A physiological-based pharmacokinetic model embedded with a target-mediated drug disposition mechanism can characterize single dose warfarin pharmacokinetic profiles in subjects with various *CYP2C9* genotypes under different co-treatments

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Abbreviations:

- CYP: cytochrome P450
- DDI: drug-drug interaction
- TMDD: target-mediated drug disposition
- PK: pharmacokinetics
- PD: pharmacodynamics
- CL: clearance
- PBPK: physiology-based pharmacokinetic
- FDA: Food and Drug Administration
- AUC₀₋₃₆₀: area-under-the-curve from time 0 to 360 hours
- CV: coefficient of variations

Abstract

Warfarin, a commonly prescribed oral anticoagulant medication, is highly effective in treating deep vein thrombosis and pulmonary embolism. However, the clinical dosing of warfarin is complicated by high inter-individual variability in drug exposure and response and its narrow therapeutic index. CYP2C9 genetic polymorphism and drug-drug interactions (DDIs) are substantial contributors to this high variability of warfarin pharmacokinetics (PK), among numerous factors. Building a physiological-based pharmacokinetic (PBPK) model for warfarin is not only critical for a mechanistic characterization of warfarin PK, but also useful for investigating the complicated dose-exposure relationship of warfarin. Thus, the objective of this study was to develop a PBPK model for warfarin which integrates information regarding CYP2C9 genetic polymorphisms and their impact on DDIs. Generic PBPK models for both Sand R-warfarin, the two enantiomers of warfarin, were constructed in R with the mrgsolve package. As expected, a generic PBPK model structure did not adequately characterize the warfarin PK profile collected up to 15 days following the administration of single oral dose of warfarin, especially for S-warfarin. However, following the integration of an empirical targetmediated drug disposition (TMDD) component, the PBPK-TMDD model well characterized the PK profiles collected for both S- and R-warfarin in subjects with different CYP2C9 genotypes. Following the integration of enzyme inhibition and induction effects, the PBPK-TMDD model also characterized the PK profiles of both S- and R-warfarin in various DDI settings. The developed mathematic framework may be useful in building algorithms to better inform the clinical dosing of warfarin.

Significance Statement

The present study found a traditional physiology-based pharmacokinetic (PBPK) model cannot sufficiently characterize the pharmacokinetic profiles of warfarin enantiomers when warfarin is administered as a single dose, but a PBPK model with a target-mediated drug disposition mechanism can. After incorporating *CYP2C9* genotypes and drug-drug interaction information, the developed model is anticipated to facilitate the understanding of warfarin disposition in subjects with different *CYP2C9* genotypes in the absence and presence of both cytochrome P450 inhibitors and cytochrome P450 inducers.

Introduction

Warfarin is one of the most widely used oral anti-coagulants worldwide (Barnes et al., 2015). Warfarin exhibits its pharmacological anti-coagulation effects by inhibiting the vitamin K epoxide reductase to prevent the conversion of vitamin K epoxide to reduced vitamin K, which disrupts the vitamin K dependent blood coagulation cascade (Goodman et al., 2011; Matalqah, 2019). Although warfarin is highly efficacious in reducing the risk of stroke in arterial fibrillation patients, the narrow therapeutic index and high inter-individual variability in both drug exposure and response complicates its dosing (Jaffer and Bragg, 2003; Ufer, 2005; Flora et al., 2017). An inappropriate maintenance dose of warfarin resulting in drug exposure beyond the therapeutic window has been found to either compromise the therapeutic effects or introduce life-threatening bleeding risk (Kawai et al., 2014; Trusler, 2019).

Warfarin is administered as a racemic mixture. Although both S- and R-warfarin exhibit pharmacological activity, S-warfarin is suggested to be 3-7 fold more active than R-warfarin (Flora et al., 2017; Udoamaka Ezuruike, 2019). The elimination of warfarin is primarily via cytochrome P450 (CYP) mediated metabolism with negligible urinary excretion of the parent enantiomers (Ufer, 2005). Each warfarin enantiomer form five mono-hydroxylated metabolites, namely 4', 6, 7, 8, 10 hydroxylated (OH) S- or R-warfarin, mediated by various CYPs. S-warfarin is metabolized primarily by CYP2C9, whereas R-warfarin is metabolized by several CYP enzymes including CYP1A2, CYP2C19 and CYP3A4 that have comparable contributions (Ufer, 2005; Flora et al., 2017; Pouncey et al., 2018).

CYP2C9 is subject to genetic polymorphism, which significantly influences warfarin exposure, particularly with respect to the S-7-OH metabolite, which is the primary elimination route for S-warfarin (Xue et al., 2017). *CYP2C9* *2 (Arg144Cys) and *3 (Ile359Leu) variant alleles are associated with reduced metabolic activity and thus a higher exposure of the more pharmacologically active S-warfarin and an increase in the risk of dose-dependent adverse effects (Hamberg et al., 2007; Hamberg et al., 2010; Xue et al., 2017). More importantly, the frequency of the *CYP2C9* *2 and *3 alleles can be as high as 15% in certain populations, such as Caucasians (Flora et al., 2017). Although the effect of these variants on warfarin exposure have been known for a long time, the impact of *CYP2C9* *2 and *3 on the drug-drug interactions (DDIs) of warfarin has only recently been investigated. In addition, *CYP2C9* *1B (-3089G>A

and -2663delTG), a regulatory genetic polymorphism, may further complicate the dosing of warfarin in a DDI setting (Chaudhry et al., 2010).

Leveraging physiological characteristics and the drug-related properties, physiological-based pharmacokinetic (PBPK) modeling is a valuable tool in model-informed drug development (Zhuang and Lu, 2016; Zhang et al., 2020). Importantly, the value of PBPK modeling in various drug development applications is gaining increasing acceptance by regulatory agency such as the US Food and Drug Administration (FDA) in recent years (Grimstein et al., 2019). An important aspect of PBPK modeling in drug development applications is predicting clinical DDIs of drugs (Grimstein et al., 2019). A successful implementation of PBPK modeling is useful not only in gaining more mechanistic insights for the investigated products, but also in supporting clinical decision-making and regulatory submission (Alhadab and Brundage, 2020).

Although the clinical use of warfarin can be traced back to the 1950s, the impact of the CYP2C9 genotypes on the clinical DDIs of warfarin is poorly understood (Flora et al., 2017). Taking advantage of a single dose warfarin clinical DDI study in healthy volunteers with various CYP2C9 genotypes and a target-mediated drug disposition (TMDD) model, our previous population PK analysis found subjects with CYP2C9 *2 and *3 variants experience less reduction in S-warfarin clearance (CL) when warfarin is administered together with the CYP inhibitor fluconazole. In contrast, this population experienced a greater increase in S-warfarin CL when warfarin is administered together with the CYP inducer rifampin as compared to individuals possessing the wild-type genotype (CYP2C9*1/*1) (Cheng et al., 2022a). However, a more physiologically relevant PBPK model has not been developed to explain the CYP2C9 genotype dependent DDIs of warfarin. In addition, it is unclear whether the TMDD mechanism utilized in our population PK analysis is needed in a PBPK model structure to explain the single dose warfarin PK profiles collected up to 15 days following the drug administration. Thus, the objective of this study was to use a PBPK modeling approach to investigate the DDIs of warfarin in the presence of various CYP2C9 genotypes using the known CYP inhibitor fluconazole and CYP inducer rifampin.

