04 (95% CI: 0.08, 0.24)	92.43 ± 7.12** (95% CI: 78.19, 106.67)	17.56 ± 0.57** (95% CI: 16.42, 18.70)	0.19 ± 0.08 (95% CI: 0.03, 0.35)	101.40 ± 2.87** (95% CI: 95.66, 107.14)	18.25 ± 0.03** (95% CI: 18.19, 18.31)	0.18 ± 0.03 (95% CI: 0.12, 0.24)
<b>BMP-9 (1.0 µg/kg) + LDN193189 (10 mg/kg)</b>	77.07 ± 9.10 (95% CI: 58.87, 95.27)	10.79 ± 0.36 (95% CI: 10.07, 11.51)	0.14 ± 0.04 (95% CI: 0.06, 0.22)	73.08 ± 4.81 (95% CI: 63.46, 92.32)	7.31 ± 0.14 (95% CI: 7.03, 7.59)	0.10 ± 0.03 (95% CI: 0.04, 0.16)	71.74 ± 5.20 (95% CI: 61.34, 82.14)	7.89 ± 0.10 (95% CI: 7.69, 8.09)	0.11 ± 0.02 (95% CI: 0.07, 0.15)

\*\* p < 0.01 relative to control

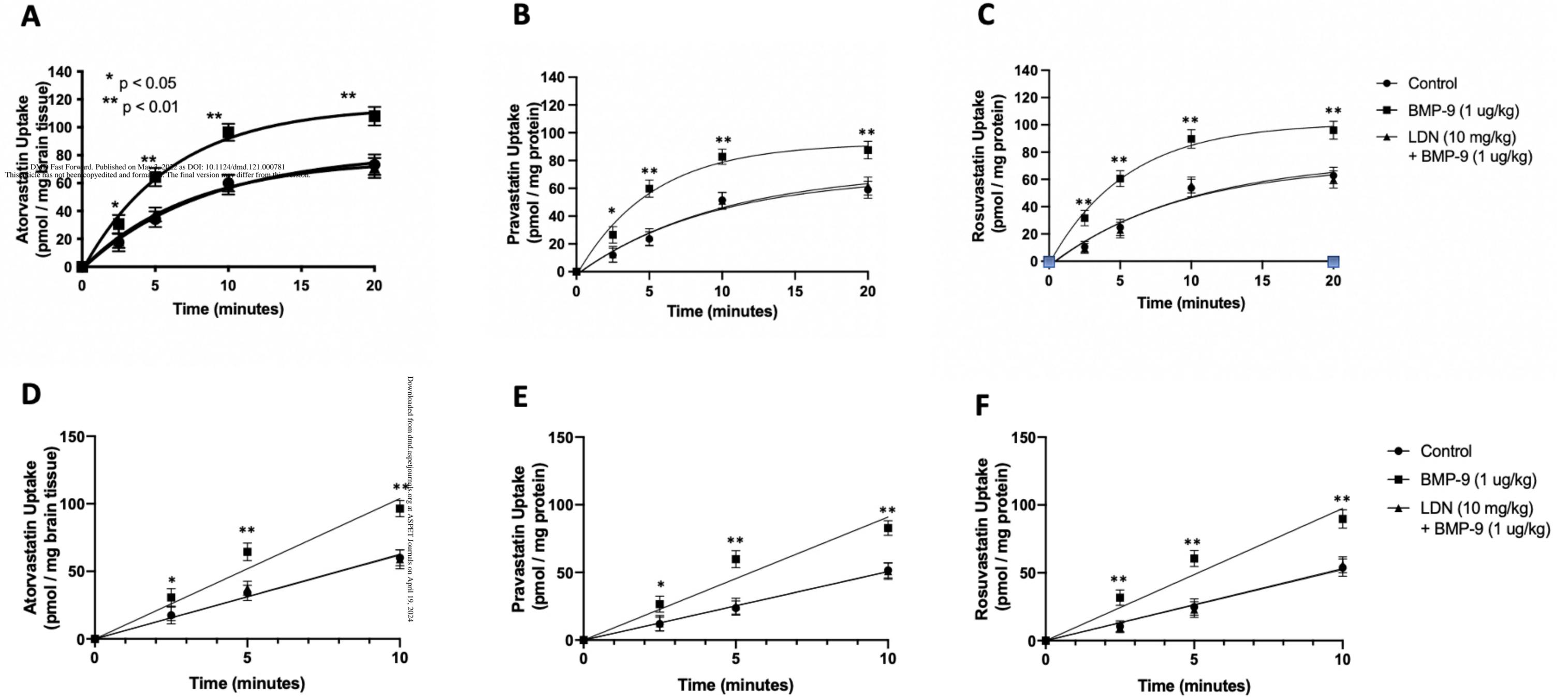


Figure 1

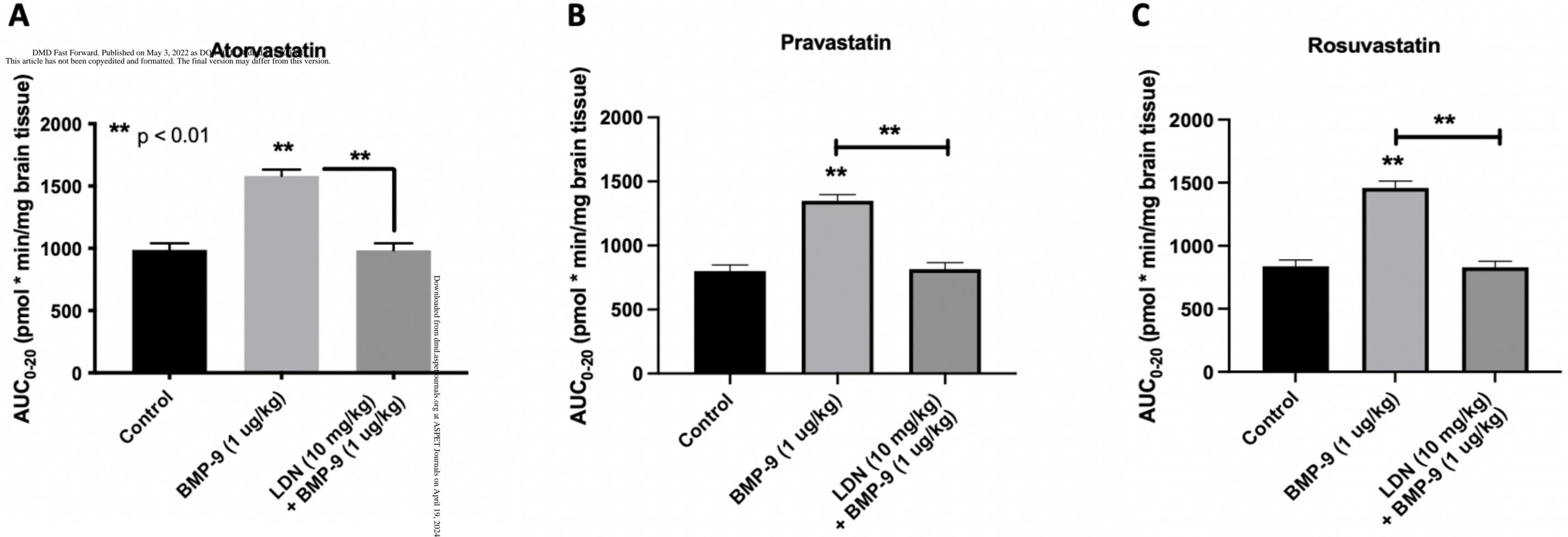
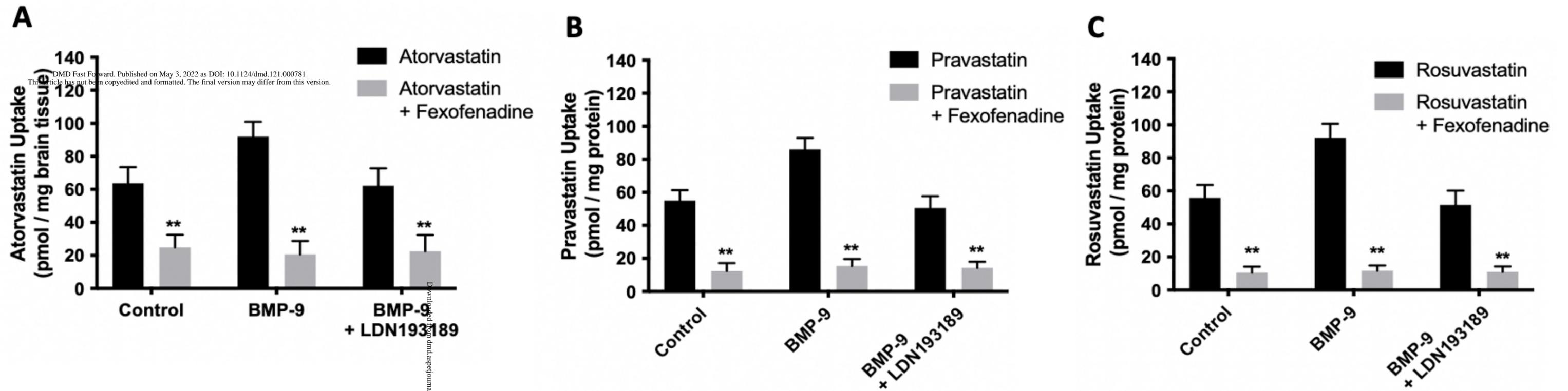


