

**Full paper:**

**Quantitative CYP3A4 induction risk assessment using human hepatocytes complemented with pregnane X receptor (PXR)-activating profiles**

Aynur Ekiciler<sup>1</sup>, Wen Li Kelly Chen<sup>1</sup>, Yan Bo, Alessandra Pugliano, Massimiliano Donzelli, Neil Parrott, Kenichi Umehara

*Roche Pharmaceutical Research and Early Development, Roche Innovation Center Basel, Grenzacherstrasse 124, CH-4070 Basel, Switzerland (A.E., A.P., M.D., N.P., K.U.)*

*Roche Pharmaceutical Research and Early Development, China Innovation Center of Roche, Building 5, No.371, LiShizhen Road, Pudong new area, Shanghai, 201203, China (W.C., Y.B.)*

<sup>1</sup> *These authors share the first authorship.*

*Evaluation of CYP3A4 induction incorporating PXR activation*

**Running title:** Evaluation of CYP3A4 induction incorporating PXR activation

**Corresponding Author:** Kenichi Umehara, Ph.D.

Roche Pharmaceutical Research and Early Development, Grenzacherstrasse 124,  
CH-4070 Basel, Switzerland. Tel.: +41-61-68-80821. E-mail:  
[kenichi.umehara@roche.com](mailto:kenichi.umehara@roche.com)

Text pages:	30
Tables:	4
Figures:	3
References:	33
Abstract:	214 words ( $\leq$ 250)
Significant Statements:	66 words ( $\leq$ 80)
Introduction:	743 words ( $\leq$ 750)
Discussion:	1,483 words ( $\leq$ 1,500)
Supplementary Table:	2
Supplementary Figure:	2

**Abbreviations:** AUC, area under the curve; AUCR, area under the curve ratio; b.i.d., twice daily, CAR, constitutive androstane receptor; Cave, average concentration at steady state; CITCO, 6-(4-Chloro phenyl)imidazo[2,1-b] [1,3]thiazole-5-carbaldehyde O-3,4-dichlorobenzyl) oxime; C<sub>max</sub>, maximum steady state concentration; Cave,u, unbound average concentration at steady state; C<sub>max,u</sub>, unbound maximum steady state concentration; Ct, cycle time;  $\Delta$ Ct, the change in Ct for gene of interest relative

*Evaluation of CYP3A4 induction incorporating PXR activation*

to housekeeping gene;  $\Delta\Delta\text{Ct}$ , the change in  $\Delta\text{Ct}$  for test compound relative to vehicle control (i.e. fold induction); CYP, cytochrome P450; DDI(s), drug-drug interaction(s); DMSO, dimethylsulfoxide; EC50, concentration that supports 50% of maximum response; EMA, European medicines agency; Emax, maximum fold increase (or induction) minus baseline of 1-fold; F2, the concentration achieving 2-fold induction; Fa, fraction absorbed after oral administration; FDA, Food and Drug Administration; Fg, fraction available escaped from intestinal metabolism after oral administration; fmCYP, fraction metabolized by cytochrome P450; fu, fraction unbound in plasma; GAPDH, glyceraldehyde 3 phosphate dehydrogenase; HBSS, Hanks' Balanced Salt Solution; HEPES, N-2-hydroxyethylpiperazine-N-2-ethane sulfonic acid; ka, absorption rate constant; LDH, lactate dehydrogenase; MTT, 3-[4, 5-dimethyl-2-thiazolyl]-2, 5-diphenyl-2H-tetrazolium bromide; PCR, polymerase chain reaction; P-gp, P-glycoprotein; PK, pharmacokinetics; p.o., by mouth, PXR, pregnane-X receptor; Qent, enterocyte blood flow rate; q.d., once daily; Qh, hepatic blood flow rate; Rb, blood-to-plasma partition ratio; RT-PCR, real-time reverse-transcription polymerase chain reaction; RIS, relative induction score; SD, standard deviation; TDI, time-dependent inhibition; UGT, uridine 5'-diphospho-glucuronosyltransferase.

*Evaluation of CYP3A4 induction incorporating PXR activation*

**Abstract**

Reliable in vitro to in vivo translation of CYP3A4 induction potential is essential to support risk mitigation for compounds during pharmaceutical discovery and development. In this study, a linear correlation of CYP3A4 mRNA induction potential in human hepatocytes with the respective PXR activation in a reporter gene assay using DPX2 cells was successfully demonstrated for 13 clinically used drugs. Based on this correlation, using rifampicin as a positive control, the magnitude of CYP3A4 mRNA induction for 71 internal compounds at several concentrations up to 10  $\mu$ M (n=90), was predicted within 2-fold error for 64% of cases with only a few false positives (19%). Furthermore, the in vivo AUC reduction of probe CYP substrates was reasonably predicted for 8 marketed drugs (carbamazepine, dexamethasone, enzalutamide, nevirapine, phenobarbital, phenytoin, rifampicin and rifinamide) using the static net effect model using both the PXR activation and CYP3A4 mRNA induction data. The liver exit concentrations were used for the model in place of the inlet concentrations to avoid false positive predictions and the concentration achieving 2-fold induction (F2) was used to compensate for the lack of full induction kinetics due to cytotoxicity and solubility limitations in vitro. These findings can complement the currently available induction risk mitigation strategy and potentially influence the drug interaction modeling work conducted at clinical stages.

**Significance Statement**

The established correlation of CYP3A4 mRNA in human hepatocytes to PXR activation provides a clear cut-off to identify a compound showing an in vitro induction risk, complementing current regulatory guidance. Also, the demonstrated in vitro-in vivo translation of induction data strongly supports clinical development

*Evaluation of CYP3A4 induction incorporating PXR activation*

program although limitations remain for drug candidates showing complex disposition pathways such as involvement of auto-inhibition/induction, active transport and high protein binding.

## **Introduction**

Enzyme induction, defined as the increase in the biosynthesis of catalytically active enzyme following exposure to a chemical agent, is an important mechanism of pharmaceutical drug-drug interaction (DDI). The associated increase in the metabolism and clearance of a drug [either the inducing molecule itself (auto-induction) or a co-medication], can lead to reduced pharmacological activity or even toxicity due to increased metabolite levels. These potential effects on efficacy and safety mean that the induction of cytochrome P450 (CYP) enzymes is of high clinical importance (Hakkola et al., 2020).

CYP induction occurs mainly via aryl hydrocarbon receptor for CYP1A, constitutive androstane receptor (CAR) for CYP2B6, and pregnane X receptor (PXR) for CYP3A. Many other enzymes [(e.g. CYP2C8, CYP2C9, CYP2C19, uridine 5'-diphospho-glucuronosyltransferase (UGT)] and transporters [e.g. P-glycoprotein (P-gp)] are also induced via the PXR pathway (Lu and Di, 2020) (Yamazaki et al., 2019). Since CYP3A4 metabolizes more than 50% of clinically used drugs, PXR activation is of primary clinical relevance (Einolf et al., 2014) (Lehmann et al., 1998). CYP3A4 induction involves the steps of transcription and translation and is a dynamic complex process (Yamashita et al., 2013). Nonetheless, mechanistic static model approaches based on the ratio of in vivo peak plasma concentration to the half-maximal effective concentrations (EC<sub>50</sub>) for CYP3A4 mRNA induction in human primary hepatocytes or liver-derived HepaRG cells have shown reasonable prediction of clinical induction potential (Einolf et al., 2014) (Kanebratt and Andersson, 2008). Furthermore, 20 clinical DDI study results where greater than 20% decreases in the exposure of CYP3A4 substrates in the presence of moderate and strong inducers could be predicted with physiologically-based pharmacokinetic

*Evaluation of CYP3A4 induction incorporating PXR activation*

(PBPK) modeling (Bolleddula et al., 2021). These approaches may also predict the induction of intestinal CYP3A4 by taking into account intestinal enzyme abundance. Guidelines for investigation of induction DDI risk with both static and dynamic modeling have been provided by regulators (EMA 2012; FDA, 2020) (Huang et al., 2013) (Luzon et al., 2017) (Sato et al., 2017) and international harmonization efforts are in progress (ICH M12). Recently, the International Consortium for Innovation and Quality PBPK induction Working Group proposed initial roadmaps for PBPK application for induction DDI risk assessment and discussed future trends based on a survey across participating pharmaceutical industries (Hariparsad et al., 2021).