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Methods

Warfarin PBPK Model Structure

A diagram of the PBPK model is shown in Figure 1 comprising 19 compartments in total. The PBPK model was compiled and implemented in R (version 3.6.3) using mrgsolve package (version 0.11.1) with mass balance differential equations (Elmokadem et al., 2019). The areasunder-the-curve (AUCs) were calculated using R package PKPDmisc (version 3.0.0).

The full PBPK model structures of S- and R-warfarin were adapted from initial literature models with physiological parameter values as shown in Table 1 (Peters, 2008). Standard weight-based allometric scaling coefficients of 0.75 and 1 were added on flow- and volume-based physiological parameters, respectively (West et al., 1999; Anderson and Holford, 2009). The structure of the initial PBPK model incorporates 14 compartments representing various physiological organs connected via arterial and venous blood flow components. In general, blood flows from the venous blood compartment and through the lungs into the arterial blood compartment, which further distributes blood into different organs. The physicochemical properties and blood and plasma binding related parameters were assumed to be the same between S- and R-warfarin, with values taken from the Sim-S-Warfarin compound file in Simcyp version 19 (Table 2) (Simcyp, 2020). Considering the relatively rapid and almost complete absorption of warfarin (Ufer, 2005), the advanced compartmental absorption and transit (ACAT) components of the original PBPK model (Peters, 2008) were not incorporated to reduce the model complexity. A simplified first-order absorption model was used instead, with drug administered into a gut lumen (GL) compartment. The organ distribution of S- and Rwarfarin was assumed to follow a perfusion-rate limited manner into well-stirred physiological organs. The partition coefficients of S- and R-warfarin were assumed to be the same and were predicted using the Sim-S-Warfarin compound file with method 2 in Simcyp version 19 (Table 3) (Peters, 2008; Simcyp, 2020). The general form of the differential equation for a typical organ without elimination (brain (BR), spleen (SP), pancreas (PA), stomach (ST), heart (HT), muscle (MU), adipose (AD), skin (SK), bone (BO) and thymus (TH)) can be expressed using equation (1):

$$\frac{dC_{organ} \times V_{organ}}{dt} = Q_{organ} \times \left(C_{arterial} - \frac{C_{organ}}{\frac{Kp_{organ}}{BP}}\right) (1)$$

C_{organ} is the concentration of drug in each organ, V_{organ} is the organ volume, Q_{organ} is the tissue blood flow, C_{arterial} is the drug concentration in arterial blood, C_{organ} is the drug concentration in each organ, Kp_{organ} is the tissue partition coefficient defined as tissue to plasma drug concentration ratio and BP is the blood to plasma concentration ratio of the drug. The equation for gut (GU) is also expressed as equation (1) in general except for an additional first-order absorption input from the gut lumen (GL) compartment.

The equation for lung (LU) is expressed as equation (2) as shown below:

$$\frac{dC_{lung} \times V_{lung}}{dt} = Q_{LU} \times \left(C_{venous} - \frac{C_{LU}}{\frac{Kp_{LU}}{BP}}\right) (2)$$

Elimination of S- and R-warfarin was incorporated for both liver and kidney. The clearance (CL) parameter values were taken from our previous warfarin population PK study (Table 3) (Cheng et al., 2022a). The differential equation for liver (LI) is shown as equation (3) assuming liver is receiving blood flow from the gut (GU), spleen (SP), hepatic artery (HA), pancreas (PA) and stomach (ST):

$$\frac{dC_{liver} \times V_{liver}}{dt}$$

$$= Q_{GU} \times \left(\frac{C_{GU}}{Kp_{GU}/BP}\right) + Q_{SP} \times \left(\frac{C_{SP}}{Kp_{SP}/BP}\right) + Q_{HA} \times C_{arterial}$$

$$+ Q_{PA} \times \left(\frac{C_{PA}}{Kp_{PA}/BP}\right) + Q_{ST} \times \left(\frac{C_{ST}}{Kp_{ST}/BP}\right) - Q_{LI} \times \left(\frac{C_{LI}}{\frac{Kp_{LI}}{BP}}\right)$$

$$- CL_{int} \times \frac{C_{LI} \times f_{up}}{Kp_{LI}} (3)$$

CL_{int} represents the hepatic intrinsic CL and fup represents the free fraction in plasma.

Similarly, the differential equation for the other elimination organ kidney (KI) is expressed as equation (4):

$$\frac{dC_{kidney} \times V_{kidney}}{dt} = Q_{KI} \times \left(C_{arterial} - \frac{C_{KI}}{\frac{Kp_{KI}}{BP}}\right) - CL_{intKI} \times \frac{C_{KI} \times f_{up}}{Kp_{KI}}$$
(4)

The hepatic CL_{int} was calculated in a retrograde fashion from the CL terms using equation (5) (Yang et al., 2007; Alhadab and Brundage, 2020):

$$CL_{int} = \frac{Q_{LI} \times CL_{LI}}{f_{up} \times (Q_{LI} - \frac{CL_{LI}}{BP})}$$
(5)

 CL_{LI} represents the hepatic CL calculated as the difference between overall CL and renal CL (CL_R) as shown in Table 3. Similarly, renal CL was used to derive the intrinsic clearance by the kidney (CL_{intKI}) using the same method (equation (6)).

$$CL_{intKI} = \frac{Q_{KI} \times CL_{KI}}{f_{up} \times (Q_{KI} - \frac{CL_R}{BP})}$$
(6)

An empirical TMDD mechanism assuming constant total receptor levels was further included in the venous blood compartment to account for saturable tissue binding of warfarin. The TMDD-related parameters were taken from our warfarin population PK study (Table 3) (Cheng et al., 2022a). The differential equations for the receptor compartment (R) and the drug-receptor complex compartment (DR) are shown as equations (7) and (8):

$$\frac{dR}{dt} = -K_{on} \times \left(\frac{C_{venous}}{BP}\right) \times R + K_{off} \times DR$$
(7)

$$\frac{dDR}{dt} = K_{on} \times \left(\frac{C_{venous}}{BP}\right) \times R - K_{off} \times DR$$
(8)

R is the receptor concentration and DR is the drug-receptor complex concentration. K_{on} and K_{off} are the association and dissociation rate constants. The initial condition for R was set as Rmax and the initial condition for DR was set as 0.

The differential equations for venous and arterial blood compartments are shown as equations (9) and (10).

$$\frac{dC_{venous} \times V_{venous}}{dt} = \Sigma Q_{organ} \times \left(\frac{C_{organ}}{\frac{K_{Porgan}}{BP}}\right) - Q_{LU} * C_{venous} - K_{on} \times \left(\frac{C_{venous}}{BP} \times V\right) \times R + K_{off} \times (DR \times V) (9)$$

$$\frac{dC_{arterial} \times V_{arterial}}{dt} = Q_{LU} \times \left(\frac{C_{LU}}{\frac{Kp_{LU}}{BP}} - C_{arterial}\right) (10)$$

In equation (9), the blood flows from brain, kidney, liver, heart, muscle, adipose, skin, bone and thymus are summed and are assumed as flowing to the venous blood compartment. V is an arbitrary volume term fixed at 1 L to convert a concentration into an amount. The plasma drug concentrations are predicted as C_{venous} /BP for further analysis.