Figure 2



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Figure 3

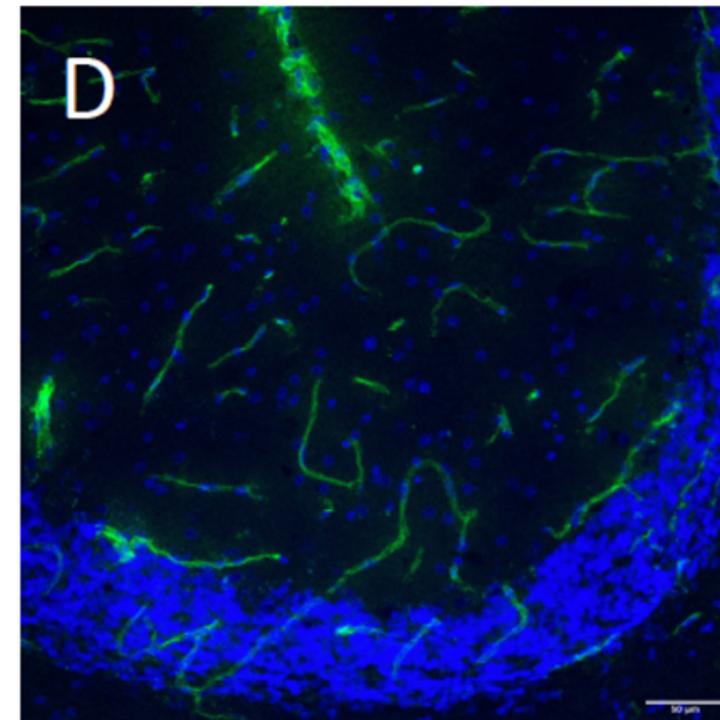
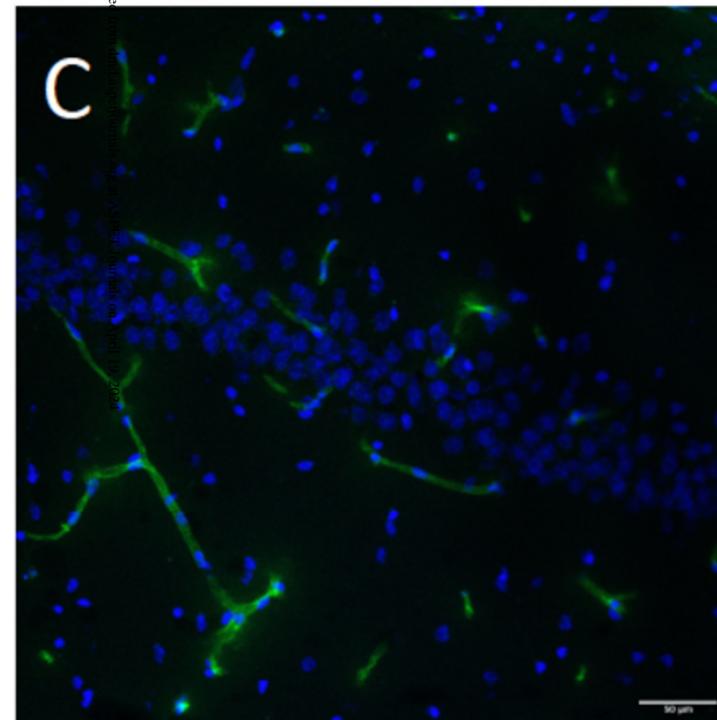
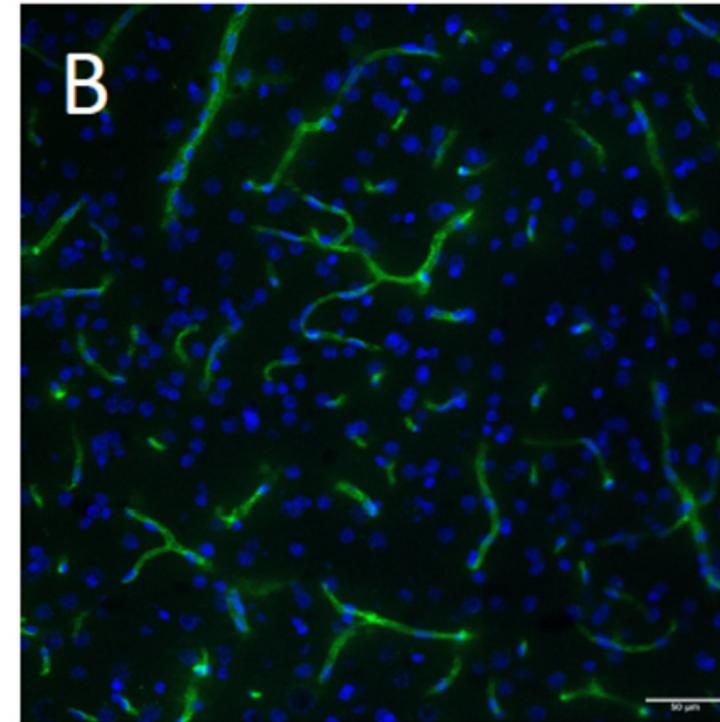
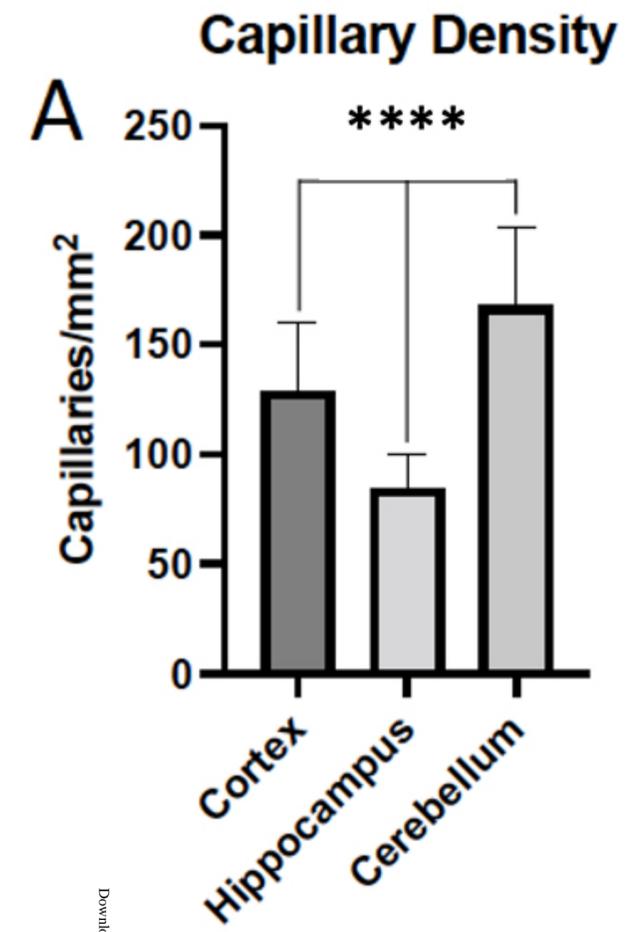
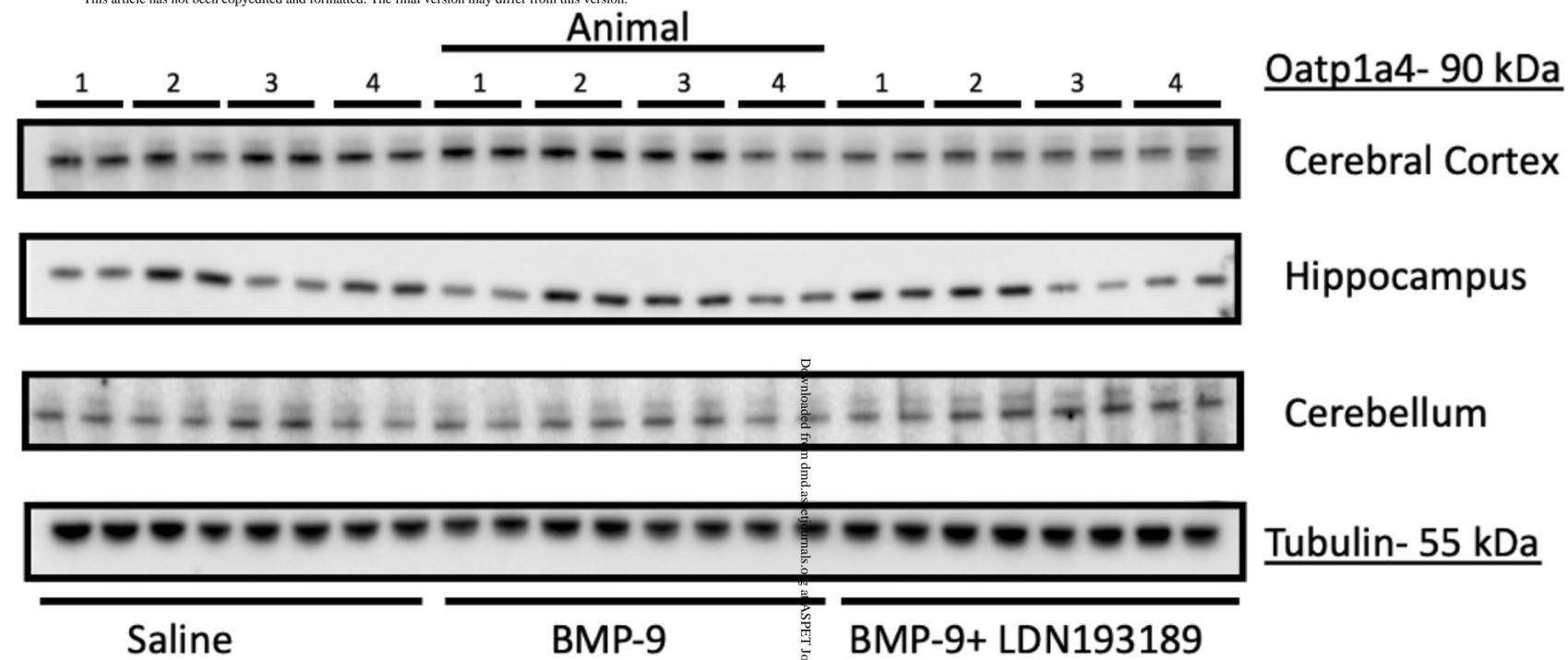
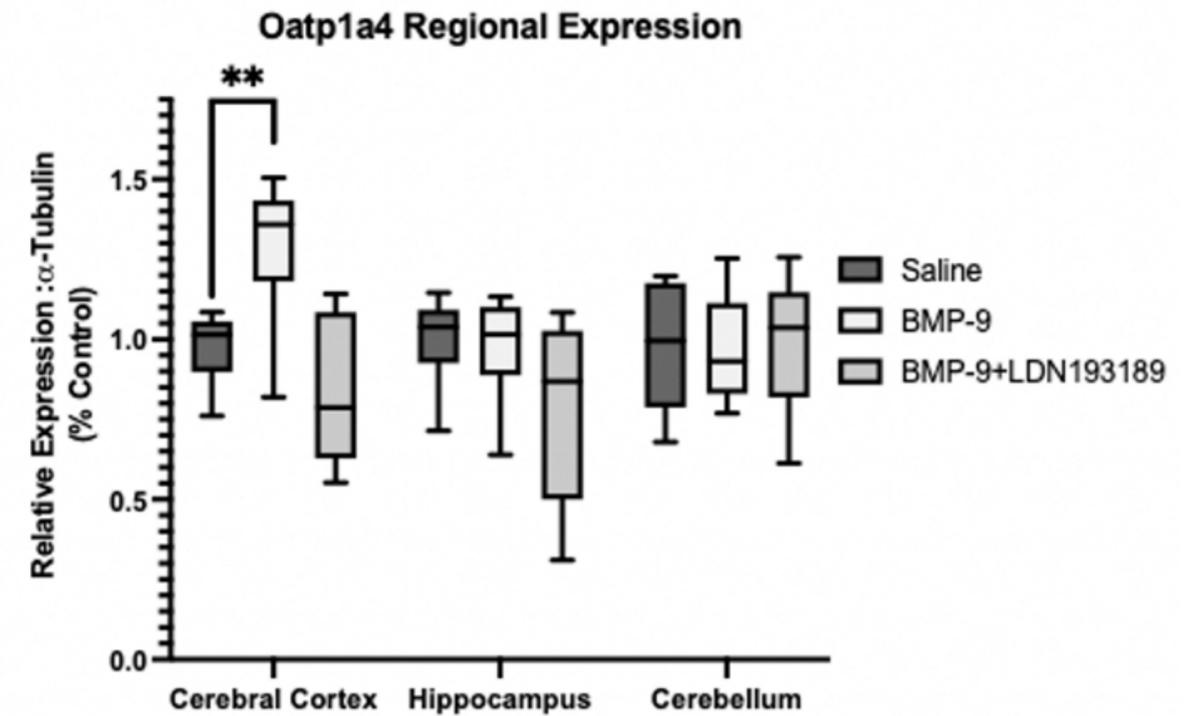