While the pharmaceutical industry follows these guidance documents for induction risk assessment, several scientific gaps remain and different stages of drug discovery and development are still subject to uncertainties and challenges. PXR binding and reporter gene assays have been deployed as high-throughput screening assays in drug discovery (Zhu et al., 2004) and attempts to correlate CYP3A4 induction in human hepatocytes to PXR fold activation in a reporter gene assay have been made for 14 reference drugs (Luo et al., 2002). However, there have been limited reports on use of these data for prediction of the magnitude of in vivo CYP3A4 induction for marketed drugs or pharmaceutical development compounds. Another important consideration for mechanistic model-based induction predictions is that the DDI risk assessment can be highly impacted by the reliability of in vitro input parameters. For instance, cytotoxicity or solubility limitations at higher concentrations mean that reliable estimation of in vitro induction parameters such as EC<sub>50</sub> can be challenging. For this reason, the concentration achieving 2-fold induction (F<sub>2</sub>) was recently proposed as an alternative to EC<sub>50</sub> (Kenny et al., 2018) and has been used in this study.

*Evaluation of CYP3A4 induction incorporating PXR activation*

In this work, we aimed to establish a correlation of PXR activation in a reporter gene assay to CYP3A4 mRNA induction in human hepatocytes for 13 clinically used CYP3A4 inducers taken from the FDA DDI guidance document (FDA, 2020). This has been followed by the application of the obtained in vitro-in vitro correlation to make predictions for 71 internal drug discovery compounds. Finally, we wished to compare the translatability to in vivo of the data from the PXR reporter gene assay with that of the hepatocyte mRNA assay and to assess their potential for induction DDI risk mitigation. This evaluation used a set of clinical DDI data collected from the literature for 10 marketed drugs which are known enzyme inducers. A mechanistic PBPK modeling approach would be ideal to capture the dynamic changes involved in vivo including enzyme transcription/translation dynamics and potential negative feedback on PXR. However, such a modelling approach remains challenging (Yamashita et al., 2013). Therefore, our predictions of the effect of CYP3A4 induction on the exposure of reference CYP3A4 substrates was performed within a mechanistic static model using the F2 parameter derived from in vitro.



## **Materials and Methods**

**Chemicals.** Carbamazepine, dexamethasone, nevirapine, nifedipine, phenobarbital, phenytoin, pleconaril, rifampicin, ritonavir, rufinamide, sulfinpyrazone and tamoxifen were purchased from Sigma-Aldrich (St. Louis, MO). Efavirenz and mitotane were obtained from Abcam (Cambridge, United Kingdom). Enzalutamide, etravirine and flumazenil were purchased from TargetMol (Wellesley Hills, MA), Cayman Chemical (Ann Arbor, MI), and National Institute for Food and Drug Control (Beijing, China), respectively. Bosentan and drug candidates (n=71) from early drug discovery phases were synthesized at F. Hoffmann-La Roche Ltd. (Basel, Switzerland). Dimethyl sulfoxide (DMSO; Sigma-Aldrich) was used to prepare stock solutions of the test drugs, resulting in the designated DMSO concentrations (% v/v) in the final incubation samples.

**PXR reporter gene assay.** DPX2 cells, a HepG2-derived cell line stably integrated with a PXR expression vector plus a luciferase reporter (Fahmi et al., 2012), were plated in 96-well plates in a Puracyp culture medium (Carlsbad, CA) supplemented with 10% (v/v) fetal bovine serum at a cell density of  $4.5 \times 10^5$  cells/mL. After approximately 24 hr the plating medium was replaced by medium containing test articles at concentrations of 0.1 – 10  $\mu$ M and including the positive control activator rifampicin at 20  $\mu$ M in a vehicle solvent control (0.1% v/v DMSO). The cultures were maintained for 48 hr. Flumazenil at 0.1 - 10  $\mu$ M was also tested as a negative control. After the treatment, the dosing medium was aspirated from each well and, washed twice with PBS. The PXR reporter activity (luminescence) of the treated cells in the individual wells was determined with the ONE-Glo Luciferase assay kit and cell viability was determined by CellTiter-Fluor™ Cell Viability Assay kit

*Evaluation of CYP3A4 induction incorporating PXR activation*

according to the supplier's specifications (<http://www.puracyp.com/>). All incubations were performed in triplicate.

**Human hepatocyte culture and incubation with the test article.** Human hepatocytes from individual human liver donors were acquired from Corning Life Sciences (Woburn, MA) [Batch No. 399 (female, 27 years old)], LONZA (Walkersville, MD) [HUM182641 (male, 4 years old), HUM181441 (male, 53 years old) and HUM180871 (female, 37 years old)] and KaLy-Cell (Plobsheim, France) [S1554T (male, 68 years old)]. The following assays were performed according to (Zhang et al., 2014) with some modifications.

The inducible cryopreserved hepatocytes were thawed rapidly and purified followed by plating on 24- or 96-well collagen I-coated plates at  $0.6$  or  $0.06 \times 10^6$  cells/well, respectively, using high viability cryo-hepatocyte recovery kits (In Vitro ADMET Laboratories, LLC; Columbia, MD). After approximately 4 hr, the plating medium was replaced with hepatocyte culture medium (Corning Life Sciences), and the cultures were maintained overnight. Hepatocyte cultures were treated for 2 days with test articles at 0.1, 1, 3 and 10  $\mu\text{M}$ . The medium was replaced daily with fresh hepatocyte culture medium containing the test article or controls. After a total of 48 hr of treatment for the induction assessment, medium was aspirated from the wells, and the cells were washed twice with pre-warmed HBSS containing 10 mM HEPES and then stored at  $-80^\circ\text{C}$  before real-time reverse-transcription polymerase chain reaction (RT-PCR) assays. The positive control inducers of CYP2B6 were 1000 or 2000  $\mu\text{M}$  phenobarbital and for CYP3A4 1 or 10  $\mu\text{M}$  rifampicin in a vehicle solvent control (0.1% v/v DMSO). Flumazenil at 0.1 - 10  $\mu\text{M}$  was also tested as a negative control. All incubations were performed in triplicate.

*Evaluation of CYP3A4 induction incorporating PXR activation*

After hepatocytes were exposed to the test articles and the positive control cytotoxic agent tamoxifen (50  $\mu$ M) or doxorubicin (100  $\mu$ M), the hepatocyte viability in culture was also tested using the 3-[4, 5-dimethyl-2-thiazolyl]-2, 5-diphenyl-2H-tetrazolium bromide (MTT) assay (Corning Life Sciences) or the lactate dehydrogenase (LDH) assay (F. Hoffmann-La Roche Ltd) according to the corresponding manufacturer's instruction. The hepatocytes were also visually inspected for cellular morphology during the treatment period. All incubations were performed in triplicate.