Multiplication factors were added on the absorption rate constants (MFka), tissue partition coefficients (MFkp), blood to plasma ratios (MFBP), free drug fractions in plasma (MFfup), association rate constant (MFkon), dissociation rate constant (MFkoff) and total receptor levels (MFRmax) for further parameter optimization, with initial values set at 1 (Peters, 2008; Alhadab and Brundage, 2020).

Clinical PK Data

The S- and R-warfarin plasma PK data used for developing our previous warfarin population PK model were utilized in this study for visualizing the PBPK model predictions (Cheng et al., 2022a).

The warfarin PK data was collected in a clinical drug-drug interaction (DDI) study conducted with 29 healthy subjects with various *CYP2C9* genotypes (*CYP2C9* *1/*1, *1B/*1B, *1/*3, *2/*3 and *3/*3). Briefly, after enrollment, each subject went through three treatment periods. During treatment period one, subjects were treated with a single 10 mg oral dose of racemic warfarin. Blood samples were collected up to 15 days post-dose based on the subject's *CYP2C9* genotype, followed by a 7-day washout phase before entering the next treatment period. During treatment period two, subjects were randomized to be treated with either 400 mg fluconazole or 300 mg rifampin once daily for 7 days consecutively, to allow the concentration of each interacting drug reach steady state. On day 8, a single 10 mg oral dose of warfarin was administered in each subject with blood samples collected following the same sampling scheme as the treatment period one, followed by another 7 day washout phase. During the same losing regimens to maintain a steady state concentration. The design of treatment period three was the same as treatment period two, with subjects treated with the alternative interacting drug.

Model Parameter Optimizations

The S- and R-warfarin PK profiles in *CYP2C9* *1/*1 subjects treated with warfarin only were used for initial model optimization. The multiplication factors (MFka, MFkp, MFBP and MFfup) in the PBPK model without TMDD and the multiplication factors (MFka, MFkp, MFBP, MFfup, MFkon, MFkoff and MFRmax) in the PBPK model with TMDD were adjusted 0.1, 0.25, 0.5, 1, 2, 4, 10-fold for the simulations. The resulting PBPK model predictions were overlaid with the S- and R-warfarin PK profiles in subjects with *CYP2C9* *1/*1 treated with warfarin only to visualize the sensitivity of these multiplication factors on model predictions.

For the S-warfarin PK profiles in *CYP2C9* *1/*1 subjects treated with warfarin only, MFkp and MFRmax were considered to be sensitive on model predictions and were selected to be further optimized. For R-warfarin PK profiles in *CYP2C9* *1/*1 subjects treated with warfarin only, MFkp, MFRmax and MFkon were considered to be sensitive on model predictions and were selected to be further optimized. Optimization was performed in R (version 3.6.3) using the Nelder-Mead method with the weighted least squared objective function (Baron, 2019).

Following parameter optimization, the median predictions of the S- and R-warfarin PK profiles, in subjects with various *CYP2C9* genotypes (*1/*1, *1B/*1B, *1/*3, *2/*3 and *3/*3) when warfarin is administered alone, were simulated and overlaid with the observations to visualize the model predictions.

PK Models for Interacting Drugs

Empirical PK models for fluconazole and rifampin were extracted from the literature and translated in R (version 3.6.3) using mrgsolve package (version 0.11.1) (Roos et al., 2008; Svensson et al., 2018). Briefly, the extracted fluconazole PK model is a one-compartment model with linear elimination, linear absorption, and the absorption lag time. The extracted PK model for rifampin is a one-compartment PK model with a nonlinear (mechaelis-menten) elimination, a transitcompartment absorption process, a dose-dependent bioavailability component and an enzyme turnover model to account for the auto-induction of rifampin. Both the fluconazole and the rifampin models were extracted with fixed and random effects. Fluconazole and rifampin PK profiles extracted from the literature were used for validating model predictions (Gross et al., 2001; Kumar et al., 2008; Wilkins et al., 2008; Seng et al., 2015).

Incorporating Drug-Drug Interactions into Warfarin PBPK Models

The hepatic intrinsic CL values for S- and R-warfarin were separated into five metabolic pathways (4'-, 6-, 7-, 8-, 10-monohydroxylated (OH)) pathways. The proportion of each metabolite as a function of the overall clearance was based on the results of our previous warfarin metabolites population PK modeling study (Cheng et al., 2022b). The metabolic elimination of S- and R-warfarin was assumed to be completely mediated by these five metabolic pathways. Thus, the metabolite proportions presented in the original study for each parent compound were summed and rescaled to 100% to calculate the new proportions of hepatic intrinsic CL mediated by the various metabolic pathways for use in this modeling analysis (Table 4).

The intrinsic hepatic CL of each metabolic pathway under the inhibitory effect of fluconazole was calculated using equation (11):

$$CL_{int,meta\ i}^{inh} = \frac{CL_{int,meta\ i}}{1 + \frac{C_{fluc}}{K_i}} (11)$$

CL^{inh}_{int,meta i}is the intrinsic hepatic CL of a particular metabolite pathway in the presence of the inhibitor fluconazole. C_{fluc} is the fluconazole plasma concentration predicted using an empirical PK model. K_i is the fluconazole inhibition constant.

The intrinsic hepatic CL of each metabolic pathway under the induction effect of rifampin was calculated using equation (12).

$$CL_{int,meta\,i}^{ind} = CL_{int,meta\,i} \times \left(1 + \frac{(ind_{max} - 1) \times C_{rifa}}{ind_{C50} + C_{rifa}}\right) (12)$$

 $CL_{int,meta\ i}^{ind}$ is the intrinsic hepatic CL of a particular metabolite pathway in the presence of the inducer rifampin. C_{rifa} is the rifampin plasma concentration predicted using an empirical PK model. ind_{max} and ind_{C50} are the maximum fold increase in CL_{int} that can occur following rifampin induction and the concentration of rifampin producing 50% of maximum induction of a particular metabolic pathway.

The overall hepatic intrinsic CL of each parent compound in the presence of fluconazole or rifampin was calculated as the summation of the hepatic intrinsic CL values of each metabolite pathway, under inhibition or induction conditions.

The fluconazole and rifampin effects on CL_R were included as multiplication factors based on a warfarin parent compound population PK analysis (Cheng et al., 2022a).

Population Simulations

Following the development of the S- and R- warfarin PBPK models and the validation of the fluconazole and rifampin empirical PK models, 30% inter-individual variability was assumed as being log-normally distributed for the absorption rate constants, CL terms, TMDD-related

parameters and partition coefficients (Kp) for performing the population simulations (Einolf et al., 2017). A virtual population with 500 subjects was simulated, with 100 subjects in each *CYP2C9* genotype group (*1/*1, *1B/*1B, *1/*3, *2/*3 and *3/*3). The mean body weight of each genotype group was simulated based on the demographic information of the original study (Cheng et al., 2022a). Population-level simulations were performed using the dosing regimens of warfarin, fluconazole and rifampin in the original study (Cheng et al., 2022a). To visualize the predictions, the medians, 5th and 95th percentiles of the simulated PK profiles at each time point were calculated and overlaid with the observations of either S- or R-warfarin PK profiles in subjects with different *CYP2C9* genotypes under different co-treatments. The model codes for final S- and R-warfarin PBPK models, as well as the S- and R-warfarin PBPK models with the interacting drug components, are provided in supplementary materials.