Figure 4

**A**

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**B****Figure 5**

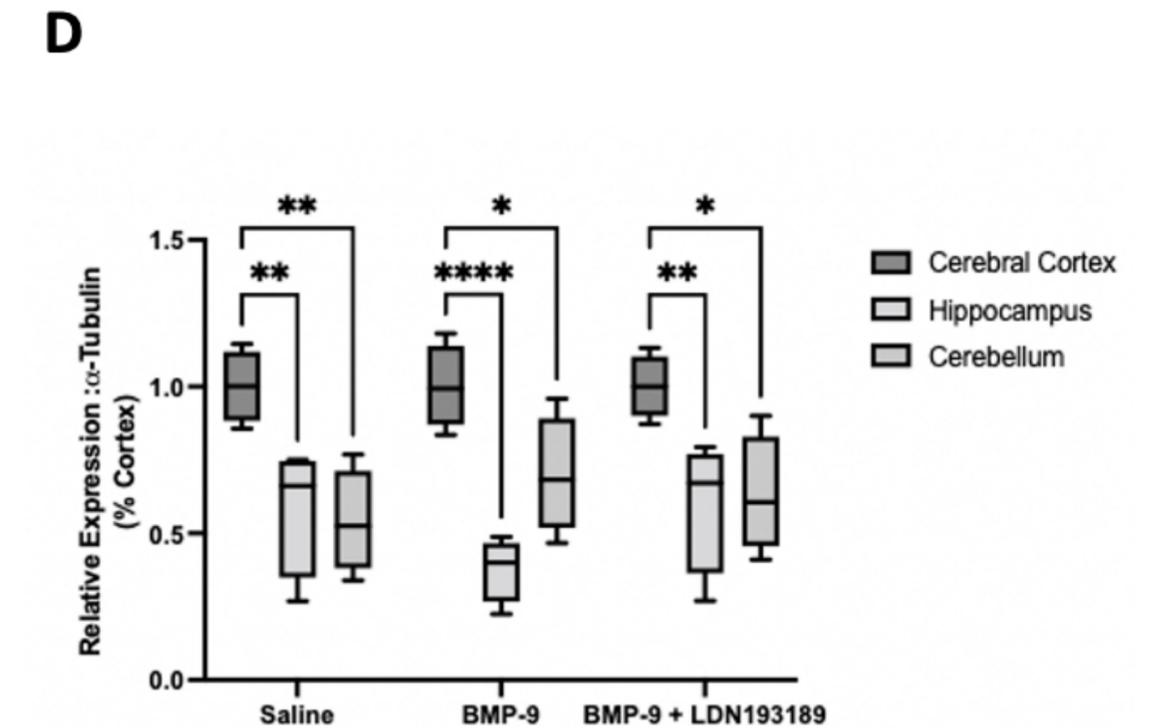