**Total RNA isolation and RT-PCR assays.** The 48-h treated plates were thawed at room temperature and RNA isolation was performed manually using the RNeasy® kit (Qiagen, Germany) or the Taqman® Fast Cells kit (Thermo Fisher; Waltham, MA) according to the manufacturer's instructions. The mRNA expression for each CYP isoform was determined by Taqman® Real Time RT-PCR methods. A reverse transcription (RT) assay was performed using a GeneAmp PCR system 9700 (Thermo Fisher) followed by a polymerase chain reaction (PCR) assay conducted with a ViiA™7 PCR system (Thermo Fisher); otherwise a one-step RT-PCR assay was performed with LightCycler 480 (F. Hoffmann-La Roche Ltd) or CFX96 Touch Real-Time PCR Detection System (Bio-Rad; Hercules, CA): RT reaction for 60 min at 37°C followed by inactivation for 5 min at 95°C; and PCR reaction (40 cycles) for 1 second at 95°C followed by 20 second at 60°C. The following probes (Thermo Fisher) were used in the PCR assay:  $\beta$ -actin (Hs99999903\_m1) or glyceraldehyde 3-phosphate dehydrogenase (GAPDH; Hs02786624\_g1) for the housekeeping gene, CYP2B6 (Hs03044634\_m1 or Hs04183483\_g1) and CYP3A4 (Hs00430021\_m1 or Hs00604506\_m1).

*Evaluation of CYP3A4 induction incorporating PXR activation*

**Data calculation in the human PXR activation and hepatocyte induction**

**assays.** Regarding the reporter gene assay, the normalized luciferase activity was determined by a ratio of  $(\text{RLU}/\text{RFU})_{\text{test substance}}$  per  $(\text{RLU}/\text{RFU})_{\text{vehicle}}$ , where the RLU and RFU represents the mean luminescence unit and the mean fluorescence unit, for each test compound at each dosage and for vehicle, respectively.

The fold induction for CYP2B6 and/or CYP3A4 mRNA in human cryopreserved hepatocytes was determined using the calculation of  $2^{-\Delta\Delta\text{Ct}}$  (Livak and Schmittgen, 2001) where  $\Delta\Delta\text{Ct}$  represents the change in  $\Delta\text{Ct}$  for test compound relative to vehicle control (i.e. fold induction). The  $\Delta\text{Ct}$  value is determined by the change in the fractional PCR cycle numbers (Ct) for a target gene (CYP2B6 and/or CYP3A4) relative to a corresponding endogenous control gene ( $\beta$ -actin or GAPDH).

Percentage (%) of positive control response for both PXR activation and CYP3A4 mRNA induction were calculated as follows:  $(\text{mean of observed fold increase} - 1) / (\text{mean of observed maximal fold increase by rifampicin} - 1)$ . Rifampicin at 20  $\mu\text{M}$  and 10  $\mu\text{M}$  were considered as control to provide the 20-40 fold enhancement as a maximal-fold readout in the PXR activation and hepatocyte induction assays, respectively. This allowed relatively good resolution in % of control data assessment. As an exception, the 20~40 fold-induction of CYP3A4 mRNA at 1  $\mu\text{M}$  rifampicin was used as control in two liver donors (HUM182641 and HUM180871) which showed a fold-induction of ~100 at 10  $\mu\text{M}$  throughout the study. This was aligned with the criteria previously proposed that the magnitude of maximal rifampicin response in the donor should be  $\geq 10$ -fold irrespective of positive or negative for CYP3A4 mRNA induction (Kenny et al., 2018).

The fold value and % of the positive control response in the reporter gene assay were compared to the corresponding readout in the human hepatocyte

*Evaluation of CYP3A4 induction incorporating PXR activation*

induction assay for 13 marketed drugs including the index inducers for CYP3A4 defined in the DDI guidance document (FDA, 2020). After that, a linear regression correlation established from the training data set was applied to predict CYP3A4 mRNA induction potential for 71 internal drug candidates synthesized at F. Hoffmann-La Roche Ltd.

**Curve fitting.** To estimate the drug concentration that causes a 2-fold increase in PXR activation or CYP induction value in the reporter gene and hepatocyte assays, the concentration-dependent induction response data were fitted to equation 1:

$$F2 = \frac{2}{(Top - 1)^{(1/2) \times EC50,app}} \quad (1)$$

where Top and EC50,app are the apparent maximal response and the concentration achieving 50% of the Top value, respectively (Kenny et al., 2018) (Zhang et al., 2014). The curve fitting was performed using Phoenix 64 (Certara Inc., Princeton, NJ).

**Correlation approaches.** The net effect equation 2 was used to predict the magnitude of the DDIs expressed as the ratio of area under the exposure-time curve in the presence and absence of CYP3A4 induction (AUCR) (Fahmi et al., 2009). The impact of competitive inhibition and inactivation on CYP3A4 in the intestine and liver was omitted from the mathematical model.

$$AUCR = \frac{1}{Cg \times (1 - Fg) + Fg} \times \frac{1}{Ch \times fm + (1 - fm)} \quad (2)$$

In equation 2, Fg and fm are the fraction escaping intestinal metabolism and the fraction metabolized by CYP3A4, respectively. Cg and Ch are the terms for induction in the intestine and the liver, respectively. These terms include the ratios of the in vivo concentrations where the DDI occurs ([I]h and [I]g for liver and gut respectively)

*Evaluation of CYP3A4 induction incorporating PXR activation*

to the respective F2 values obtained from the PXR activation or hepatocyte induction study results.

$$Ch = 1 + \frac{[I]h}{F2} \quad (3)$$

$$Cg = 1 + \frac{[I]g}{F2} \quad (4)$$

[I]g was estimated according to equation 5 (Yang et al., 2007).

$$[I]g = \frac{ka \times Fa \times Dose}{Qent} \quad (5)$$

where ka, Fa and Qent are oral absorption rate constant, fraction absorbed of oral dosing, and enterocyte blood flow rate (18 L/h), respectively. For liver, several options were considered: [I]h = average concentration at steady state Cave (method 1), unbound Cave (Cave,u; method 2), maximum steady state concentration Cmax (method 3), and unbound Cmax (Cmax,u; method 4). The current static model did not consider the interplay between enzyme induction and degradation rate of CYP3A4. The differences of the currently deployed and original net effect models are briefly summarized in Table 1.

Finally 31 in vivo DDI study results for 10 marketed inducer drugs (carbamazepine, bosentan, dexamethasone, enzalutamide, nevirapine, phenobarbital, phenytoin, pleconaril, rifampicin, and rufinamide) found by searching the University of Washington Drug Interaction Database (<https://didb.druginteractionsolutions.org/>) were compared to the magnitude of the CYP3A4 induction potential predicted based on the modified net effect model above. The observed DDI study data used as reference are summarized in Table 2. The in vivo sensitive CYP3A4 substrates used in the reference clinical DDI studies were cyclosporine, itraconazole, panobinostat, triazolam, verapamil, simvastatin and midazolam. A head-to-head comparison to the static induction DDI risk prediction

*Evaluation of CYP3A4 induction incorporating PXR activation*

results was made in this study. The respective  $F_g$  and  $f_m$  values were as follows: 0.48 (Davies et al., 2020) and 0.99 (SimCYP V21 prediction) for cyclosporine; 0.94 and 0.98 (SimCYP V21 prediction) for itraconazole; 0.68 and 0.40 (Einolf et al., 2017) for panobinostat; 0.36 (Andrew et al., 2004) and 0.93 (Klammers et al., 2022) for triazolam; 0.60 (Davies et al., 2020) and 0.65 (SimCYP V17 model verification document) for verapamil; 0.29 (Davies et al., 2020) and 1 (Klammers et al., 2022) for simvastatin; and 0.57 (Vieira et al., 2014) and 0.95 (Njuguna et al., 2016) for midazolam.