Results

PBPK Model Structure

The PBPK model structure for S- and R-warfarin is shown in Figure 1, with 14 physiological organ compartments (lungs (LU), heart (HT), brain (BR), muscle (MU), adipose (AD), skin (SK), spleen (SP), pancreas (PA), liver (LI), stomach (ST), gut (GU), bone (BO), kidney (KI) and thymus (TH)), venous and arterial blood compartments, a gut lumen (GL) compartment for drug administration, an empirical receptor compartment (R) and an empirical drug-receptor complex compartment (DR). Following the administration of drug in GL, drug is assumed to follow a first-order absorption (abs) into GU with a complete bioavailability and no delay (Table 2). LI and KI are assumed to be the organs of elimination. The empirical TMDD mechanism is arbitrarily embedded in the venous blood compartment.

To incorporate a drug-drug interaction mechanism, the hepatic CL_{int} of S- and R-warfarin is separated into five metabolic pathways (4'-OH, 6-OH, 7-OH, 8-OH and 10-OH). Fluconazole inhibition and rifampin induction effects were included in each metabolite pathway using the approach describe in the methods section. The fluconazole and rifampin effects were also included as multiplication factors on the CL_R. The inhibitory and induction parameters values used for simulations are displayed in Table 5 and Table 6, respectively.

Model Parameter Optimizations

The model predictions of the S- and R-warfarin PK profiles, with and without a TMDD mechanism, in *CYP2C9* *1/*1 subjects when warfarin is administered alone were overlaid with the observed values (Figure 2). Inclusion of the TMDD mechanism substantially improved the model predictions for S-warfarin, but only slightly improved the model predictions for R-warfarin.

Further sensitivity analyses were conducted on the multiplication factors, for both S- and Rwarfarin PBPK models with and without the TMDD mechanism, to visualize the influence of each factor on the model predictions (Figure S1-S4). MFka and MFfup had minimal influence on the model predictions for both the S- and R-warfarin PBPK models, with and without TMDD. For S- warfarin, MFkp, MFBP and MFRmax substantially influenced the model predictions, whereas MFkon and MFkoff influenced the model predictions, but to a lesser extent. For R-warfarin, MFkp and MFBP substantially influenced the model predictions, whereas MFRmax, MFkon and MFkoff only slightly influenced the R-warfarin PK model predictions.

Sensitive parameters MFkp, MFRmax and MFkon were selected for optimizations. Both optimizations converged successfully with the optimized values of the multiplication factors displayed in the table insert of Figure 2. Optimization of the multiplication factors further improved the model predictions for both S- and R-warfarin PK profiles (Figure 2).

The PBPK models including a TMDD mechanism that were achieved following the optimizations were expanded to incorporate subjects with various *CYP2C9* genotypes when warfarin is administered alone. The predicted S-and R-warfarin PK profiles adequately characterized the observations (Figure 3).

Validation of the Fluconazole and Rifampin Model Predictions

Fluconazole and rifampin PK profiles were extracted from the literature. The simulations conducted with the empirical PK models were able to capture the literature extracted PK profiles of fluconazole and rifampin (Figure S5-S6). These models were incorporated into the optimized S- and R-warfarin PBPK models that included a TMDD mechanism and utilized for predicting S- and R-warfarin PK profiles in both inhibition and induction DDI settings.

Population Simulations

Population simulations for the S- and R-warfarin PK profiles when warfarin is administered alone were conducted following the incorporation of inter-individual variation. The optimized PBPK models that include a TMDD mechanism were able to adequately characterize the S- and R-warfarin PK profiles when warfarin was administered alone (Figure 4). Following the incorporation of the fluconazole inhibition and the rifampin induction, the optimized PBPK models that included a TMDD mechanism were also able to characterize the S- and R-warfarin PK profiles in respective inhibition and induction DDI scenarios. (Figure 5 and 6).

The areas-under-the-curve from time 0 to 360 hours (AUC₀₋₃₆₀) were calculated based on the population simulation for both S- and R- warfarin across various *CYP2C9* genotypes, when warfarin is administered alone or together with fluconazole or rifampin (Figures 4-6 table insets). The AUC ratios for S- and R-warfarin when warfarin is administered together with fluconazole are 1.67 to 2.68 and 1.55 to 1.83, respectively (Figure 5 table inset). The AUC ratios for S- and R-warfarin when warfarin are 0.423 to 0.488 and 0.297 to 0.324, respectively (Figure 6 table inset).

Discussion

Leveraging information from the literature and available clinical PK data from our previous studies, the present study develops a PBPK model framework for each warfarin enantiomer. The developed PBPK model was able to capture the plasma PK profiles of each warfarin enantiomer collected up to 15 days following the administration of a single oral dose of warfarin in subjects with various *CYP2C9* genotypes under different co-medications. The developed PBPK models were able to characterize warfarin disposition in a more mechanistic manner and will be valuable for investigating the complicated dose-response relationship of warfarin.

Initially, a traditional PBPK model schematic was adapted from literature to predict warfarin PK profiles (Peters, 2008). However, we found a traditional PBPK schematic fails to explain the warfarin enantiomer PK profiles (especially S-warfarin) when collected up to 11 days in CYP2C9 *1/*1 subjects following a single dose of warfarin administration, no matter how the model parameters were adjusted (Figure 2, S1 and S3). Interestingly, dose-disproportionality of warfarin has been reported preclinically due to the presence of high-affinity and low-capacity binding sites of warfarin, which introduces the possibility of saturable tissue binding (Takada and Levy, 1979; Takada and Levy, 1980). Clinically, the saturable tissue binding of warfarin is observed as dose-dependent changes in the apparent volume of distributions (King et al., 1995). In fact, the term target-mediated drug disposition (TMDD) was first proposed by Dr. Gerhard Levy in 1994 on the basis of extensive preclinical PK research with small molecule compounds like warfarin (Levy, 1994). Dr. Levy also proposed a TMDD model for warfarin to account for the observed PK nonlinearity in apparent volume of distribution observed clinically (Levy et al., 2003). Although the TMDD model is used frequently for modeling biologics, this model is gaining more attention recently to account for the saturable tissue or plasma binding observed in the PK profiles of small molecule compounds (Mager and Jusko, 2001; An et al., 2015; An, 2017; Bach et al., 2019). Our previous population PK analysis also suggested a TMDD model was needed to characterize the warfarin PK profiles when collected up to 15 days following a single dose of warfarin after the administration of both a CYP inhibitor or a CYP inducer (Cheng et al., 2022a). In the current study, after including an empirical TMDD mechanism, we obtained a significant improvement in the PBPK model predictions of the S-warfarin PK profile. Further optimization of the PBPK model with a TMDD mechanism (PBPK-TMDD) enabled the

characterization of both the S- and R-warfarin PK profiles adequately in subjects with various *CYP2C9* genotypes when warfarin was administered alone (Figure 3 and 4).