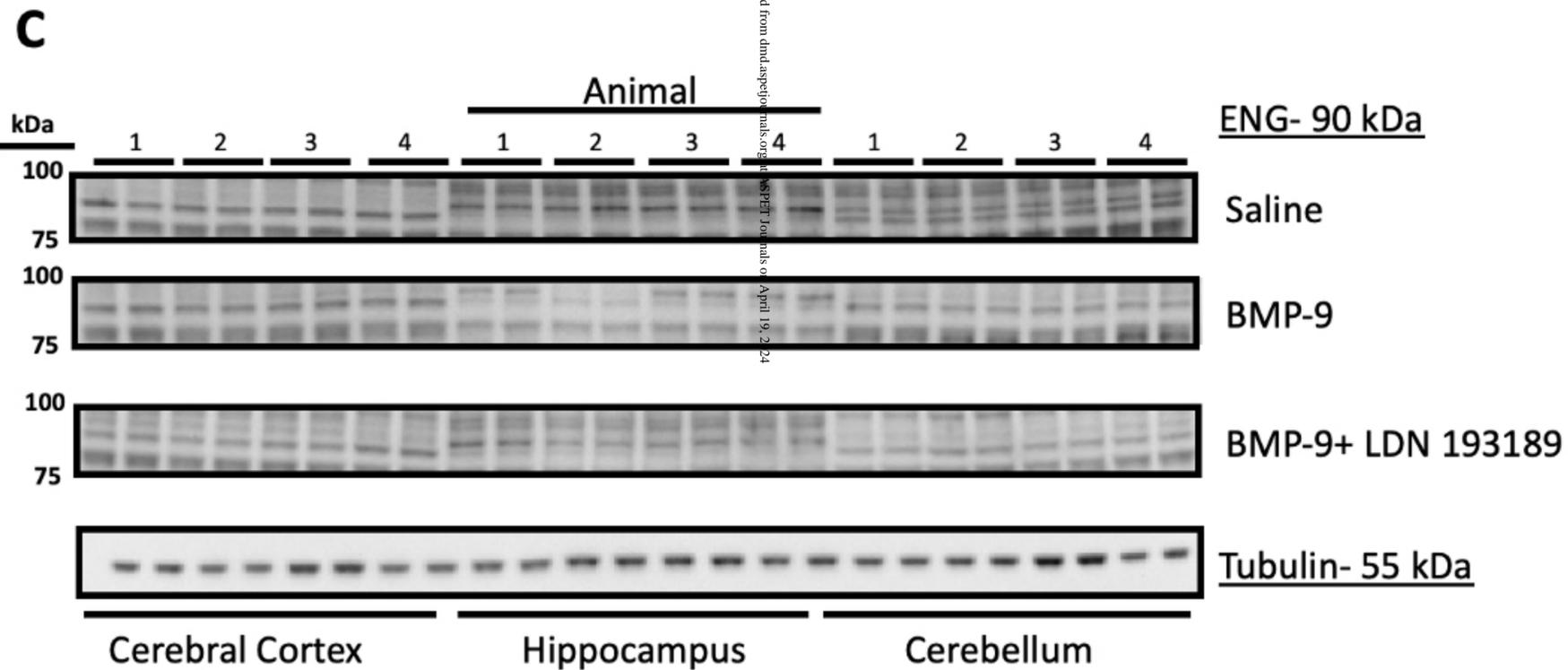
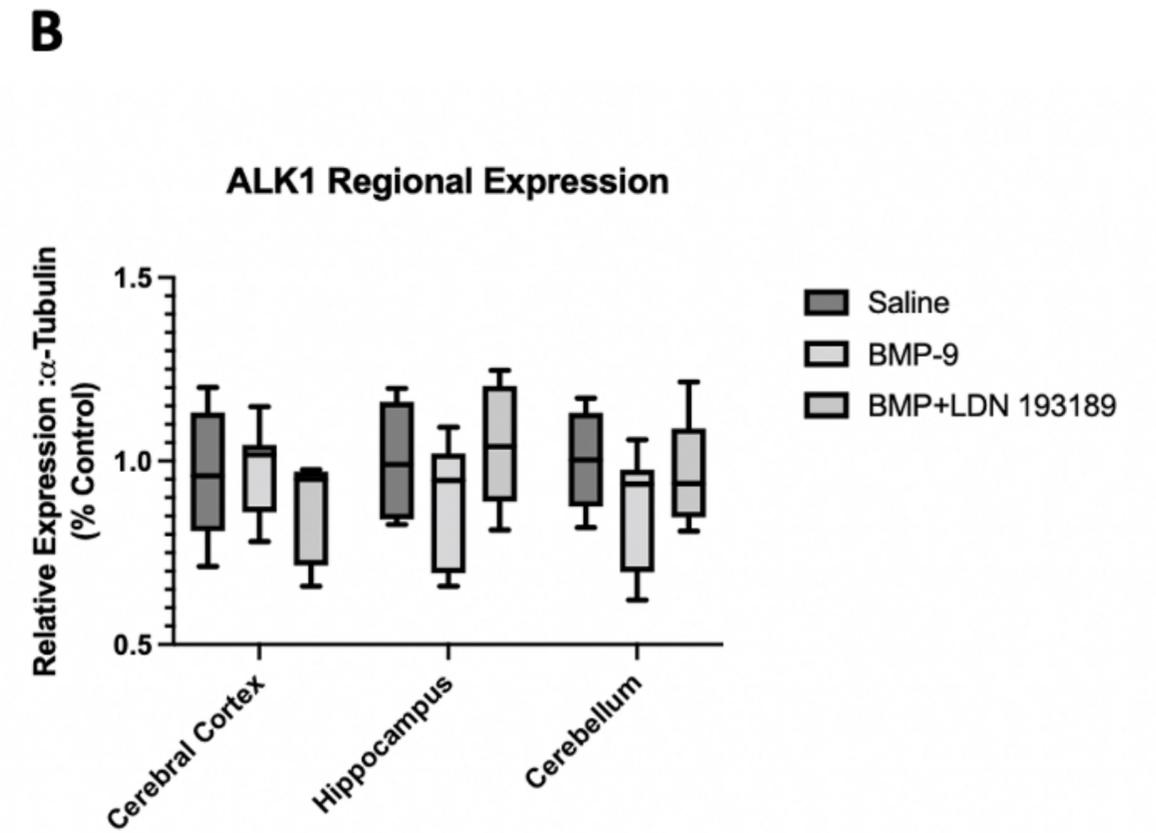
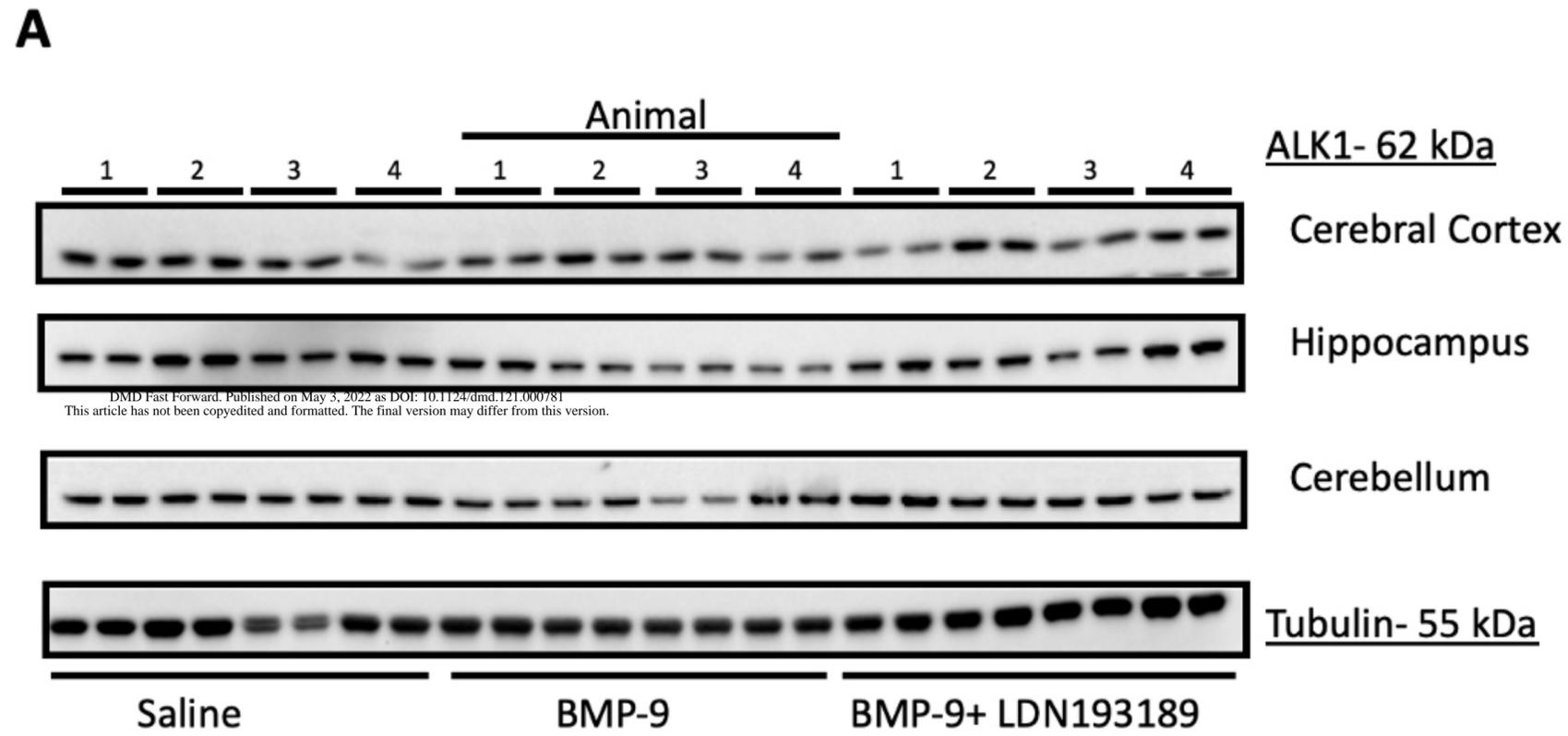
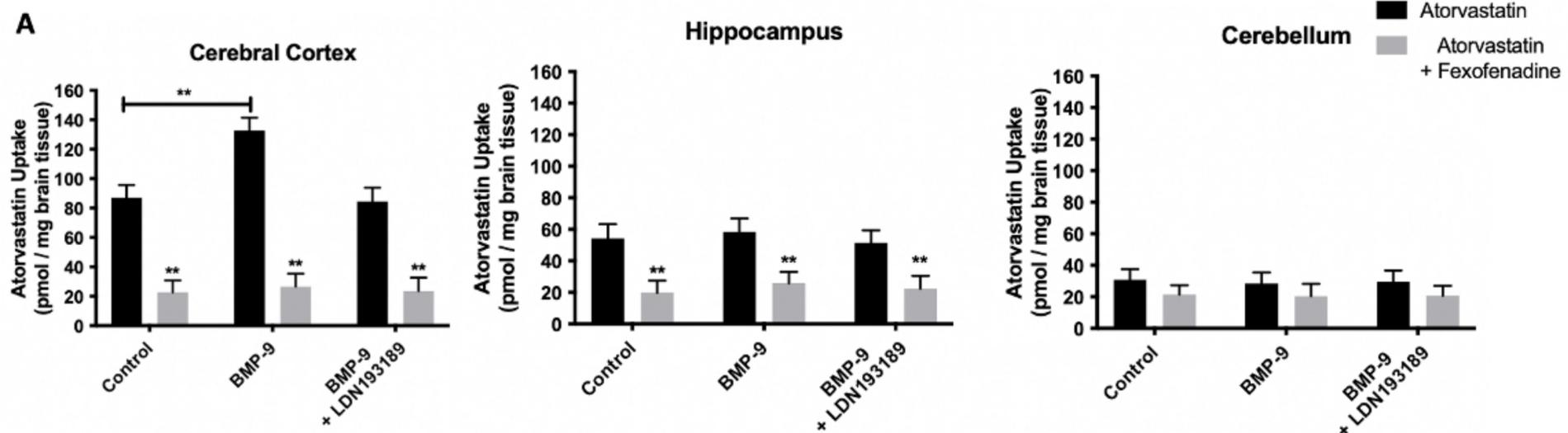