## Results

***Qualification of hepatocyte batches for inducibility of CYP enzymes and DPX2 cells for PXR activation.*** As CYP3A4 induction may be co-regulated by PXR and CAR activation (Einolf et al., 2014), the enzyme inducibility of hepatocyte batches used in this study (e.g. lot. 399) was confirmed by measurement of the fold increases in mRNA for CYP3A4 (PXR) and CYP2B6 (CAR) after exposure to concentration dependent (1, 3 and 10  $\mu$ M), strong (carbamazepine, phenytoin), moderate (efavirenz) and weak (dexamethasone) CYP3A4 inducers (Supplementary Figure S1). Phenobarbital at 1000 or 2000  $\mu$ M, and rifampicin at 10  $\mu$ M were used as the positive control inducers for CYP2B6 and CYP3A4, respectively. Of note, one batch (S15554T) excluded from the current study showed concentration-dependent increase in CYP3A4 mRNA but similar increase could not be demonstrated in CYP2B6 mRNA (Supplementary Figure S1). No cytotoxic signals were observed among all the test substances used in this study except for efavirenz at 10  $\mu$ M.

Despite the inter-individual variability, all human hepatocyte batches selected for this study (399, HUM181441, HUM180871 and/or HUM182641) showed a concentration-dependent CYP3A4 mRNA induction reaching > 2-fold up to 10  $\mu$ M for all test articles apart from pleconaril (Supplementary Table S1). As a negative control, flumazenil showed similar or only slightly higher responses (3.5-4.1% of control) relative to the vehicle control (Supplementary Table S1). Concentration-dependent PXR activation was also observed in DPX2 cells.

***PXR activation using a reporter gene assay relative to CYP3A4 mRNA induction in cultured human hepatocytes.*** For a set of 13 marketed in vitro and in vivo inducers of CYP3A4 (carbamazepine, dexamethasone, efavirenz, enzalutamide, etravirine, mitotane, nevirapine, nifedipine, phenytoin, pleconaril, ritonavir,



*Evaluation of CYP3A4 induction incorporating PXR activation*

rufinamide, sulfapyrazone) including a negative control (flumazenil) at 0.1, 1 and 10  $\mu$ M (Figure 1; Supplementary Table S1). The CYP3A4 mRNA fold-induction and % of the control response were approximately 1.98- and 1.24-times higher than the respective PXR activation data in DPX2 cells showing a good linear correlation with  $r^2$  values of 0.780 and 0.722, respectively.

Since data showing moderate-to-strong PXR activation and CYP3A4 mRNA induction in vitro were limited (Figure 1), the validity of the correlation and regression analysis results shown in Figure 1 was further explored by prediction of the CYP3A4 mRNA fold induction using PXR activation data for 71 internal drug candidates at 0.1, 1 and/or 10  $\mu$ M (Figure 2). Percentage of the control response was used for this purpose since the readout from the two different in vitro assays was being compared. 19% of the total data points (n=90) demonstrated >2-fold under-estimation of the CYP3A4 mRNA induction potential, 17% showed >2-fold over-estimation prediction while 64% were successfully predicted within 2-fold error. The established linear correlation can estimate CYP3A4 mRNA induction using the PXR activation data with a limited number of false negative cases (Figure 2). Furthermore, when considering the data within different chemical series, the predictive relationship could potentially be modulated based upon a training dataset to improve the predictions for further compounds within the same project. For example, compounds synthesized for project A in Figure 2 tended to show an over-estimation of the CYP3A4 mRNA induction potential.

***In vitro-in vivo extrapolation of CYP3A4 induction effects.*** The mean of the % reduction in AUC observed in clinical DDI study for 8 of 10 marketed inducer drugs (carbamazepine, dexamethasone, enzalutamide, nevirapine, phenobarbital, phenytoin, rifampicin and rufinamide; Table 2) could be successfully correlated with

*Evaluation of CYP3A4 induction incorporating PXR activation*

the CYP3A4 induction potential predicted using the modified net effect model (Table 1 and Table 3; Figure 3). Correlation did not work for 2 of 10 inducers, namely pleconaril and bosentan (Supplementary Figure S2). The input parameters for the static model calculation are summarized in Supplementary Table S2.

The in vitro-in vivo correlation performance of CYP3A4 induction potential was not affected by the different [I]h values used in the calculation:  $C_{ave}$  (method 1),  $C_{ave,u}$  (method 2),  $C_{max}$  (method 3) and  $C_{max,u}$  (method 4). The induction DDI risk categorization into weak (AUC reduction of the probe CYP3A4 substrate  $\leq 20\%$ ), moderate ( $20\% < \text{AUC reduction} \leq 50\%$ ) and strong ( $50\% < \text{AUC reduction} \leq 80\%$ ) was more accurately captured when based on the static prediction results in DPX2 cells [Figure 3(A), (C), (E) and (G)] than when based on the results from cryopreserved hepatocytes where clustering was only achieved into the weak (dexamethasone) and strong induction groups (other 7 drugs) [Figure 3(B), (D), (F) and (H)].

## **Discussion**

Currently, the potential of test articles to induce CYP3A4 is examined primarily by measuring changes in the levels of CYP3A4 mRNA in human cultured hepatocytes. The reporter gene assay with DPX2 cells (Fahmi et al., 2012), has the potential to reduce the need to use inducible human hepatocytes and therefore could be valuable to screen out compounds with CYP3A4 induction potential. However, past reports have noted, a relatively poor correlation between PXR activation and CYP3A4 activity, potentially related to the masking of induction by time-dependent inhibition (TDI) effects (Luo et al., 2002).

In this study, a reasonable correlation of PXR activation in DPX2 cells to CYP3A4 mRNA induction in human hepatocytes for 13 clinically used CYP3A4 inducers was successfully established (Figure 1, Supplementary Table S1). While  $\geq 20\%$  of the control response has been proposed as a cutoff to identify induction liability (EMA 2012; FDA, 2020),  $\geq 10\%$  of the control response could be proposed as the threshold value for the reporter gene assay since the current study showed that CYP3A4 mRNA induction was approximately 2-fold higher than PXR activation.

Proposals for criteria to identify CYP3A4 induction potential based on the established correlation are summarized in Table 4. Interestingly, relatively weak induction signals of  $\sim 10\%$  of control response were observed in hepatocytes for the two strong in vivo CYP3A4 inducers carbamazepine and phenytoin (Supplementary Table S1). Regarding in vitro data variability, stable PXR activation signals in DPX2 cells were expected with some confidence since the cell lines were stably integrated with a PXR expression vector plus a luciferase receptor (Fahmi et al., 2012). With hepatocytes, considering the highly variable enzyme inducibility among human hepatocyte batches, CYP3A4 mRNA induction response must be observed in more

*Evaluation of CYP3A4 induction incorporating PXR activation*

than one donor to reduce the risk of false positives (Kenny et al., 2018); otherwise at least one donor should exceed the defined threshold (FDA, 2020).