CYP2C9 *2 and *3 variants are highly associated with the reduced metabolic activity of CYP2C9. Subjects possessing the CYP2C9 *2 and *3 variants experience higher S-warfarin exposure following warfarin administration and require lower warfarin maintenance doses (Dean, 2012). In subjects with the CYP2C9 *2 and *3 variants, non-CYP2C9 mediated elimination pathways occupy a higher proportion of overall S-warfarin elimination (Cheng et al., 2022b). A differential effect of fluconazole inhibition and rifampin induction on different metabolic pathways of S-warfarin was also noted, potentially explaining our observation of CYP2C9 genotype-dependent DDIs on S-warfarin PK in our previous population PK analysis (Cheng et al., 2022a). CYP2C9 mediated metabolic pathways constitute the largest proportion of S-warfarin elimination, yet elimination by CYP2C9 is reduced in subjects with *2 and *3 variants. Consequently, our findings suggest that the degree to which these individuals experience inhibition by fluconazole is lessened resulting in a lower degree of drug inhibition interaction (genotype-dependent drug interactions). In contrast, because CYP2C9 mediated metabolic pathways are less inducible, the overall S-warfarin elimination in subjects with a lower proportion of CYP2C9 mediated elimination, such as subjects with *2 and *3 variants, are more susceptible to rifampin induction. Indeed, the K_i values (Table 5) reported in the literature suggest that CYP2C9 mediated S-warfarin metabolic pathways (6-OH-S and 7-OH-S) are inhibited to a greater extent compared with some of the other S-warfarin metabolic pathways such as 10-OH-S. Furthermore, the ind_{max} values we identified suggest that CYP2C9 mediated S-warfarin metabolic pathways are less inducible compared with other S-warfarin metabolic pathways mediated by other CYP enzymes (Table 6). More importantly, incorporating these inhibition and induction related parameters, our PBPK-TMDD model reflected the S-warfarin PK profiles when warfarin was administered with either fluconazole or rifampin (Figure 5 and 6). Interestingly, a previous clinical DDI study conducted with flurbiprofen as the probe drug and fluconazole as the interacting drug showed differential inhibition of CYP2C9 and non-CYP2C9 mediated pathways also resulted in CYP2C9 genotype-dependent DDIs (Kumar et al., 2008). The results of the present PBPK modeling study using S-warfarin as a probe drug are consistent with these previous study findings with flurbiprofen and fluconazole.

Additionally, the PBPK-TMDD model may be useful in informing the clinical use of warfarin. For instance, to reduce the risk of bleeding during surgery, warfarin treatment is typically discontinued about 5 days prior to surgery (Douketis et al., 2012). Following the inclusion of a TMDD mechanism, the model simulations based on the long half-life observed in our extended plasma sampling, suggest that the pharmacologically more active S-warfarin may not be eliminated as fast as earlier literature would predict (Figure 2A). Taking advantage of warfarin pharmacodynamic (PD) models published in the literature, it will be interesting to conduct simulations linking our PBPK-TMDD model together with a PD model, to investigate the impact of this observed slower elimination of S-warfarin on the International Normalized Ratio and treatment outcomes following warfarin discontinuation.

Despite the potential uses of the warfarin PBPK-TMDD models, limitations exist in the current model structure. Firstly, it is relatively empirical and arbitrary to embed the TMDD mechanism inside the venous blood compartment of a PBPK model structure to account for saturable tissue binding. A physiologically more relevant approach might be to incorporate the saturable tissue binding of warfarin into relevant organs, such as liver (Levy et al., 2003). However, lacking explicit clinical evidence about which organs exhibit saturable warfarin tissue binding, it was arbitrarily decided to embed the TMDD mechanism in the venous blood compartment of our PBPK model. Collecting additional information to inform an organ specific TMDD mechanism clinically would be beneficial for future development of a more mechanistic PBPK model schematic for warfarin. In this regard, the PBPK model constructed in the present study can be easily adapted to incorporate organ specific TMDD mechanism considering our model is developed using an open-source tool. Secondly, a significant assumption of the current PBPK model is that the hepatic CL of S- or R-warfarin is mediated completely by the five monohydroxylation pathways. Incorporating additional metabolic pathways of warfarin such as ketone reduction and glucuronidation might provide additional mechanistic insights to warfarin disposition but would require an even more extensive dataset (Ufer, 2005).

In summary, the present study found a traditional PBPK model structure was inadequate to describe the PK profiles of warfarin enantiomers when collected up to 15 days following a single dose of warfarin. Instead, a PBPK model embedded with an empirical TMDD mechanism

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(PBPK-TMDD) was able to characterize the single dose warfarin PK profiles in subjects with clinically important *CYP2C9* genotypes. Following the integration of fluconazole inhibition and rifampin induction, the developed PBPK-TMDD models were able to describe the S- and R-warfarin PK profiles under different co-treatments in subjects with various *CYP2C9* genotypes. The developed PBPK models provide mechanistic insights regarding warfarin disposition and may also serve as a valuable tool to inform the clinical dosing of warfarin.

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Figure Legends

Figure 1. S- and R-warfarin PBPK model diagram. Notes: Cp (plasma concentration of drug); C_{venous} (venous blood concentration of drugs and BP stands for blood to plasma ratio); GL (gut lumen); GU (gut); LU (lung); LI (liver); KI (kidney);BR (brain); SP (spleen); PA (pancreas); ST (stomach); HT (heart); MU (muscle); AD (adipose); SK (skin); BO (bone); TH (thymus); R: receptor; DR: drug-receptor complex.

Figure 2. S-warfarin (A) and R-warfarin (B) PBPK model predictions in subjects with *CYP2C9* *1/*1 when warfarin is administrated alone. Colors represent model predictions using a PBPK model without TMDD mechanism, with TMDD mechanism and with TMDD mechanism following optimization. Table displays the multiplication factors for S- and R-warfarin following optimization. The multiplication factors are estimated based on the assumption that the volume of saturable binding target of warfarin is 1 L (arbitrarily fixed due to lack of relevant clinical information). RSE: relative standard errors.

Figure 3. Optimized S-warfarin (A) and R-warfarin (B) PBPK model with TMDD mechanism predictions overlayed with observations in subjects with various *CYP2C9* genotypes when warfarin is administered alone. Dots represent observations. Red lines represent median predictions.

Figure 4. Optimized S-warfarin (A) and R-warfarin (B) PBPK model with TMDD mechanism population predictions overlayed with observations in subjects with various *CYP2C9* genotypes when warfarin is administered alone. Dots represent observations. Red lines represent median predictions. Gray shaded areas represent the area between 5th and 95th percentiles of the model predictions. Table shows the AUC_{0-360 hours} of S- and R-warfarin by *CYP2C9* genotypes. Values in table expressed as geometric means (coefficient of variations (CV)).