Figure 6



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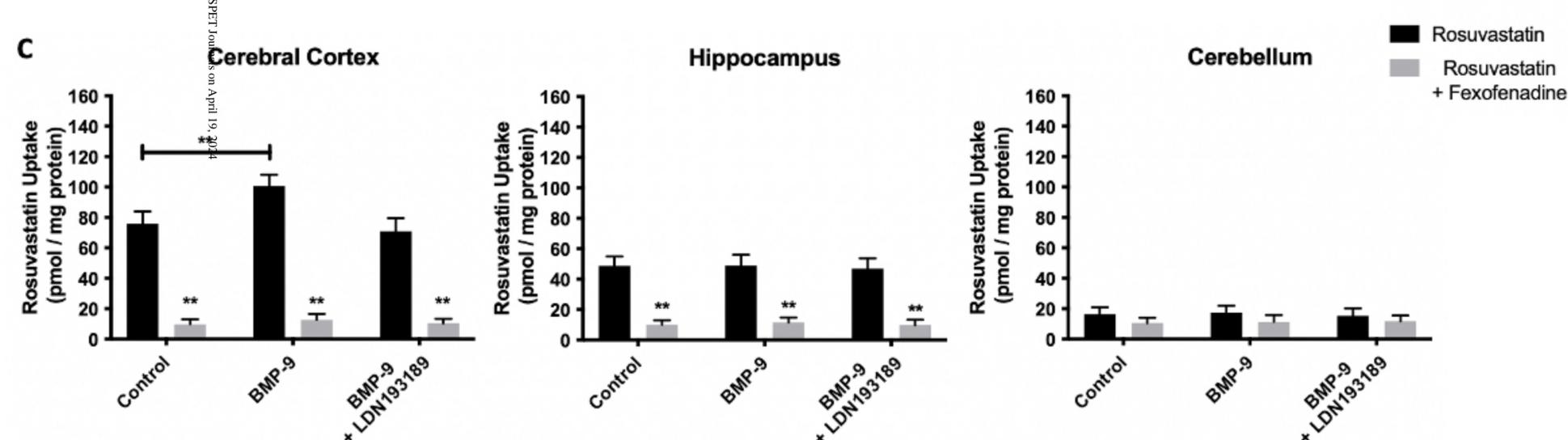
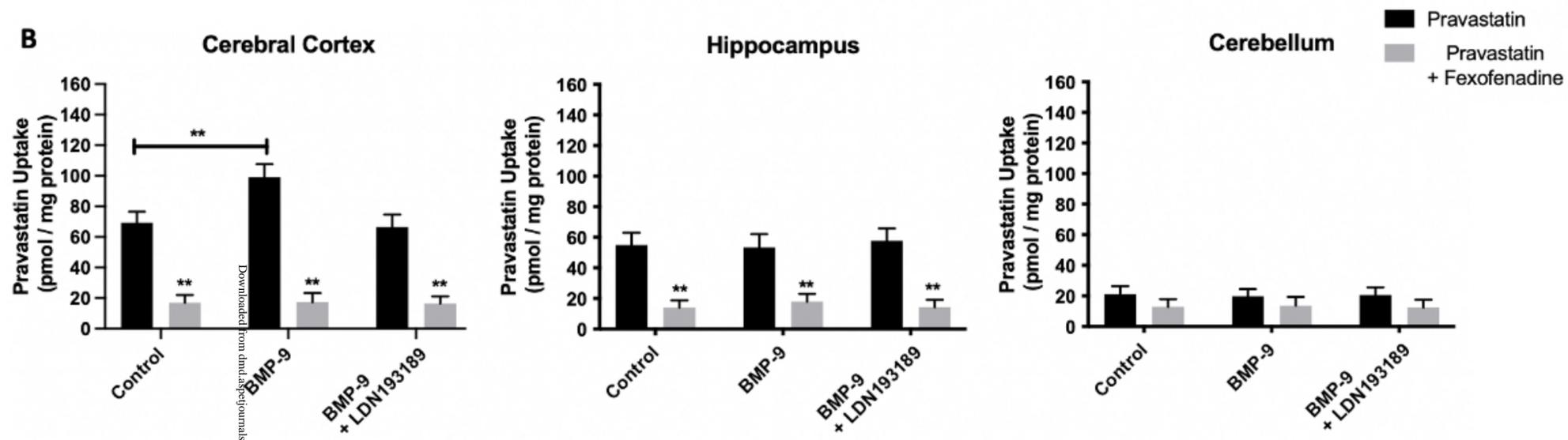
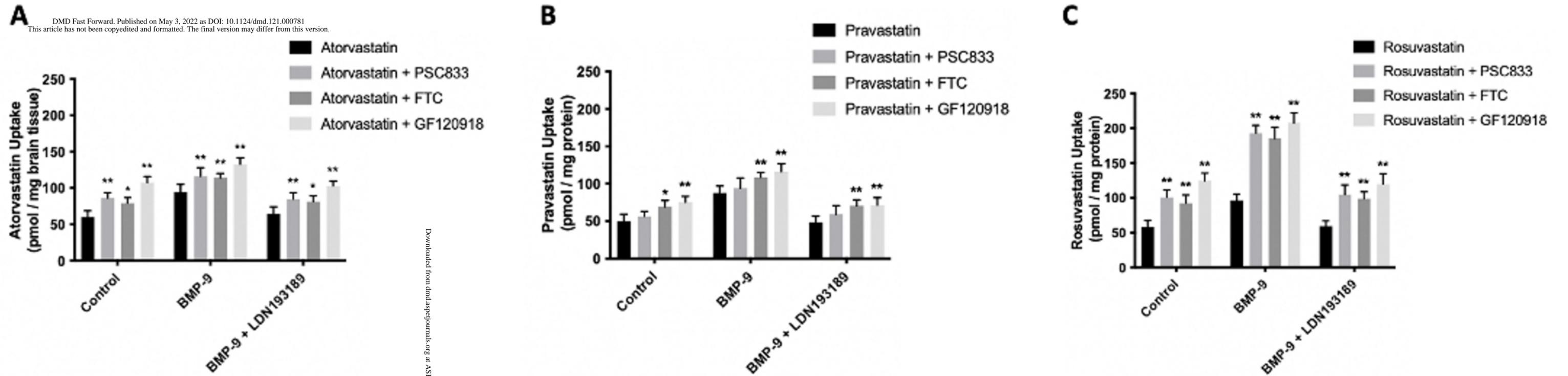