Performance of the established in vitro-in vitro correlation is affected by inclusion or exclusion of the potential effects of cytotoxicity, solubility and non-specific binding at the concentrations tested. For example, Sun et al (2017) reported <10% remaining of pleconaril after 24h incubation at 4°C as well as 37°C likely due to the strong non-specific binding to incubation materials. In addition, a potential involvement of additional nuclear receptors in the CYP3A4 induction can alter the established correlation. For instance, a much higher CYP3A4 mRNA response (12-fold) compared to the PXR activation (2.04-fold) after incubation of mitotane at 10 µM (Supplementary Table S1) was observed. This supports the hypothesis of co-regulation of CYP3A4 induction by CAR as well as PXR as previously reported (Takeshita et al., 2013; in-house data). A CAR reporter gene assay could complement the use of the PXR assay and address under-estimation of CYP3A4 mRNA induction potential based on only PXR transactivation, even though CAR activation is not necessarily caused by the ligand binding (Linnea et al., 2011).

CYP3A4 mRNA induction as % of the control response for 71 internal compounds at 0.1, 1 and/or 10 µM in drug discovery was predicted using the PXR activation data (Figure 2). A limited number of false positive cases [19% of the data points (n=90)] were observed. In particular, for the weak in vitro inducers showing <10% of the rifampicin control, PXR activation data can have value to complement hepatocyte induction profiles and for construction of quantitative structure-activity relationships. Nonetheless, improvement of the in vitro – in vitro correlation with 64% of cases within 2-fold error was demonstrated here compared to a previous report of only approximately 40% by Yuan et al (2016). Considering that we wished to assess

*Evaluation of CYP3A4 induction incorporating PXR activation*

the practical use of this approach in a screening mode in Drug Discovery where a short turnaround time is needed, the assay was intentionally evaluated using only one qualified hepatocyte batch (batch 399). We acknowledge that, performing the assessment of CYP3A4 mRNA-inducing activity with additional batches of hepatocytes will be needed to provide further validity of the outcome.

Prediction of in vivo CYP3A4 induction based on in vitro PXR activation data has been previously reported (Fahmi et al., 2012), and data from human hepatocytes have been intensively studied using several static DDI calculation methods (Einolf et al., 2014). In this study, translatability was explored using a modified F2 method. This was done because of the difficulty in estimation of full induction parameters when cytotoxicity or solubility limitations are anticipated at higher concentrations (Kenny et al., 2018). Irrespective of the scenario used to estimate hepatic concentrations [ $I$ ]<sub>h</sub> = C<sub>ave</sub> (method 1), C<sub>ave,u</sub> (method 2), C<sub>max</sub> (method 3), and C<sub>max,u</sub> (method 4), the in vivo CYP3A4 induction potential of the test drugs (carbamazepine, dexamethasone, enzalutamide, nevirapine, phenobarbital, phenytoin, rifampicin and rufinamide) was reasonably estimated based on the in vitro data (Figure 3; Table 1, Table 2 and Table 3). In our evaluation, the systemic exposure was employed instead of hepatic inlet concentration (which was deployed in the original net effect model) in order to avoid false positive induction predictions (Table 1). For the 10 clinically used drugs tested in this study, the estimated unbound liver inlet concentrations were 1.97 (carbamazepine) to 29.5-fold (dexamethasone) higher than the respective unbound C<sub>max</sub> values (Supplementary Table S2). No significant difference in performance of the net effect model using C<sub>ave</sub> (methods 1/2) and C<sub>max</sub> (methods 3/4) was detected.

*Evaluation of CYP3A4 induction incorporating PXR activation*

The insensitivity of in vitro-in vivo translatability to the effect of protein binding seen in this study can be attributed to the use of in vitro incubations without added protein. In addition, all test substances in this study have unbound fraction in human plasma ( $f_u$ ) within a technically measurable range of 0.01 - 0.66 (Supplementary Table S2). However, the over-estimation of the in vivo CYP3A4 induction potential of pleconaril and bosentan may be linked to their low  $f_u$  values of 0.01 and 0.02 respectively (Supplementary Table S2). These were exceptions to the good in vitro-in vivo correlation established in this study (Tables 2 and 3; Figure 3; Supplementary Table S2 and Figure S2). For compounds with high protein binding,  $f_u$  measurement could impact the predictability of induction as has been previously reported (Sun et al., 2017).

The moderate in vivo induction potential predicted using PXR activation for nevirapine and phenytoin was predicted in the strong induction category when based on the hepatocyte induction study results (Figure 3; Table 2 and Table 3). Nevirapine potentially induces CYP2B6 by CAR activation which cross-talks with the CYP3A4 induction cascade (Fan-Havard et al., 2013). Therefore, this may explain why the in vitro CYP3A4 induction potential could not be well-captured by DPX2 cells (Figure 1; Supplementary Table S1).

Further causes of prediction errors may be poor estimation of inducer concentrations at the site where the induction DDI occurs effects such as auto-induction and TDI on CYP3A4 or other enzymes, and contributions of active uptake and biliary excretion to hepatic elimination. For example, reduction of systemic exposure of phenytoin at steady state compared to that after a single oral administration has been reported, (Chetty et al., 1998) indicating auto-induction potential. Also, after co-medication of the CYP3A4 substrate simvastatin with oral

*Evaluation of CYP3A4 induction incorporating PXR activation*

administrations of bosentan, an AUC reduction was estimated to be 81% to 100%, which over-estimated the clinical observation (40%) (Tables 2 and 3; Supplementary Figure S2). This may be attributed to the auto-induction of bosentan on CYP3A4/2C9 (Dingemans and van Giersbergen, 2004) as well as an involvement of saturable hepatic active transport via OATP1B1 (Sato et al., 2018).

CYP2Cs, UGTs and transporters may also be induced by the PXR pathway, however, detecting these relatively weak signals using the reporter gene and human hepatocyte induction assays is challenging since induction of CYP3A4 in human hepatocytes is much more sensitive to PXR-mediated activation (Lu and Di, 2020). In this case, use of PBPK modeling to optimize the in vivo induction magnitudes to recover the historically reported induction DDI data at steady state would be a powerful tool for drug development. This method has already been postulated for P-gp (Yamazaki et al., 2019), and might be further used to validate additional in vitro induction readouts.

In conclusion, the successful use of PXR activation to estimate in vitro CYP3A4 induction potential with fewer false negative prediction cases was demonstrated using 13 clinically used drugs and 71 internal compounds. Moreover, even when characterization of full induction kinetics is limited by compound properties such as cytotoxicity and solubility, reasonable translatability of the static induction risk assessment could be achieved with the F2 method and reasonable prediction performance based on the liver exit concentration was demonstrated. Nonetheless certain limitations exist and pitfalls in early prediction need to be acknowledged such as: 1) uncharacterized system biology on how CYP3A4 mRNA is regulated by PXR as well as other nuclear receptors including the negative feedback, and 2) complex drug disposition affecting the unbound concentrations in

*Evaluation of CYP3A4 induction incorporating PXR activation*

the liver such as (auto-) induction of enzymes, active transport and binding. Nonetheless, these results should enhance the assessment of induction risk and increase DDI prediction performance.

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### **Authorship Contributions**

*Participated in research designs:* Ekiciler, Chen, Bo, Donzelli, Umehara

*Conducted experiments:* Ekiciler, Chen, Bo

*Performed data analysis:* Ekiciler, Chen, Bo, Umehara

*Wrote or contributed to the writing of the manuscript:* Ekiciler, Chen, Bo, Pugliano,  
Donzelli, Parrott, Umehara



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*Evaluation of CYP3A4 induction incorporating PXR activation*

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*Evaluation of CYP3A4 induction incorporating PXR activation*

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*Evaluation of CYP3A4 induction incorporating PXR activation*

**Footnotes**

- a) This work was supported by F. Hoffmann-La Roche.
- b) AE, KC, YB, AP, MD, NP and KU are employees of F. Hoffmann-La Roche.