Figure 5. Optimized S-warfarin (A) and R-warfarin (B) PBPK model with TMDD mechanism population predictions overlayed with observations in subjects with various *CYP2C9* genotypes when warfarin is administered together with fluconazole. Dots represent observations. Red lines represent median predictions. Gray shaded areas represent the area between 5th and 95th percentiles of the model predictions. Table inset shows the AUC_{0-360 hours} and AUC ratios of S- and R-warfarin by *CYP2C9* genotypes when warfarin is co-administered with fluconazole.

Values in table expressed as geometric means (coefficient of variations (CV)).

Figure 6. Optimized S-warfarin (A) and R-warfarin (B) PBPK model with TMDD mechanism population predictions overlayed with observations in subjects with various *CYP2C9* genotypes when warfarin is administered together with rifampin. Dots represent observations. Red lines represent median predictions. Gray shaded areas represent the area between 5th and 95th percentiles of the model predictions. Table inset shows the AUC_{0-360 hours} and AUC ratios of S- and R-warfarin by *CYP2C9* genotypes when warfarin is co-administered with rifampin. Values in table expressed as geometric means (coefficient of variations (CV)).

Tables

Table 1. Physiology parameter table. Values are extracted from literature (Peters, 2008).

Tissue	Volume (mL, 70kg human)	Flow (mL/min, 70kg human)
Brain	1450	700
Hepatic artery		302
Gut	1650	1100
Spleen	192	77
Pancreas	77	133
Stomach	154	38
Liver	1690	1650
Kidney	280	1100
Heart	310	150
Lung	1172	5240
Muscle	35000	750
Adipose	10000	260
Skin	7800	300
Bone	4579	250
Thymus	29	80
Arterial blood	1698	
Venous blood	3396	

	S-warfarin	R-warfarin	Definitions
MW	308.3 (Sin	Molecular weight	
Compound type	Monoprotic aci		
Log P _{o:w}	0.27 (Sim	0.27 (Simcyp, 2020)	
рКа	5.1 (Sime	cyp, 2020)	Acid dissociation constant
CL (L/hour)	0.260 (Cheng et al., 2022a)	0.119 (Cheng et al., 2022a)	Total clearance
if *1B/*1B	× 0.885 (Cheng et al., 2022a)		
if *1/*3	× 0.607 (Cheng et al., 2022a)		Fractional multipliers of CL
if *2/*3	× 0.277 (Cheng et al., 2022a)		if other CYP2C9 genotypes
if *3/*3	× 0.215 (Cheng et al., 2022a)		
CL _R (L/hour)	0.00369 (Cheng et al., 2022a)	0.00436 (Cheng et al., 2022a)	Renal clearance
K_{on} (L/(µg*hour))	0.00494 (Cheng et al., 2022a)	0.00137 (Cheng et al., 2022a)	Association rate constant
if *2/*3	× 0.837 (Cheng et al., 2022a)	(Cheng et al., 2022a)	
if *3/*3	× 0.518 (Cheng et al., 2022a)		if other CYP2C9 genotypes
K _{off} (/hour)	0.0405 (Cheng et al., 2022a)	0.0405 (Cheng et al., 2022a)	Dissociation rate constant
R _{max} (µg/L)	182 (Cheng et al., 2022a)	188 (Cheng et al., 2022a)	Total receptor levels
if *1/*3		× 0.479 (Cheng et al., 2022a)	
if *2/*3	× 2.51 (Cheng et al., 2022a)	× 0.506 (Cheng et al., 2022a)	Fractional multipliers of R _{max} if other <i>CYP2C9</i> genotypes
if *3/*3	× 1.89 (Cheng et al., 2022a)	× 0.21 (Cheng et al., 2022a)	n other err zes genotypes
F _a (%)	100 (Sim	100 (Simcyp, 2020)	
K _a (/hour)	1.85 (Simcyp, 2020)		Absorption rate constant
Lag time (hour)	0 (Simcyp, 2020)		Absorption lag time
BP	0.59 (Simcyp, 2020)		Blood to plasma ratio
\mathbf{f}_{up}	0.009 (Simcyp, 2020)		Fraction of unbound drug in plasma

Table 2. Warfarin drug-property specific parameters

	K _p s	Sources
Brain	0.052	Predicted
Gut	0.162	Predicted
Spleen	0.101	Predicted
Pancreas	0.064	Predicted
Stomach	0.127	Calculated as the average of non-adipose tissues
Liver	0.090	Predicted
Kidney	0.134	Predicted
Heart	0.160	Predicted
Lung	0.215	Predicted
Muscle	0.038	Predicted
Adipose	0.040	Predicted
Skin	0.281	Predicted
Bone	0.103	Predicted
Thymus	0.127	Calculated as the average of non-adipose tissues

Table 3. Predicted S- and R-warfarin partition coefficients (K_ps) using method 2 (Rodgers et.al) in Simcyp version 19 (Simcyp, 2020).

Table 4. The fractions of warfarin metabolic clearance by each metabolic pathway. Fraction values are calculated based on literature (Cheng et al., 2022b). Notes: The metabolic fractions presented in the original study for each parent compound were summed and rescaled to 100% to calculate the new fractions of hepatic intrinsic CL mediated by the various metabolic pathways. The key assumption of this approach is to assume the metabolism of S- or R-warfarin is totally mediated by the respective five metabolic pathways.

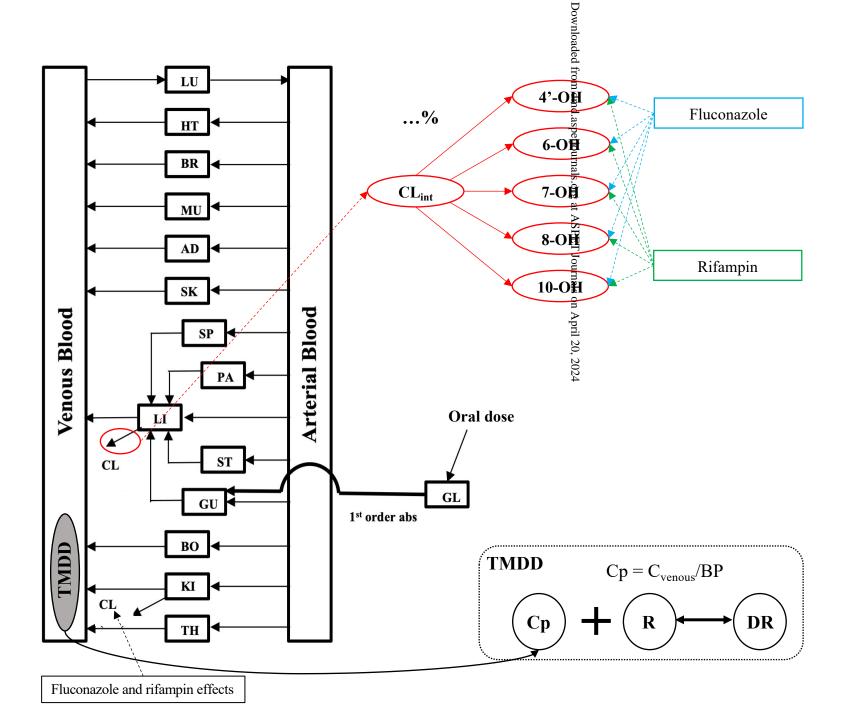
S-warfarin					
	CYP2C9 *1/*1	CYP2C9 *1B/*1B	CYP2C9 *1/*3	CYP2C9 *2/*3	CYP2C9 *3/*3
4'-OH (%)	2.8	2.9	4.7	10.4	28.2
6-OH (%)	19.8	16.8	20.1	17.6	20.6
7-OH (%)	75.3	78.4	72.6	68.5	42.5
8-OH (%)	1.5	1.2	1.7	1.9	4.8
10-OH (%)	0.6	0.7	0.9	1.5	4.0
R-warfarin					
	CYP2C9 *1/*1	CYP2C9 *1B/*1B	CYP2C9 *1/*3	CYP2C9 *2/*3	CYP2C9 *3/*3
4'-OH (%)	2.8	3.3	1.8	1.8	1.8
6-OH (%)	61.1	72.5	61.7	61.7	61.7
7-OH (%)	9.8	11.7	9.9	9.9	9.9
8-OH (%)	23.5	9.2	23.8	23.8	23.8
10-OH (%)	2.8	3.3	2.8	2.8	2.8