Figure 7



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Figure 8

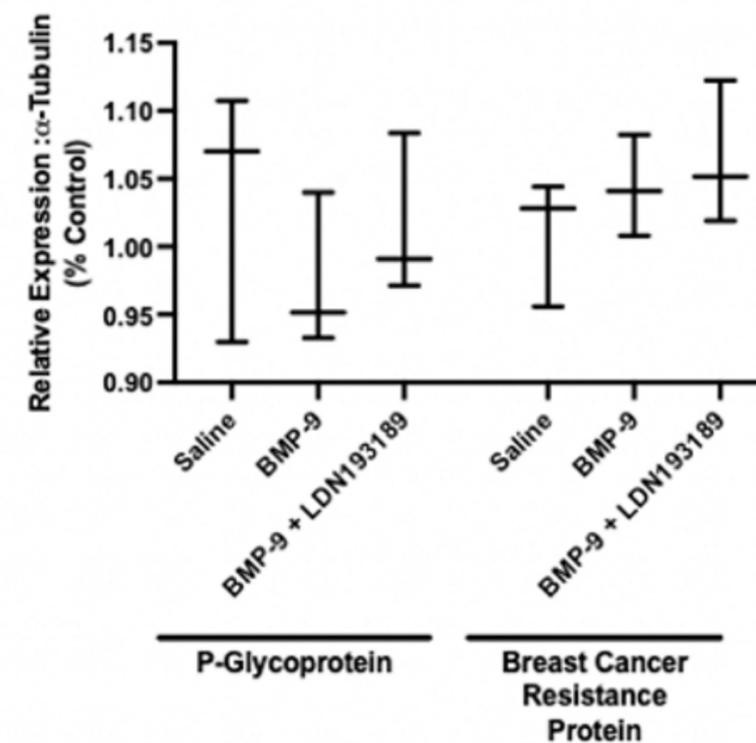
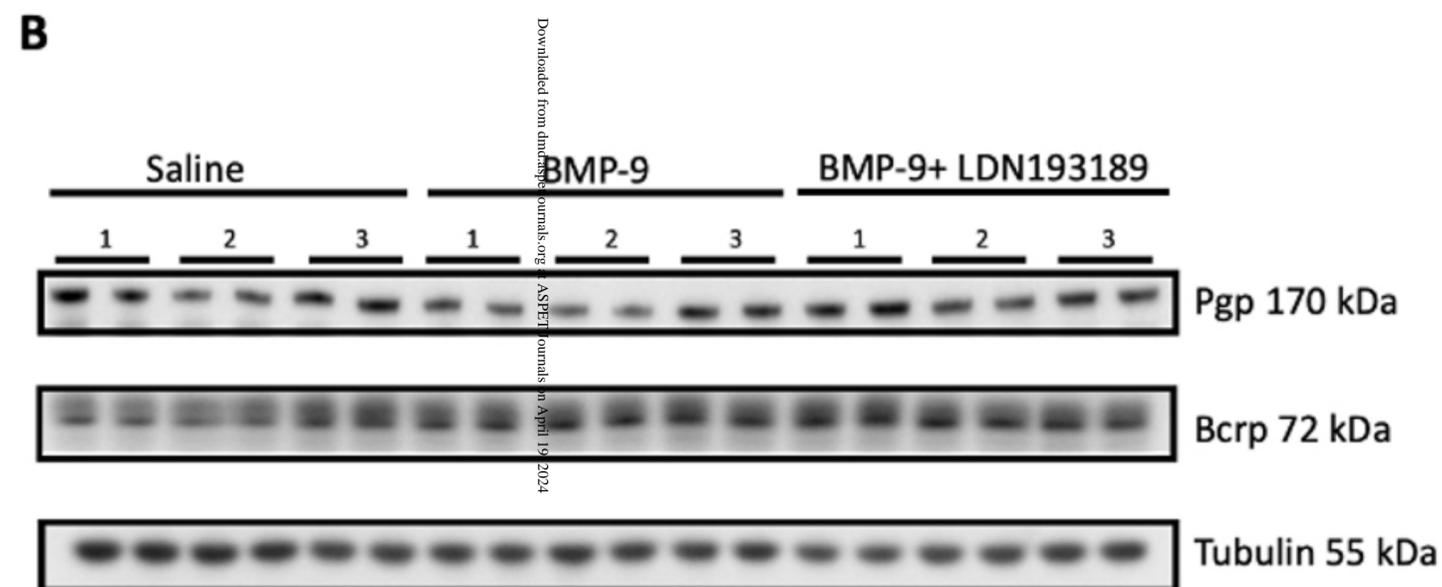
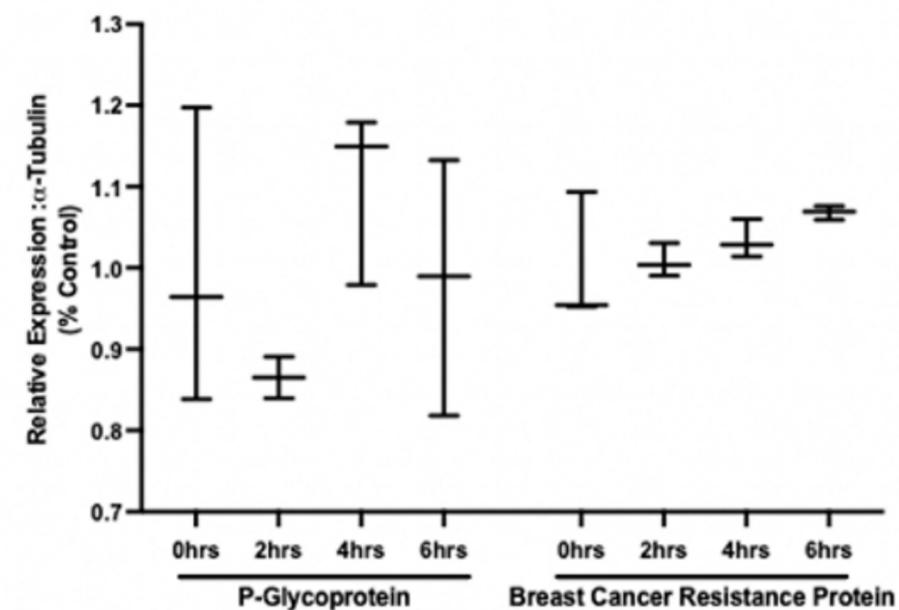
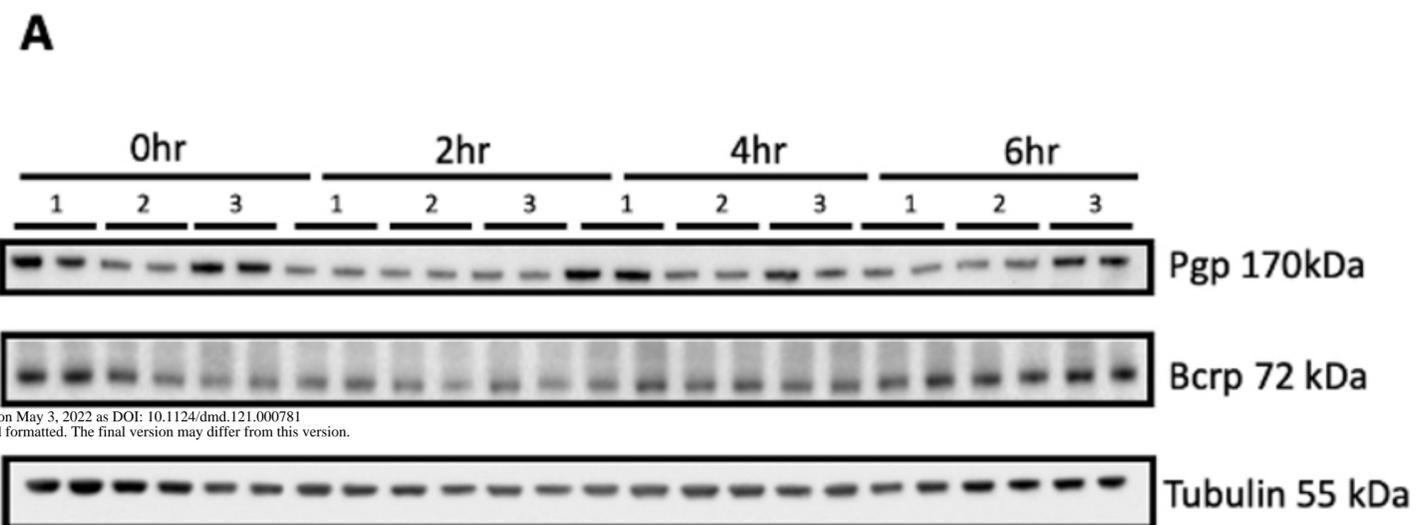