## Figure legends

### **Figure 1. Correlation between the PXR activation and CYP3A4 mRNA induction.**

CYP3A4 mRNA induction in human hepatocytes (lots. 399, HUM181441, HUM180871 and HUM182641) after incubation with 14 test substances at 0.1 - 10  $\mu$ M (nominal concentrations) for 48 hr (y-axis; mean, n=3) plotted against PXR activation in DPX2 cells after 48 hr incubation (x-axis; mean, n=3). Panels (A) and (B) represent percentage of the control response, and fold-induction/activation, respectively. The raw data used for this analysis are reported in Supplementary Table S1.

### **Figure 2. Prospective prediction of CYP3A4 mRNA induction potential in human hepatocytes based on the PXR activation.**

CYP3A4 mRNA induction potential (% of control) in human hepatocytes (lot. 399) for 71 internal drug candidates at 0.1, 1 and/or 10  $\mu$ M (mean, n=3) predicted using the corresponding PXR activation (% of control) in DPX2 cells (mean, n=3) were compared with the observed data (total data points = 90). Different symbols correspond to 8 early stage projects A-H. Solid and broken lines represent 1:1, and 1:2 or 2:1-correspondence, respectively.

### **Figure 3. Predicted versus observed AUC reduction (%) of the probe CYP3A4 substrate.**

*Evaluation of CYP3A4 induction incorporating PXR activation*

The observed AUC reduction (% , mean) of the clinically used CYP3A4 substrates with the inducers (carbamazepine, dexamethasone, enzalutamide, nevirapine, phenobarbital, phenytoin, rifampicin and rufinamide) (Table 2) were compared to the respective predictions (Table 3) based on the static induction model with the F2 method assuming interactions in the liver and the intestine (see Materials and Methods). Panels (A), (C), (E) and (G) show the in vitro-in vivo correlation based on PXR activation, whilst panels (B), (D), (F) and (H) show the correlation based on CYP3A4 mRNA induction. Methods 1, 2, 3 and 4 mean an employment of different [I]h values of  $C_{ave}$ ,  $C_{ave,u}$ ,  $C_{max}$  and  $C_{max,u}$  for the static prediction, respectively, whilst the same calculation method was always employed for [I]g (Table 1). It should be noted that N=7 for the PXR activation while N=8 for CYP3A4 induction since phenobarbital was tested only in the human hepatocytes. Measured CYP3A4 mRNA data using human hepatocyte batches 399 and/or HUM182641 in combination with historical data reported using three different liver donors (295, 312, 318) from Zhang et al (2014) were applied for this correlation analysis.

Tables

**Table 1. A summary of the net effect model equation used in this study for the induction DDI risk prediction in comparison to the original model**

<b>Net effect model:</b>					
$AUCR = \frac{1}{A_g \times B_g \times C_g \times (1 - F_g) + F_g} \times \frac{1}{A_h \times B_h \times C_h \times f_m + (1 - f_m)}$					
A: effects of reversible inhibition (=1 in this study)					
B: effects of time-dependent inhibition (=1 in this study)					
C: effects of induction					
Fg: fraction available after intestinal metabolism (0.57 for midazolam) (Vieira et al., 2014)					
fm: fraction metabolized by a CYP enzyme of the probe substrate (0.95 for midazolam) (Njuguna et al., 2016)					
h and g denote liver and gut, respectively					
		<b>Method 1</b>	<b>Method 2</b>	<b>Method 3</b>	<b>Method 4</b>
<b>New model in this study: F2</b>	$C_h = 1 + [I]_h / F_2$	$[I]_h = C_{ave}$	$[I]_h = C_{ave,u}$	$[I]_h = C_{max}$	$[I]_h = C_{max,u}$
	$C_g = 1 + [I]_g / F_2$	$[I]_g = k_a \times F_a \times Dose / Q_{ent}$			
<b>Original model: EC50/Emax</b>	$C_h = 1 + \frac{d \times E_{max} \times [I]_h}{[I]_h + EC50}$ , where $[I]_h = f_u \times (C_{max} + k_a \times F_a \times Dose / Q_{ent} / R_b)$ $C_g = 1 + \frac{d \times E_{max} \times [I]_g}{[I]_g + EC50}$ , where $[I]_g = k_a \times F_a \times Dose / Q_{ent}$				

Cmax: maximal total plasma concentration at steady state, EC50, concentration that supports 50% of maximum response, Emax, maximum fold increase (or induction) minus baseline of 1-fold, d: a scaling factor assumed to be 1 unless an internal induction calibrator is available, Fa: fraction absorbed of oral dosing, fu: unbound fraction in plasma, ka: oral absorption rate constant, Qh: hepatic blood flow rate (97 L/h), Qent: enterocyte blood flow rate (18 L/h) (Yang et al., 2007), Rb: blood-to-plasma ratio.

**Table 2. Observed clinical induction drug-drug interactions for well-established CYP3A4 substrates**

Drug name	Dose (mg)	Route	Frequency	Duration (days)	CYP3A substrate	Dose (mg) <sup>2)</sup>	Route	AUC reduction (%)	Mean (SD)
Carbamazepine	300	Oral	b.i.d.	26	Midazolam	2	Oral	79.3	N.A.
Dexamethasone	20	Oral	-	21	Panobinostat	20	Oral (at steady-state)	23.1	N.A.
Enzalutamide	160	Oral	q.d.	85	Midazolam	2 (ss)	Oral	85.9	N.A.
Nevirapine	200	Oral	q.d.	7	Itraconazole	200 (ss)	Oral	62.3	N.A.
Phenobarbital <sup>1)</sup>	100	Oral	q.d.	21	Verapamil	80	Oral	76.6	78.0 (N.A.)
	100	Oral	q.d.	21	Verapamil	80 (ss)	Oral	79.4	
Phenytoin	750-1000	Intravenous	q.d.	7	Cyclosporine	75 (ss)	Oral	69.2	N.A.
Rifampicin <sup>1)</sup>	450	Oral	q.d.	5	Midazolam	0.075	Oral	55.9	87.6 (9.88)
	300	Oral	q.d.	7	Midazolam	1	Oral	70.9	
	300	Oral	q.d.	7	Midazolam	1	Oral	79.9	
	450	Oral	q.d.	7	Midazolam	0.015 mg/kg	Oral	83.9	
	600	Oral	q.d.	7	Midazolam	2	Oral	84.2	
	600	Oral	q.d.	11	Midazolam	1	Oral	85.4	
	600	Oral	q.d.	14	Midazolam	2	Oral	86	
	600	Oral	q.d.	9	Midazolam	5.5	Oral	87.6	



Evaluation of CYP3A4 induction incorporating PXR activation

	600	Oral	q.d.	28	Midazolam	2	Oral	87.7	
	600	Oral	q.d.	7	Midazolam	4 or 6	Oral	89.7	
	600	Oral	q.d.	15 (average)	Midazolam	2	Oral	90.3	
	600	Oral	q.d.	17	Midazolam	2	Oral	91.2	
	600	Oral	q.d.	14 (average)	Midazolam	2	Oral	91.9	
	300	Oral	b.i.d.	7	Midazolam	8	Oral	94	
	300	Oral	b.i.d.	7	Midazolam	8	Oral	94.3	
	600	Oral	q.d.	5	Midazolam	3	Oral	94.7	
	450	Oral	q.d.	5	Midazolam	7.5	Oral	94.8	
	600	Oral	q.d.	7	Midazolam	1	Oral	95.6	
	600	Oral	q.d.	5	Midazolam	15	Oral	95.9	
	600	Oral	q.d.	5	Midazolam	7.5 or 15	Oral	98.4	
Rufinamide	400	Oral	b.i.d.	11.5	Triazolam	0.25	Oral	36.7	N.A.
Bosentan	125	Oral	b.i.d.	5.5	Simvastatin	40 (ss)	Oral	34.4	N.A.
Pleconaril	400	Oral	t.i.d.	6	Midazolam	0.075 mg/kg	Oral	34.6	N.A.