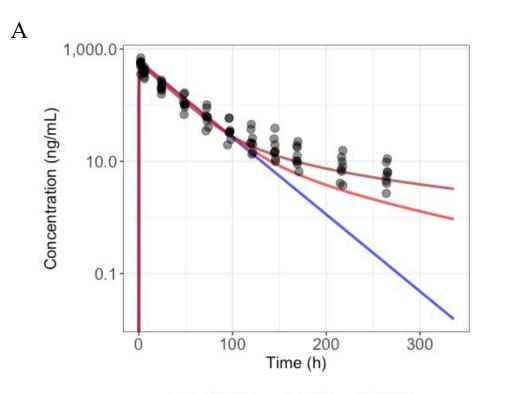
MW (g/mol)	306.271 (PUBCHEM)
K _i (mg/L)	
4'-OH-S	8.88 (Brown et al., 2006; Damle et al., 2011)
6-OH-S	6.74 (Brown et al., 2006)
7-OH-S	6.74 (Brown et al., 2006)
8-OH-S	0.64 (Damle et al., 2011)
10-OH-S	19.3 (Brown et al., 2006; Damle et al., 2011)
4'-OH-R	8.88 (Brown et al., 2006; Damle et al., 2011)
6-OH-R	30.63 (Kunze et al., 1996)
7-OH-R	12.67
8-OH-R	0.64 (Brown et al., 2006)
10-OH-R	19.3 (Brown et al., 2006; Damle et al., 2011)
Fluconazole effects on CL _R (multiplication factor)	
S-warfarin	0.847 (Cheng et al., 2022a)
R-warfarin	0.752 (Cheng et al., 2022a)

Table 5. Fluconazole inhibitory parameters

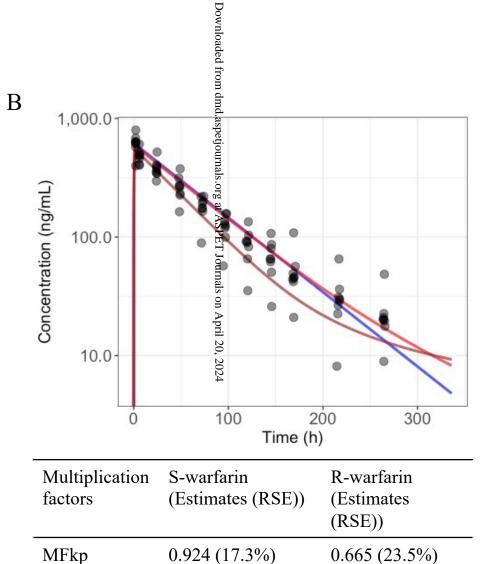
MW (g/mol)	822.94 (PUBCHEM)
ind _{max}	
4'-OH-S	8.4 (Krishna Machavaram, 2017; Yamazaki et al., 2019)
6-OH-S	3.6 (Krishna Machavaram, 2017)
7-OH-S	3.6 (Krishna Machavaram, 2017)
8-OH-S	5.5 (Yamazaki et al., 2019)
10-OH-S	16.0 (Yamazaki et al., 2019)
4'-OH-R	8.4 (Krishna Machavaram, 2017; Yamazaki et al., 2019)
6-OH-R	3.8 (Pelletier et al., 2013)
7-OH-R	7.8 (Pelletier et al., 2013; Krishna Machavaram, 2017; Yamazaki et al., 2019)
8-OH-R	5.5 (Yamazaki et al., 2019)
10-OH-R	16.0 (Yamazaki et al., 2019)
indC50 (mg/L)	
4'-OH-S	0.239 (Krishna Machavaram, 2017; Yamazaki et al., 2019)
6-OH-S	1.234 (Krishna Machavaram, 2017)
7-OH-S	1.234 (Krishna Machavaram, 2017)
8-OH-S	0.370 (Yamazaki et al., 2019)
10-OH-S	0.263 (Yamazaki et al., 2019)
4'-OH-R	0.239 (Krishna Machavaram, 2017; Yamazaki et al., 2019)
6-OH-R	0.181 (Pelletier et al., 2013)
7-OH-R	0.214 (Pelletier et al., 2013; Krishna Machavaram, 2017; Yamazaki et al., 2019)
8-OH-R	0.370 (Yamazaki et al., 2019)
10-OH-R	0.263 (Yamazaki et al., 2019)
Rifampin effe	ects on CL _R (multiplication factor)
S-warfarin	1.30 (Cheng et al., 2022a)
R-warfarin	1.43 (Cheng et al., 2022a)

Table 6. Rifampin induction parameters





- PBPK model without TMDD
- PBPK model with TMDD
- PBPK model with TMDD optimized



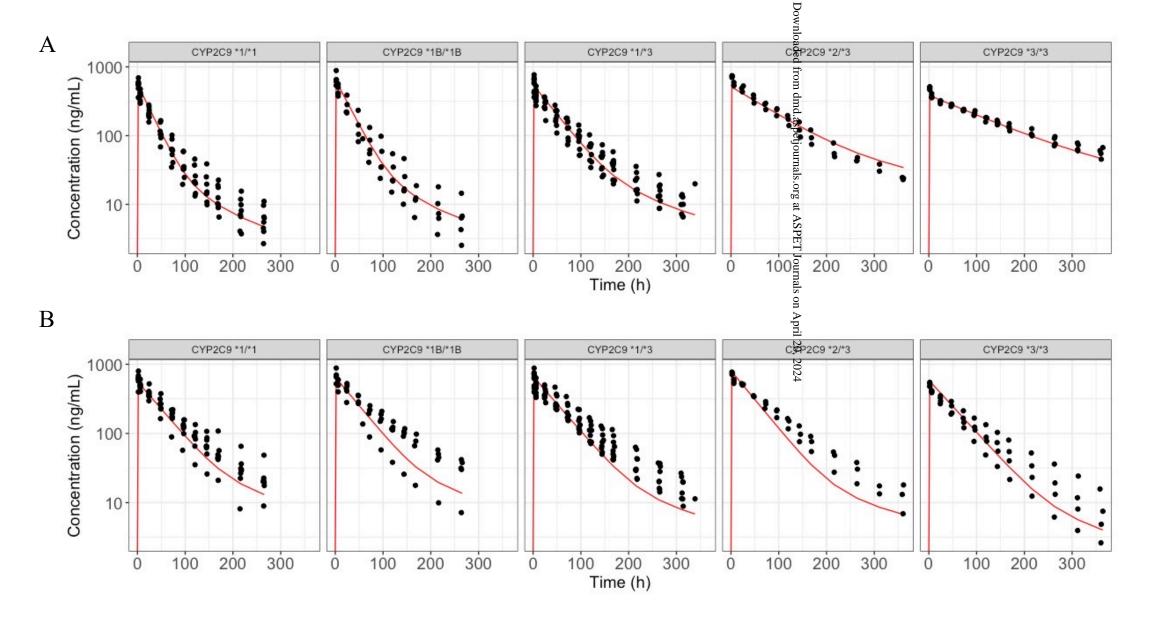
3.74 (28.9%)