Figure 9

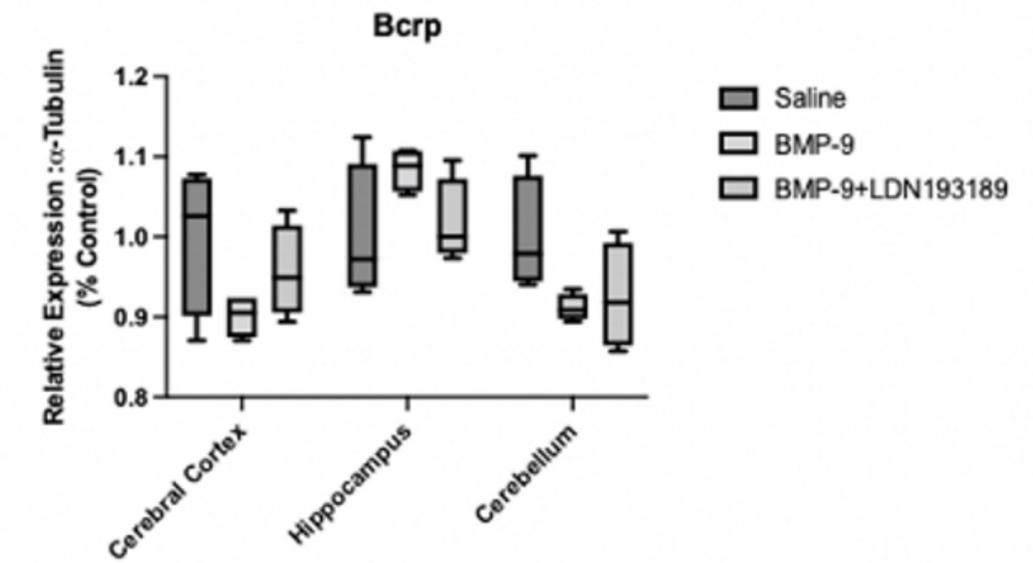
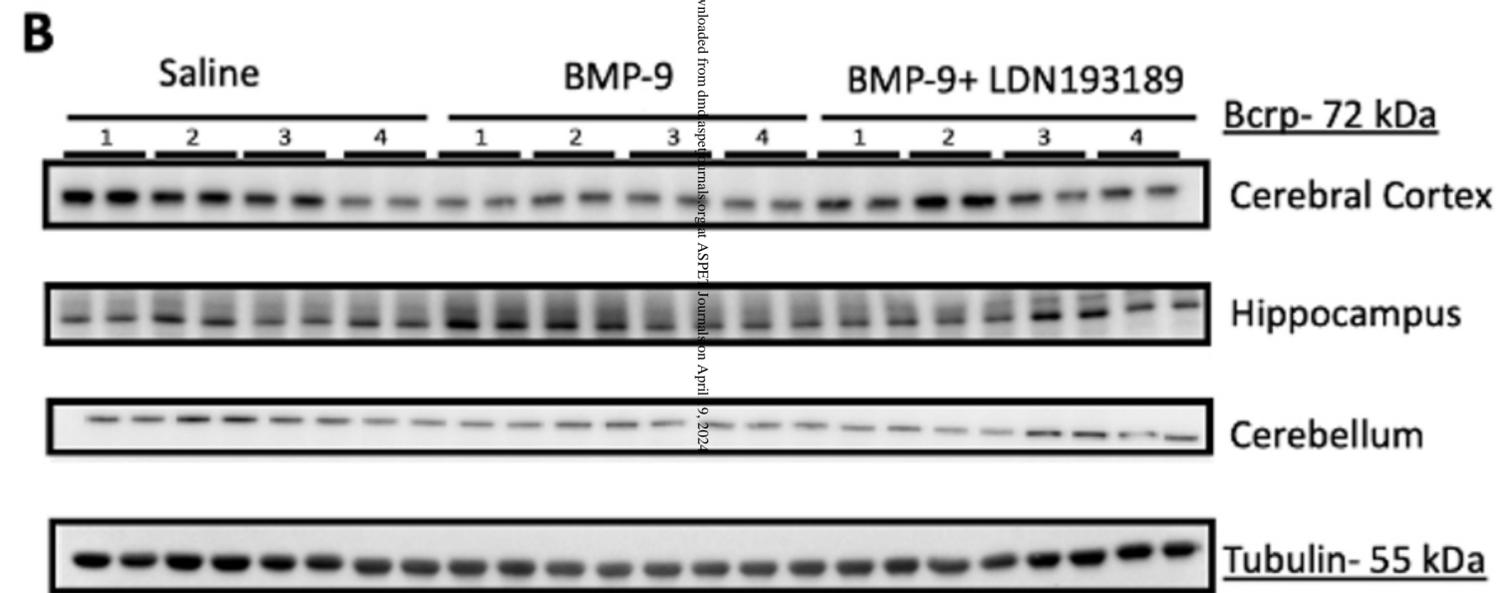
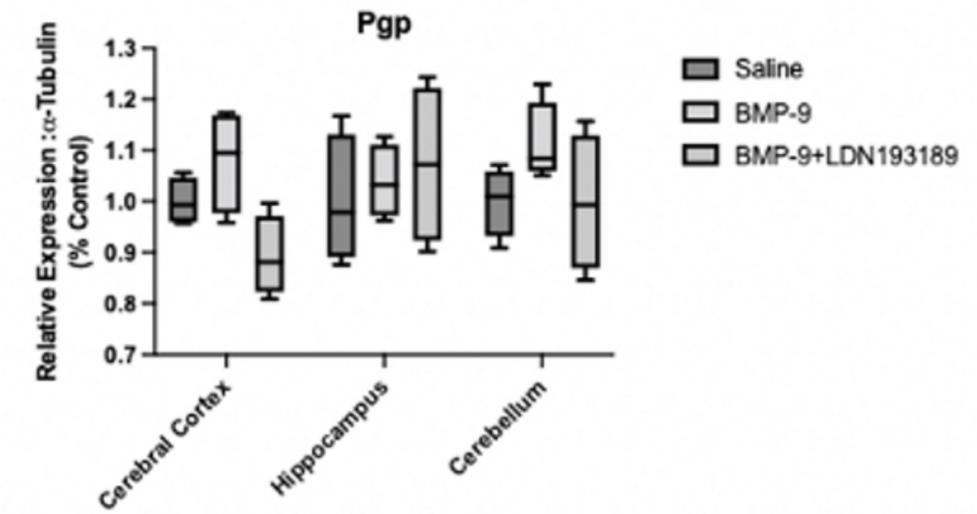
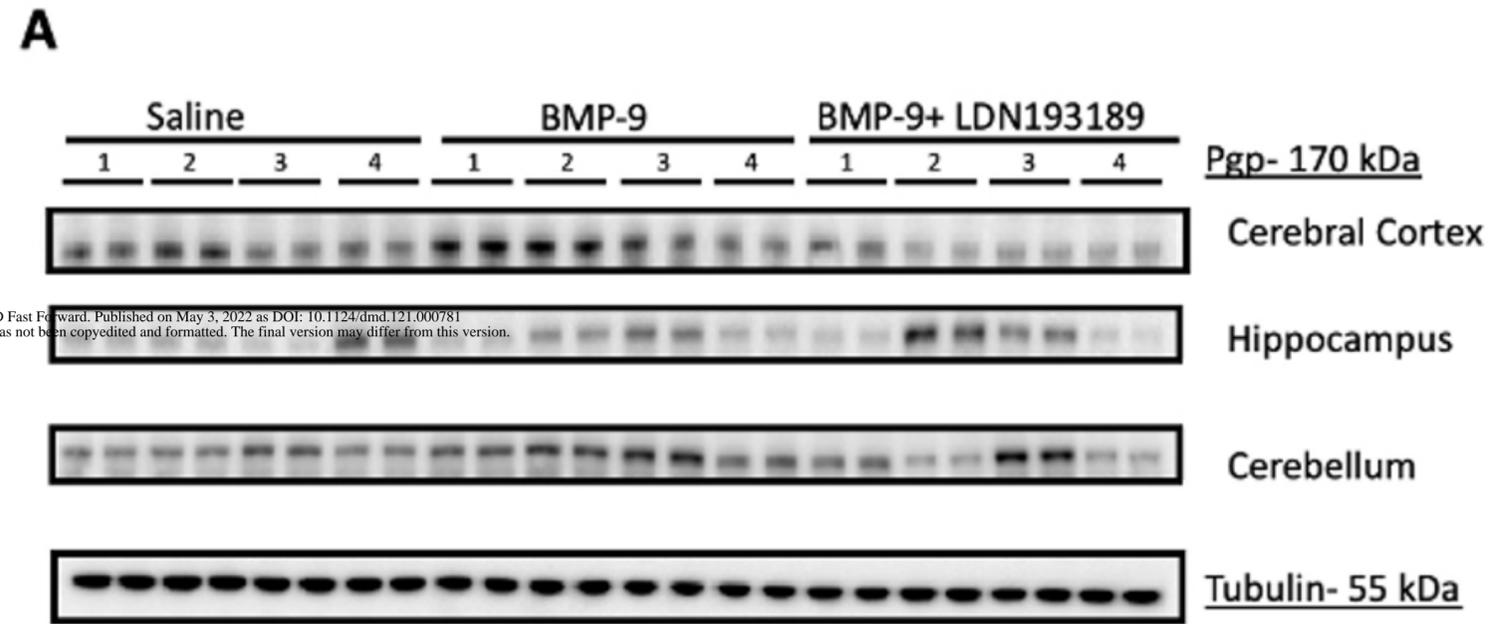