N.A.: not applicable, b.i.d.: twice daily, p.o.: by mouth, q.d.: once daily, ss: steady state

Data from University of Washington Drug Interaction Database (<https://didb.druginteractionsolutions.org/>).

1) DDI study results following the clinically relevant treatment designs were selected

2) Dose is in mg otherwise noted.

**Table 3. CYP3A4 induction DDI predictions based on the modified net effect model**

(A) Input: PXR activation in DPX2 cells

Drug name	Ch				Cg	CYP3A4 substrates: AUC reduction (%)				
	Method 1 (Cave)	Method 2 (Cave,u)	Method 3 (Cmax)	Method 4 (Cmax,u)		Substrate	Method 1 (Cave)	Method 2 (Cave,u)	Method 3 (Cmax)	Method 4 (Cmax,u)
Carbamazepine	3.62	1.66	2.80	1.45	2.87	midazolam	85.0	67.8	80.7	63.4
Bosentan	2.36	1.03	5.32	1.09	9.02	simvastatin	93.7	85.5	97.2	86.3
Dexamethasone	1.00	1.00	1.01	1.00	1.30	panobinostat	8.83	8.76	9.16	8.85
Enzalutamide	49.9	2.47	57.8	2.70	93.3	midazolam	100.0	99.1	100.0	99.2
Nevirapine	1.82	1.33	1.90	1.36	2.17	itraconazole	48.1	29.2	50.3	30.8
Phenytoin	2.87	1.19	2.45	1.15	3.31	cyclosporine	84.0	61.6	81.4	60.3
Pleconaril	1.49	1.00	3.21	1.02	24.3	midazolam	94.5	92.0	97.4	92.1
Rifampicin	13.5	3.00	70.7	12.2	202	midazolam	99.9	99.7	100.0	99.9
Rufinamide	1.27	1.18	1.33	1.22	1.62	triazolam	43.0	38.8	45.2	40.5

## (B) Input: CYP3A4 induction in human hepatocytes

Drug name	Ch				Cg	CYP3A4 substrates: AUC reduction (%)				
	Method 1 (Cave)	Method 2 (Cave,u)	Method 3 (Cmax)	Method 4 (Cmax,u)		Substrate	Method 1 (Cave)	Method 2 (Cave,u)	Method 3 (Cmax)	Method 4 (Cmax,u)
Carbamazepine	7.72	2.68	5.61	2.15	5.78	midazolam	95.9	88.5	94.4	85.7
Bosentan	63.3	2.25	200	4.98	370	simvastatin	100	99.8	100	99.9
Dexamethasone	1.00	1.00	1.01	1.00	1.25	panobinostat	7.36	7.31	7.64	7.39
Enzalutamide	14.5	1.40	16.6	1.47	26.4	midazolam	99.5	94.6	99.5	94.8
Nevirapine	15.0	6.62	16.4	7.17	21.0	itraconazole	96.9	93.0	97.2	93.6
Phenobarbital	1.80	1.39	1.93	1.45	1.61	verapamil	47.1	35.9	49.8	37.9
Phenytoin	3.71	1.27	3.11	1.21	4.35	cyclosporine	90.1	71.3	88.2	69.8
Pleconaril	1.11	1.00	1.50	1.01	6.32	midazolam	74.9	72.3	81.3	72.4
Rifampicin	21.2	4.23	114	19.0	326.9	midazolam	100	99.8	100	100
Rufinamide	10.0	6.97	11.8	8.15	21.6	triazolam	99.2	98.9	99.4	99.1

The AUC reduction of the CYP3A4 substrates in the presence of the inducers was predicted using a static induction model with F2 method. (A) and (B) were the corresponding predictions based on the PXR activation and the CYP3A4 mRNA induction, and methods 1, 2, 3 and 4 mean an employment of different [I]<sub>h</sub> values of Cave, Cave,u, Cmax and Cmax,u for the static calculation, respectively. Cave was calculated as AUC/dosing interval during the treatment period of the inducers. The model input parameters were summarized in Supplementary Table S2. Note: phenobarbital was tested only in the human hepatocyte induction study.

*Evaluation of CYP3A4 induction incorporating PXR activation*

**Table 4. Newly proposed thresholds for the assessment of CYP3A4 induction risk at preclinical phases**

Assays	% of control	Fold induction/activation
	At least in one batch or on one assay occasion <sup>1)</sup>	
CYP3A4 mRNA induction in human hepatocytes	$\geq(10\%^2)$ or 20%	$\geq 2$ -fold
PXR activation in DPX2 cells	$\geq 10\%$	-

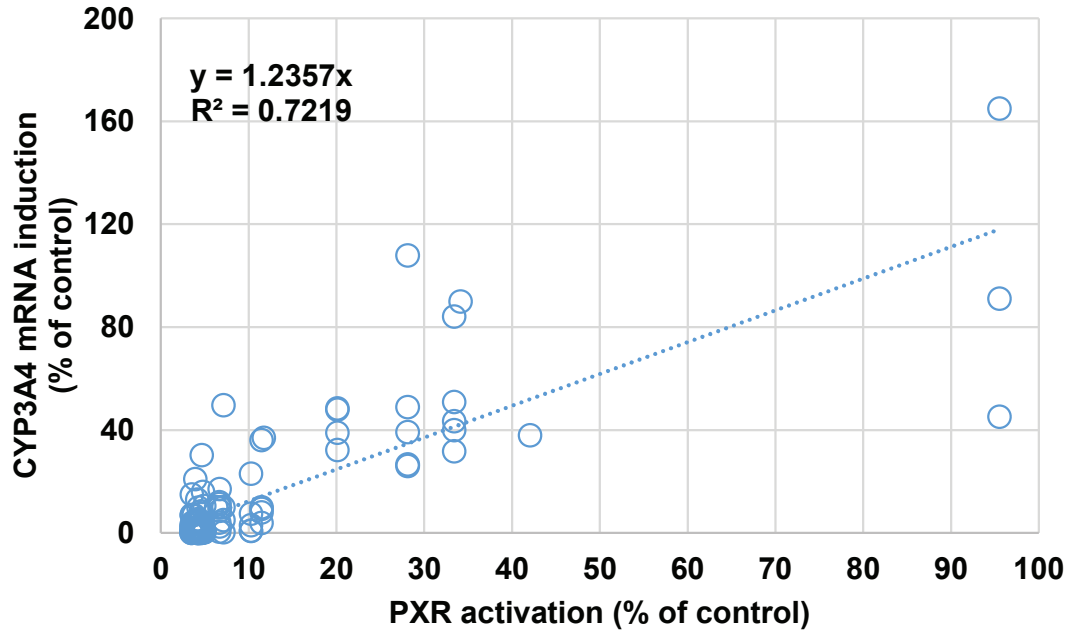
1)  $\geq 2$  donors for the hepatocyte induction study (Kenny et al., 2018)

2) Some clinically relevant moderate/strong CYP3A4 inducers such as carbamazepine and phenytoin showed a weak induction potential in vitro. Stringent criteria would support a worst case scenario until clinical data are available.