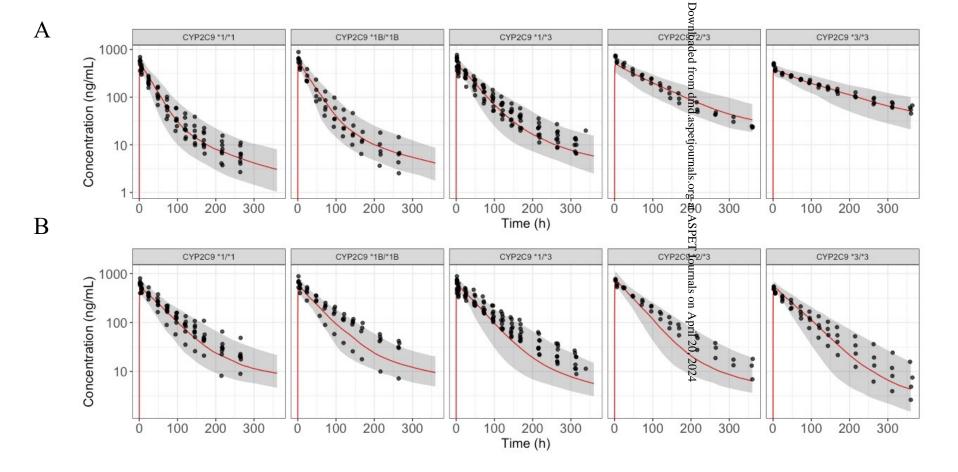
7.64 (24.7%)

13.9 (95.8%)

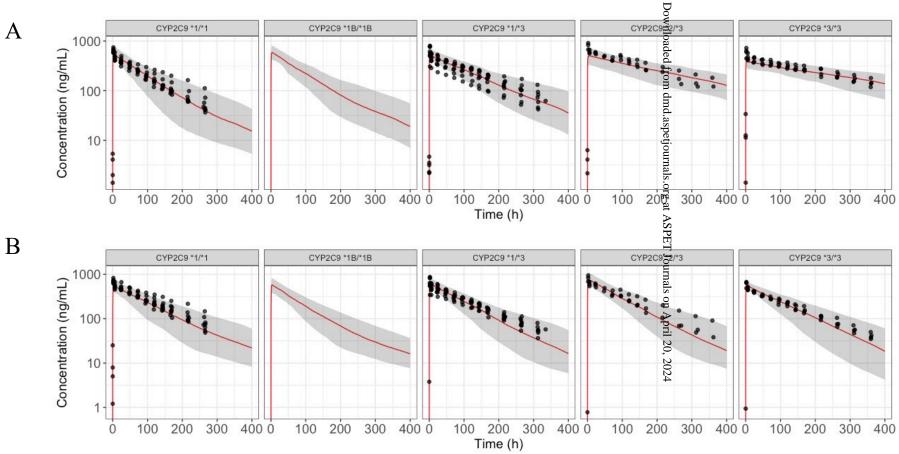
MFRmax

MFkon



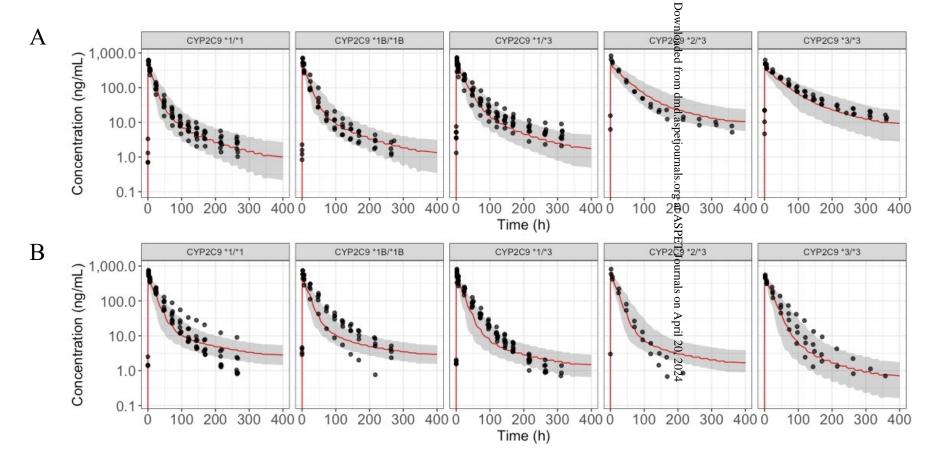


CYP2C9	AUC _{0-360 hours} (ng*hour/mL)			
genotypes -	S-warfarin	R-warfarin		
*1/*1 (N=100)	19797 (34.8 %)	33852 (35.5%)		
*1B/*1B (N=100)	22645 (32.1%)	33936 (32.9%)		
*1/*3 (N=100)	27580 (30.6%)	34979 (31.1%)		
*2/*3 (N=100)	53342 (30.4%)	42114 (33.6%)		
*3/*3 (N=100)	53561 (27.7%)	33691 (34.0%)		



CYP2C9	AUC _{0-360 hours} (ng*hour/mL)		AUC Ratio	
genotypes -	S-warfarin	R-warfarin	S-warfarin	R-warfarin
*1/*1 (N=100)	53061 (32.8%)	59579 (37.7%)	2.68	1.76
*1B/*1B (N=100)	59090 (31.2%)	52576 (32.7%)	2.61	1.55
*1/*3 (N=100)	69466 (26.9%)	63620 (28.8%)	2.52	1.82
*2/*3 (N=100)	102331 (26.6%)	77007 (31.2%)	1.92	1.83
*3/*3 (N=100)	89672 (21.1%)	60985 (31.0%)	1.67	1.81

В



CYP2C9 genotypes	AUC _{0-360 hours}	(ng*hour/mL)	AUC Ratio	
	S-warfarin	R-warfarin	S-warfarin	R-warfarin
*1/*1 (N=100)	9017 (42.0%)	10960 (42.7%)	0.455	0.324
*1B/*1B (N=100)	10074 (34.9%)	10702 (35.5%)	0.445	0.315
*1/*3 (N=100)	12673 (36.3%)	10611 (38.2%)	0.459	0.303
*2/*3 (N=100)	26016 (35.2%)	12513 (40.1%)	0.488	0.297
*3/*3 (N=100)	22648 (36.9%)	10328 (41.6%)	0.423	0.307