Figure 10

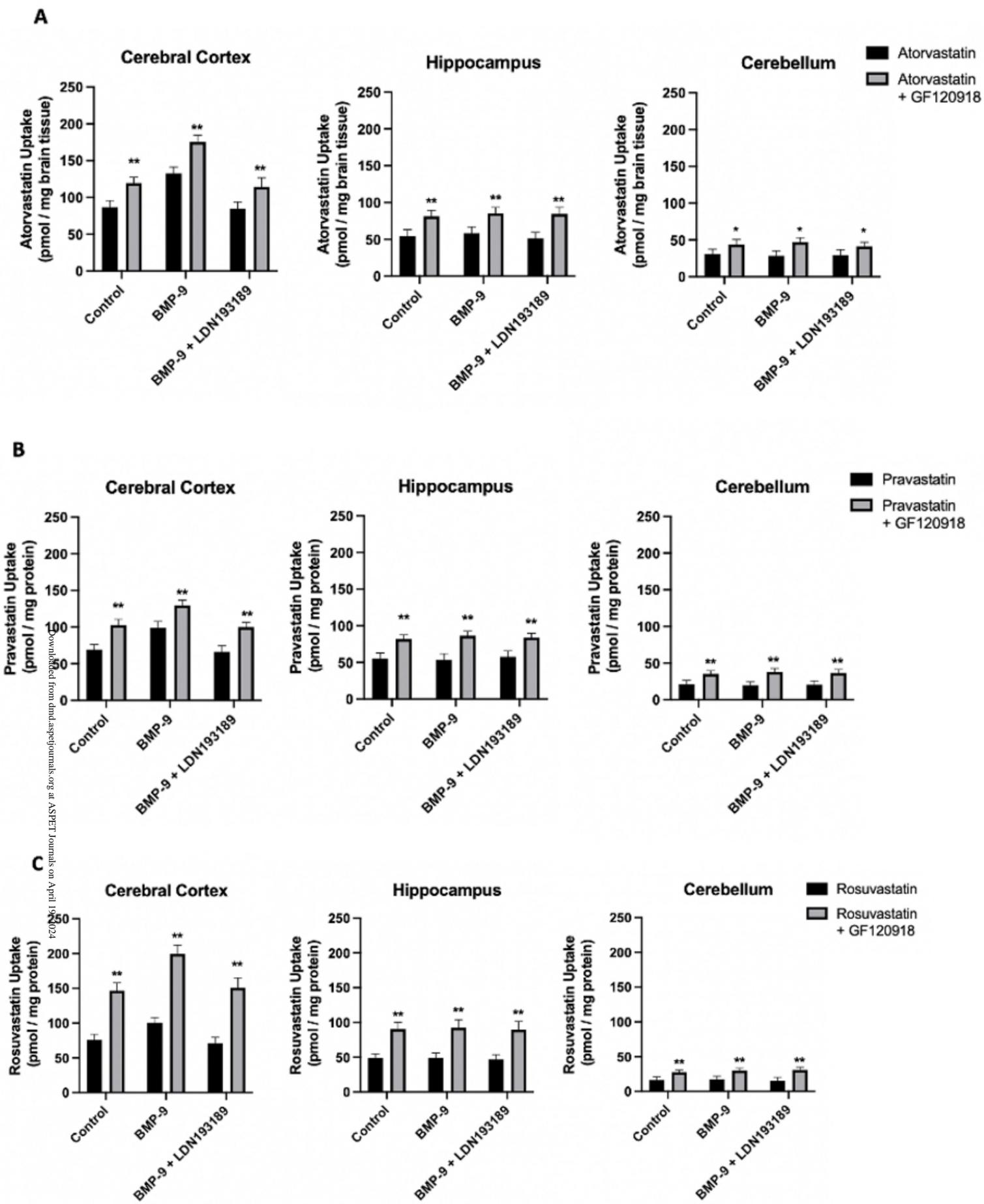


Figure 11