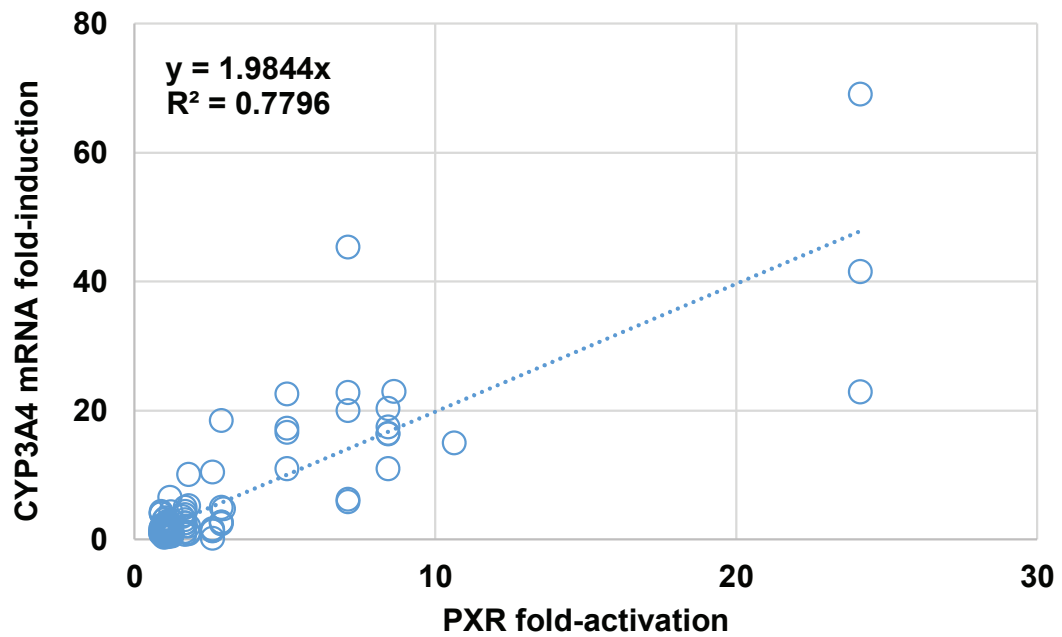
Evaluation of CYP3A4 induction incorporating PXR activation

Figure 1

(A)

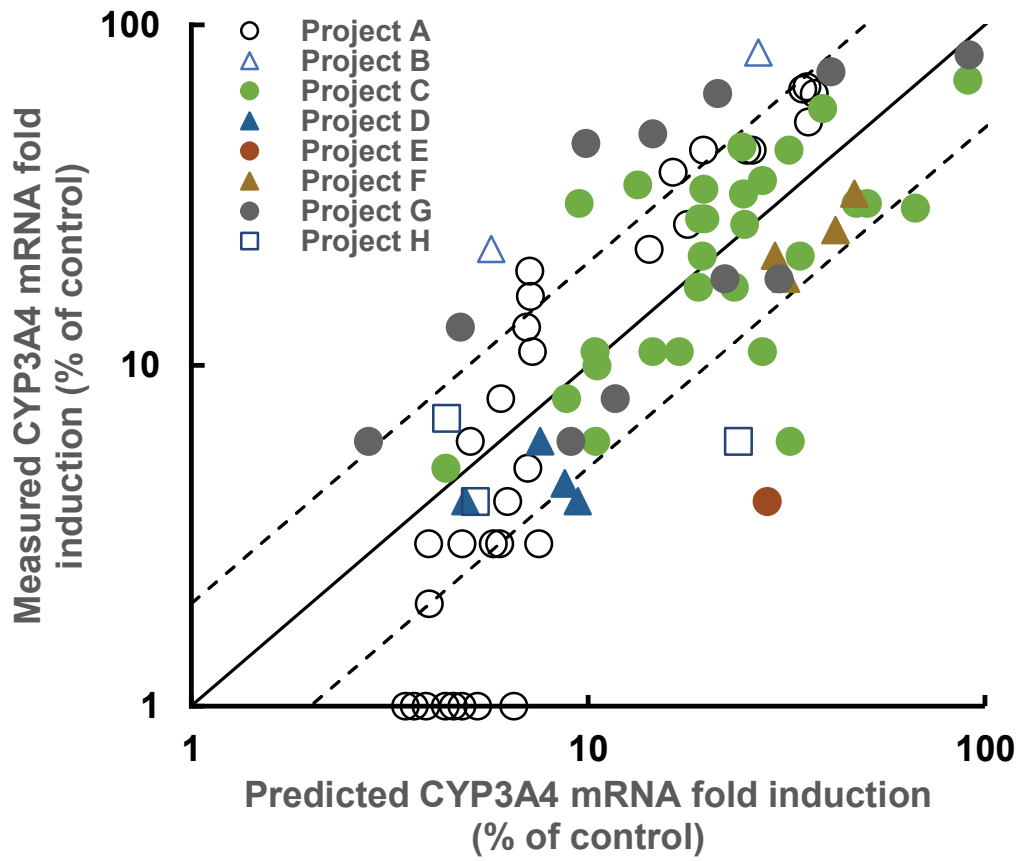


(B)



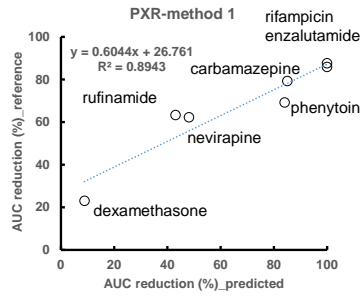
*Evaluation of CYP3A4 induction incorporating PXR activation*

Figure 2

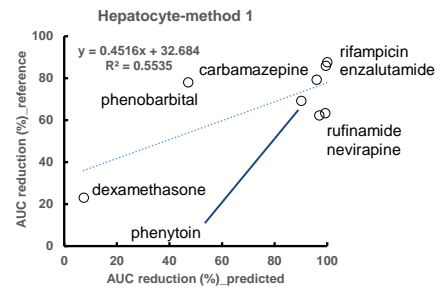


**Figure 3**

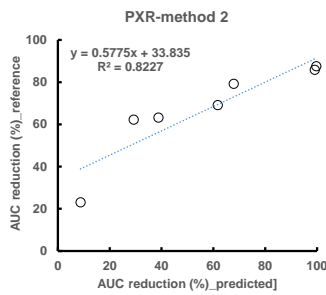
**(A)**



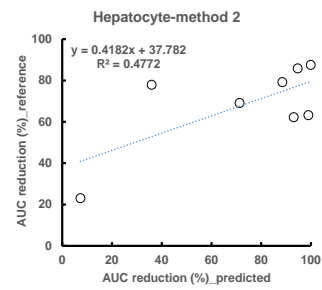
**(B)**



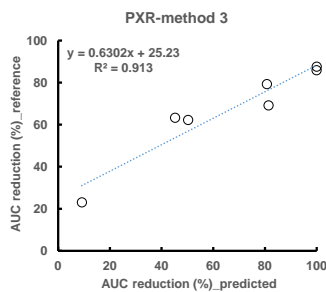
**(C)**



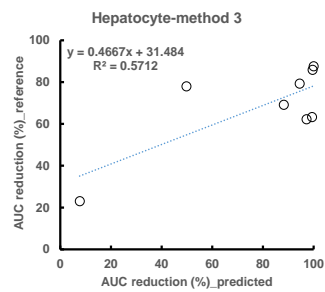
**(D)**



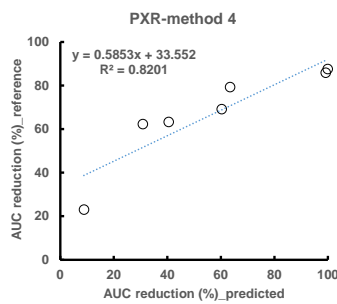
**(E)**



**(F)**



**(G)**



**(H)**

